

**SEARCH REQUEST FORM**

Scientific and Technical Information Center

Requester's Full Name: Sin J. Lee Examiner #: 76060 Date: 12/15/05  
 Art Unit: 1752 Phone Number 302-1333 Serial Number: 10/765,919  
 Mail Box and Bldg/Room Location: 9060 Results Format Preferred (circle): PAPER DISK E-MAIL  
 (Rem.)

If more than one search is submitted, please prioritize searches in order of need.

\*\*\*\*\*

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: Plz. Acc B.6

Inventors (please provide full names): \_\_\_\_\_

Earliest Priority Filing Date: \_\_\_\_\_

\*For Sequence Searches Only\* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

Plz. search for a polymer

which contains a repeat unit that

includes a substituent gp. of the  
formula (3) in Cl. #3

SCIENTIFIC REFERENCE BR  
Sci & Tech Inf. Ctr.

DEC 19 2005

Pat. & T.M. Office

**STAFF USE ONLY**

|  | Type of Search         | Vendors and cost where applicable |
|--|------------------------|-----------------------------------|
| Searcher: <u>WLA</u>                     | NA Sequence (#) _____  | STN <u>8</u> <u>300.14</u>        |
| Searcher Phone #: _____                  | AA Sequence (#) _____  | Dialog _____                      |
| Searcher Location: _____                 | Structure (#) <u>1</u> | Questel/Orbit _____               |
| Date Searcher Picked Up: <u>12/22/05</u> | Bibliographic _____    | Dr.Link _____                     |
| Date Completed: <u>12/22/05</u>          | Litigation _____       | Lexis/Nexis _____                 |
| Searcher Prep & Review Time: <u>30</u>   | Fulltext _____         | Sequence Systems _____            |
| Clerical Prep Time: <u>30</u>            | Patent Family _____    | WWW/Internet _____                |
| Online Time: <u>50</u>                   | Other _____            | Other (specify) _____             |

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Bib Data Sheet

CONFIRMATION NO. 4118

|                             |                                       |              |                        |                                      |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|
| SERIAL NUMBER<br>10/765,919 | FILING DATE<br>01/29/2004<br><br>RULE | CLASS<br>430 | GROUP ART UNIT<br>1752 | ATTORNEY<br>DOCKET NO.<br>0171-1058P |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|

## APPLICANTS

Jun Hatakeyama, Niigata-ken, JAPAN;

Takanobu Takeda, Niigata-ken, JAPAN;

Osamu Watanabe, Niigata-ken, JAPAN;

\*\* CONTINUING DATA \*\*\*\*\*  
   None      SJL

\*\* FOREIGN APPLICATIONS \*\*\*\*\*  
       JAPAN 2003-021416 01/30/2003    ) SJL  
       JAPAN 2003-194033 07/09/2003    )

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 08/18/2005

|  |                              |                        |                       |                            |
|--|------------------------------|------------------------|-----------------------|----------------------------|
| Foreign Priority claimed<br><input checked="" type="checkbox"/> yes <input type="checkbox"/> no  | STATE OR<br>COUNTRY<br>JAPAN | SHEETS<br>DRAWING<br>2 | TOTAL<br>CLAIMS<br>13 | INDEPENDENT<br>CLAIMS<br>4 |
| 35 USC 119 (a-d) conditions met<br><input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after<br>allowance |                              |                        |                       |                            |
| Verified and<br>Acknowledged<br><br>Examiner's Signature: <i>[Signature]</i> Initials: SJL   |                              |                        |                       |                            |

## ADDRESS

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22040-0747

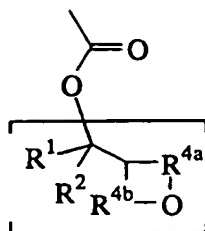
## TITLE

Polymer, resist composition and patterning process

|                            |   |  |
|----------------------------|---|--|
| FILING FEE<br><br>RECEIVED | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees                              |
|                            |   | <input type="checkbox"/> 1.16 Fees ( Filing )                  |
|                            |   | <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |

carbon atoms, or  $R^1$  and  $R^2$  taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached.

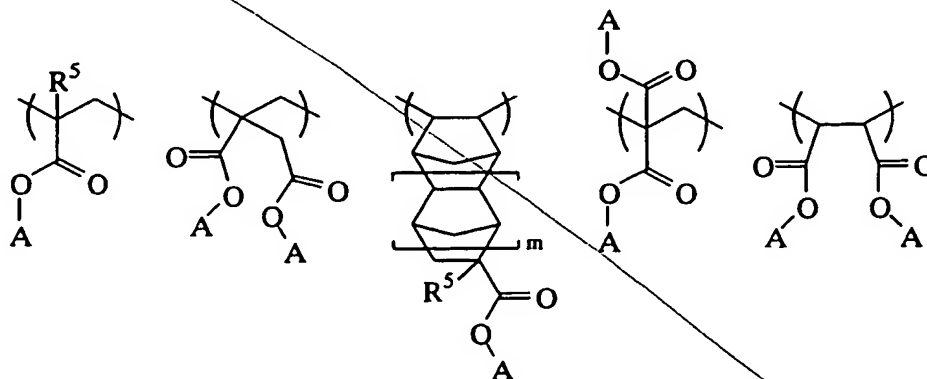
- 5 3. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (3):



(3)

- 10 wherein  $R^1$  and  $R^2$  are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10 carbon atoms, or  $R^1$  and  $R^2$  taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached, and  $R^{4a}$  and  $R^{4b}$  each are a single bond or an alkylene or alkenylene group of 1 to 4 carbon atoms, the  
15 total number of carbon atoms in  $R^{4a}$  and  $R^{4b}$  being from 3 to 6.

4. A polymer comprising recurring units containing silicon and recurring units of at least one type selected from the general formulae (4) to (8):



(4)

(5)

(6)

(7)

(8)

=> fil reg

FILE 'REGISTRY' ENTERED AT 11:04:02 ON 22 DEC 2005

=> d his

FILE 'HCAPLUS' ENTERED AT 09:06:02 ON 22 DEC 2005

L1 1 S US20050260521/PN  
SEL RN

FILE 'REGISTRY' ENTERED AT 09:06:31 ON 22 DEC 2005

L2 12 S E1-E12

FILE 'LREGISTRY' ENTERED AT 09:40:05 ON 22 DEC 2005

L3 STR  
L4 STR

FILE 'REGISTRY' ENTERED AT 09:43:41 ON 22 DEC 2005

L5 SCR 2043  
L6 0 S L3 AND L4 AND L5  
L7 0 S L3 AND L4  
L8 SCR 1146 OR 1135  
L9 2 S L3 AND L8  
L10 STR L3  
L11 0 S L10 AND L4  
L12 2 S L10 AND L8  
L13 2 S L10 AND L5 AND L8  
L14 110 S L10 AND L5 AND L8 FUL  
SAV L14 LEE919/A  
L15 7 S L14 AND L2  
L16 30 S L14 AND 103.61.1/RID  
L17 13 S L14 AND 16.138.6/RID  
L18 40 S L14 AND 16.138/RID  
L19 STR L10  
L20 1 S L19 AND L5 AND L8  
L21 157 S L19 AND L5 AND L8 FUL  
SAV L21 LEE919A/A  
L22 167 S L14 OR L21  
L23 33 S L22 AND 103.61/RID  
L24 45 S L22 AND 16.138/RID

FILE 'HCAPLUS' ENTERED AT 10:32:56 ON 22 DEC 2005

L25 131 S L22  
L26 11 S L23  
L27 33 S L24  
L28 34 S L26 OR L27  
L29 97 S L25 NOT L28

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L30 110 S L22 NOT 1-20/N

FILE 'HCAPLUS' ENTERED AT 10:43:23 ON 22 DEC 2005

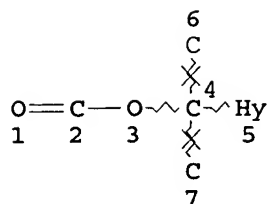
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L32 32 S L31 NOT L28  
L33 34 S L31 AND PHOTOG?/SC  
L34 1 S L33 NOT L28

=> d que 132

L5 SCR 2043



L8 SCR 1146 OR 1135  
L10 STR



## NODE ATTRIBUTES:

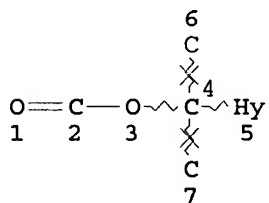
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NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
GGCAT IS SAT AT 5  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L14 110 SEA FILE=REGISTRY SSS FUL L10 AND L5 AND L8  
L19 STR



## NODE ATTRIBUTES:

NSPEC IS RC AT 4  
NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C X1 O AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L21 157 SEA FILE=REGISTRY SSS FUL L19 AND L5 AND L8  
L22 167 SEA FILE=REGISTRY ABB=ON PLU=ON L14 OR L21  
L23 33 SEA FILE=REGISTRY ABB=ON PLU=ON L22 AND 103.61/RID  
L24 45 SEA FILE=REGISTRY ABB=ON PLU=ON L22 AND 16.138/RID  
L26 11 SEA FILE=HCAPLUS ABB=ON PLU=ON L23  
L27 33 SEA FILE=HCAPLUS ABB=ON PLU=ON L24  
L28 34 SEA FILE=HCAPLUS ABB=ON PLU=ON L26 OR L27  
L30 110 SEA FILE=REGISTRY ABB=ON PLU=ON L22 NOT 1-20/N  
L31 65 SEA FILE=HCAPLUS ABB=ON PLU=ON L30  
L32 32 SEA FILE=HCAPLUS ABB=ON PLU=ON L31 NOT L28

=> fil hcap  
FILE 'HCAPLUS' ENTERED AT 11:04:19 ON 22 DEC 2005

=> d l32 ibib abs hitstr hitind

L32 ANSWER 1 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:548864 HCAPLUS

DOCUMENT NUMBER: 143:212270

TITLE: Photocrosslinking and thermal degradation of epoxy-containing polymers using photobase generators

AUTHOR(S): Ohba, Tadahiro; Nakai, Daisuke; Suyama, Kanji; Shirai, Masamitsu

CORPORATE SOURCE: Department of Applied Chemistry, Osaka Prefecture University, Osaka, 599-8531, Japan

SOURCE: Chemistry Letters (2005), 34(6), 818-819  
CODEN: CMLTAG; ISSN: 0366-7022

PUBLISHER: Chemical Society of Japan

DOCUMENT TYPE: Journal

LANGUAGE: English

AB A new system consisting of a photobase generator and an oligomer bearing both epoxy and tertiary ester units was prepared as a photocrosslinkable and thermally de-crosslinkable polymer system. The sample film became insol. on UV-irradiation and followed by baking at 100°-160°. The crosslinked film became soluble in methanol when baked at 180°-200°.

IT 354801-91-5  
(photocrosslinking and thermal degradation of epoxy-containing polymethacrylate using photobase generators)

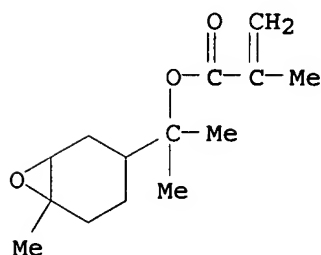
RN 354801-91-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3



CC 35-8 (Chemistry of Synthetic High Polymers)

IT 354801-91-5  
(photocrosslinking and thermal degradation of epoxy-containing polymethacrylate using photobase generators)

REFERENCE COUNT: 10 THERE ARE 10 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

=&gt; d 132 2-32 ibib abs hitstr hitind

L32 ANSWER 2 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:368209 HCAPLUS

DOCUMENT NUMBER: 142:431682

TITLE: Radiation-curable jet-printing inks having good discharge and storage stability and printed matter therewith

INVENTOR(S): Sasa, Nobumasa

PATENT ASSIGNEE(S): Konica Minolta Medical &amp; Graphic, Inc., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 20 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

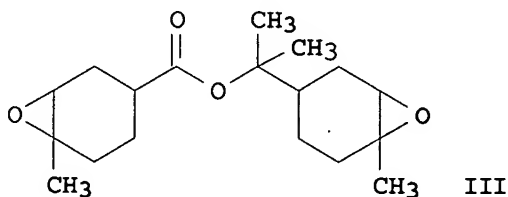
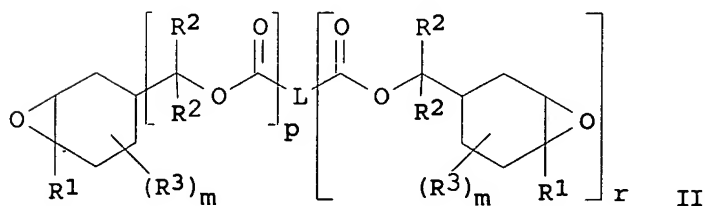
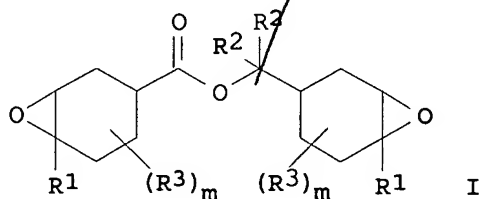
| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| JP 2005112936 | A2   | 20050428 | JP 2003-346682  | 2003<br>1006 |

PRIORITY APPLN. INFO.:

JP 2003-346682

2003  
1006OTHER SOURCE(S):  
GI

MARPAT 142:431682



AB The inks contain alicyclic epoxide I and/or II [R1-R3 = substituent; m = 0-2; p = 0, 1; r = 1-3; L = C1-15 (r + 1)-valent linking group (containing S or O in the main chain) or single bond]. The inks may contain photocationic polymerization initiators, pigments, pigment dispersants, and satisfy viscosity (25°) 5-50 mPa-s. Thus, an ink containing epoxide III 30, OXT 221 (oxetane compound) 70, triethylene glycol divinyl ether 10, Solspers 32000 (dispersant) 3, and Adeka Optomer SP 152 (triphenylsulfonium salt) 10, and Cu phthalocyanine 5 parts showed no viscosity increase on 1-mo storage at 100°. no precipitation on 1-mo storage at 25°, and having no or less irritating action on skins.

IT 850421-69-1P 850421-71-5P 850421-73-7P

850421-75-9P 850421-77-1P 850427-47-3P

(alicyclic epoxide-containing photocurable jet inks having good discharge and storage stability)

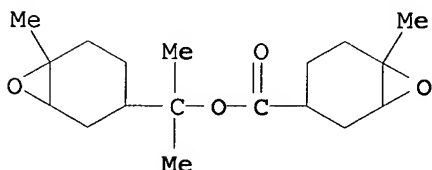
RN 850421-69-1 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 6-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] and 3,6,9,12-tetraoxatetradeca-1,13-diene (9CI) (CA INDEX NAME)

CM 1

CRN 850421-68-0

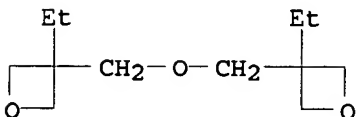
CMF C18 H28 O4



CM 2

CRN 18934-00-4

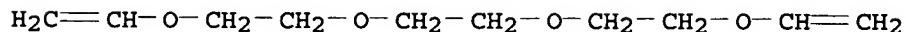
CMF C12 H22 O3



CM 3

CRN 765-12-8

CMF C10 H18 O4



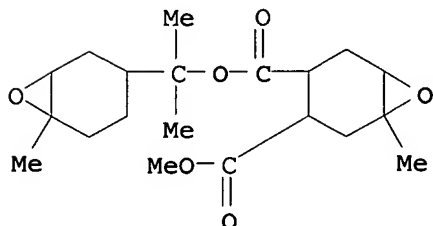
RN 850421-71-5 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3,4-dicarboxylic acid, 1-methyl-, 3-methyl 4-[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] (9CI) (CA INDEX NAME)

CM 1

CRN 850421-70-4

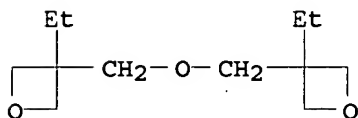
CMF C20 H30 O6



CM 2

CRN 18934-00-4

CMF C12 H22 O3



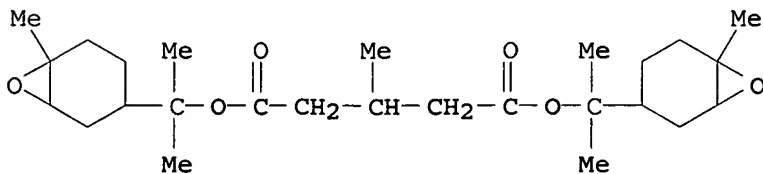
RN 850421-73-7 HCAPLUS

CN Pentanedioic acid, 3-methyl-, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] (9CI) (CA INDEX NAME)

CM 1

CRN 850421-72-6

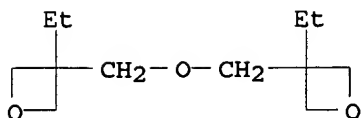
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CM 2

CRN 18934-00-4

CMF C12 H22 O3



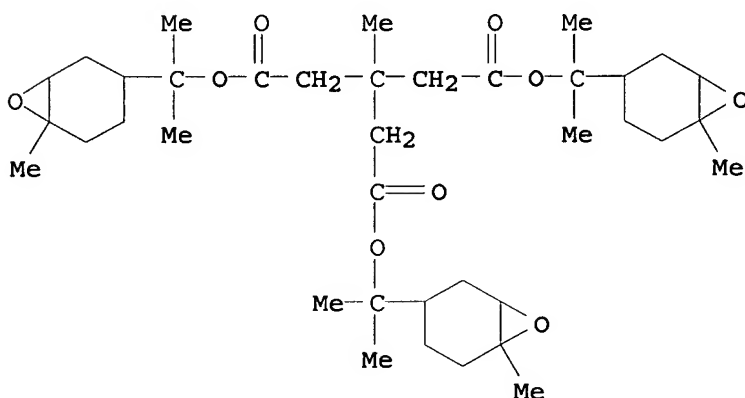
RN 850421-75-9 HCAPLUS

CN Pentanedioic acid, 3-methyl-3-[2-[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethoxy]-2-oxoethyl]-, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] and 3,6,9,12-tetraoxatetradeca-1,13-diene (9CI) (CA INDEX NAME)

CM 1

CRN 850421-74-8

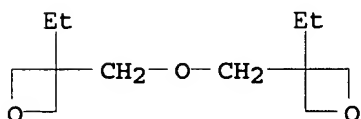
CMF C38 H60 O9



CM 2

CRN 18934-00-4

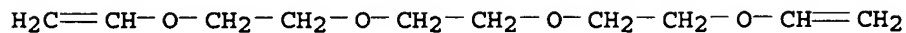
CMF C12 H22 O3



CM 3

CRN 765-12-8

CMF C10 H18 O4



RN 850421-77-1 HCAPLUS

CN Pentanedioic acid, 3,3-bis[2-[1-methyl-1-(6-methyl-7-

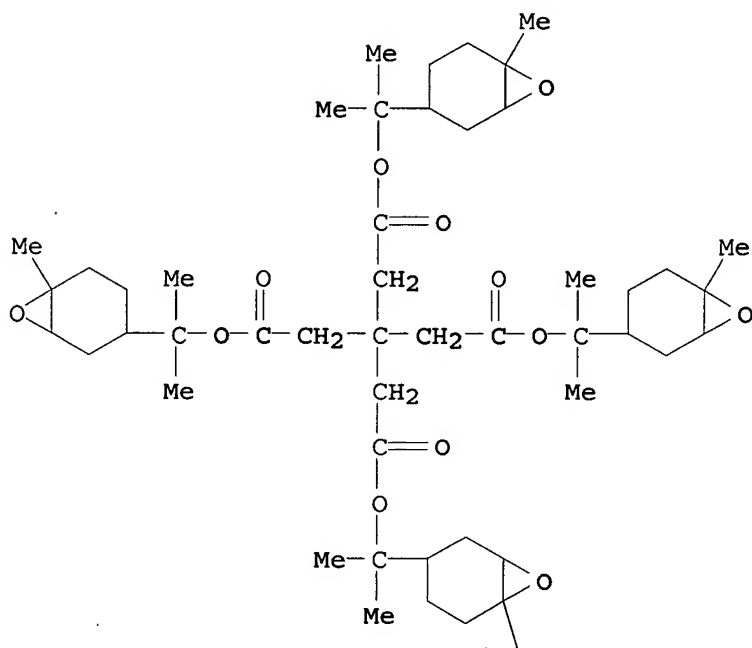
oxabicyclo[4.1.0]hept-3-yl)ethoxy]-2-oxoethyl]-,  
 bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl]  
 ester, polymer with 3-ethenyl-7-oxabicyclo[4.1.0]heptane,  
 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] and  
 3,6,9,12-tetraoxatetradeca-1,13-diene (9CI) (CA INDEX NAME)

CM 1

CRN 850421-76-0

CMF C49 H76 O12

PAGE 1-A



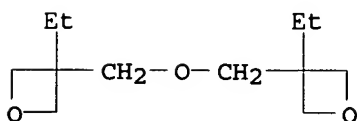
PAGE 2-A



CM 2

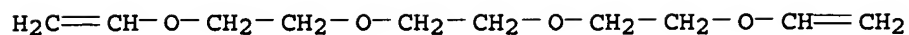
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CMF C12 H22 O3



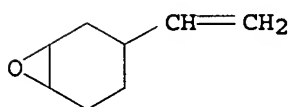
CM 3

CRN 765-12-8  
CMF C10 H18 O4



CM 4

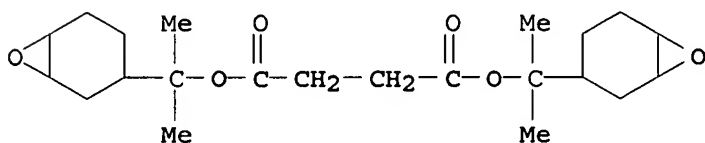
CRN 106-86-5  
CMF C8 H12 O



RN 850427-47-3 HCAPLUS  
CN Butanedioic acid, bis[1-methyl-1-(methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 3,3'-[oxybis(methylene)]bis[3-ethyloxetane] (9CI) (CA INDEX NAME)

CM 1

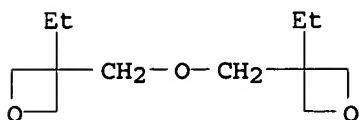
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CCI IDS



2 ( D1-Me )

CM 2

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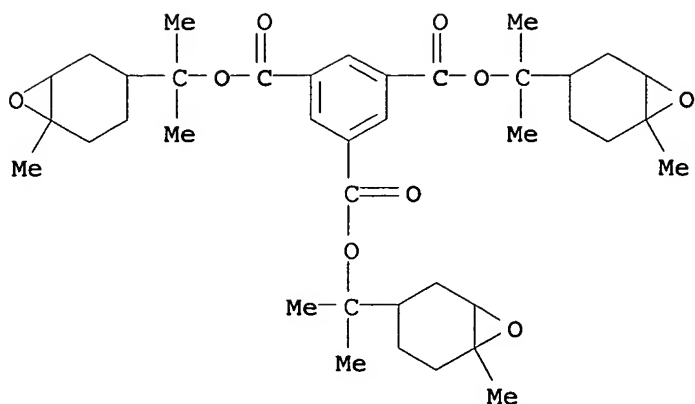


IC ICM C09D011-00



ICS B41J002-01; B41M005-00  
CC 42-12 (Coatings, Inks, and Related Products)  
Section cross-reference(s): 74  
IT 850421-69-1P 850421-71-5P 850421-73-7P  
850421-75-9P 850421-77-1P 850427-47-3P  
(alicyclic epoxide-containing photocurable jet inks having good  
discharge and storage stability)

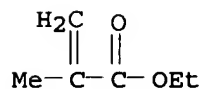
L32 ANSWER 3 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
ACCESSION NUMBER: 2004:603452 HCAPLUS  
DOCUMENT NUMBER: 141:261432  
TITLE: Photocrosslinking system using multifunctional  
epoxy crosslinkers having thermally degradable  
properties  
AUTHOR(S): Okamura, Haruyuki; Shin, Kazuo; Tsunooka,  
Masahiro; Shirai, Masamitsu  
CORPORATE SOURCE: Department of Applied Chemistry, Graduate  
School of Engineering, Osaka Prefecture  
University, Osaka, 599-8531, Japan  
SOURCE: Journal of Polymer Science, Part A: Polymer  
Chemistry (2004), 42(15), 3685-3696  
CODEN: JPACEC; ISSN: 0887-624X  
PUBLISHER: John Wiley & Sons, Inc.  
DOCUMENT TYPE: Journal  
LANGUAGE: English  
AB A novel thermally degradable photocrosslinking system was  
investigated. Difunctional and trifunctional epoxides with  
tertiary ester linkages were synthesized. When blended films of  
epoxides and poly(vinyl phenol) or epoxides and poly(methacrylic  
acid-co-Et methacrylate) with a photoacid generator were  
irradiated and then baked at relatively low temps. (<100  
°C), the films became insol. in solvents. The heating  
conditions strongly affected the insol. fractions of the blends.  
The insol. fractions of the blended films containing the trifunctional  
epoxide were higher than the fractions of the films containing the  
difunctional epoxide. The crosslinked films became soluble after  
baking at relatively high temps. (>120 °C). The reaction  
pathway of the blended system was studied with in situ Fourier  
transform IR measurements.  
IT 756819-40-6P 756819-41-7P 756819-43-9P  
756819-44-0P  
(photocrosslinking system using multifunctional epoxy  
crosslinkers having thermally degradable properties)  
RN 756819-40-6 HCAPLUS  
CN 1,3,5-Benzenetricarboxylic acid, tris[1-methyl-1-(6-methyl-7-  
oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with ethyl  
2-methyl-2-propenoate and 2-methyl-2-propenoic acid (9CI) (CA  
INDEX NAME)  
CM 1  
CRN 756819-39-3  
CMF C39 H54 O9



CM 2

CRN 97-63-2

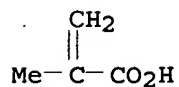
CMF C6 H10 O2



CM 3

CRN 79-41-4

CMF C4 H6 O2



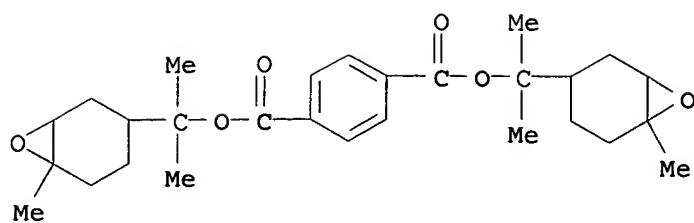
RN 756819-41-7 HCAPLUS

CN 1,4-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with ethyl 2-methyl-2-propenoate and 2-methyl-2-propenoic acid (9CI) (CA INDEX NAME)

CM 1

CRN 444143-79-7

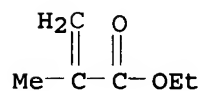
CMF C28 H38 O6



CM 2

CRN 97-63-2

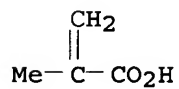
CMF C6 H10 O2



CM 3

CRN 79-41-4

CMF C4 H6 O2



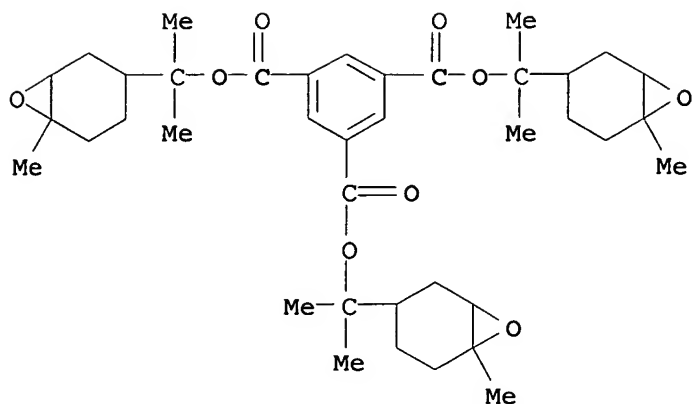
RN 756819-43-9 HCAPLUS

CN 1,3,5-Benzenetricarboxylic acid, tris[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 4-ethenylphenol (9CI) (CA INDEX NAME)

CM 1

CRN 756819-39-3

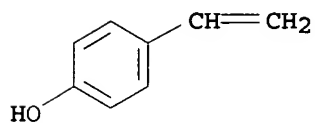
CMF C39 H54 O9



CM 2

CRN 2628-17-3

CMF C8 H8 O



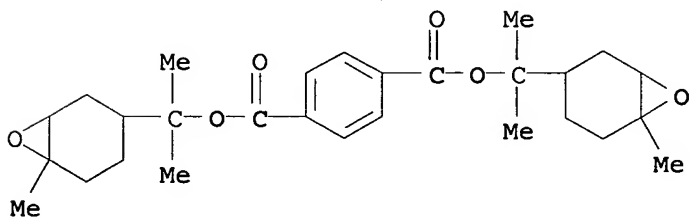
RN 756819-44-0 HCAPLUS

CN 1,4-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with 4-ethenylphenol (9CI) (CA INDEX NAME)

CM 1

CRN 444143-79-7

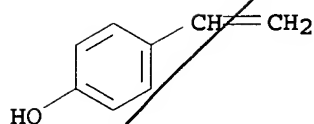
CMF C28 H38 O6



CM 2

CRN 2628-17-3

CMF C8 H8 O



CC 37-6 (Plastics Manufacture and Processing)

IT 756819-40-6P 756819-41-7P 756819-43-9P

756819-44-0P

(photocrosslinking system using multifunctional epoxy crosslinkers having thermally degradable properties)

REFERENCE COUNT: 24 THERE ARE 24 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 4 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:454829 HCAPLUS

DOCUMENT NUMBER: 141:261168

TITLE: Thermal degradation of photo crosslinked polymers

AUTHOR(S): Shirai, Masamitsu; Morishita, Satoshi; Kawaue, Akiya; Okamura, Haruyuki; Tsunooka, Masahiro

CORPORATE SOURCE: Department of Applied Chemistry, Graduate School of Engineering, Osaka Prefecture University, Osaka, 599-8531, Japan

SOURCE: ACS Symposium Series (2004), 874(Polymers for Microelectronics and Nanoelectronics), 236-250  
CODEN: ACSMC8; ISSN: 0097-6156

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB A novel monomer having both epoxy and thermally cleaveable tertiary ester moieties was synthesized and characterized. Homopolymer and copolymers with tert-Bu methacrylate, tert-butoxy styrene or styrene sulfonates were synthesized. On UV irradiation the polymer films containing photo acid generators became insol. in organic solvents. When the crosslinked polymer films were baked at 100-220 °C, they became soluble in methanol. The effective baking temperature was strongly dependent on polymer structure. The crosslinked polymers having styrenesulfonic acid ester units became soluble in water after bake treatments.

IT 354801-91-5P 401928-96-9P 401928-97-0P

460085-60-3P 460085-61-4P 460085-62-5P

(thermal degradation of photo crosslinked polymers)

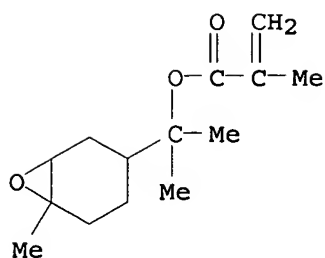
RN 354801-91-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3



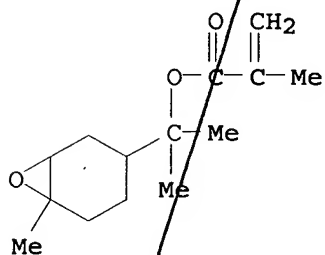
RN 401928-96-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1,1-dimethylethyl ester, polymer with  
 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl  
 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

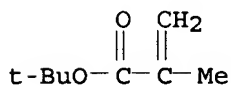
CMF C14 H22 O3



CM 2

CRN 585-07-9

CMF C8 H14 O2



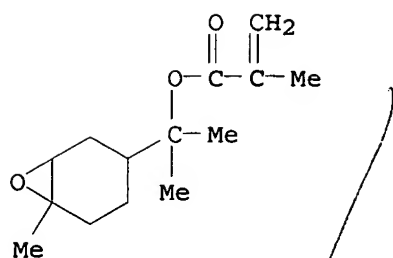
RN 401928-97-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with  
 1-(1,1-dimethylethoxy)-4-ethenylbenzene (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

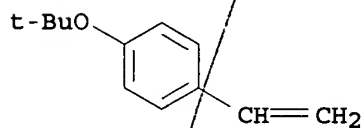
CMF C14 H22 O3



CM 2

CRN 95418-58-9

CMF C12 H16 O



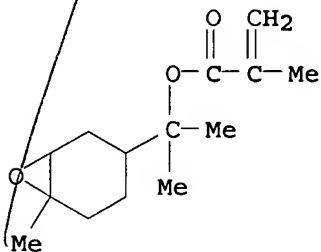
RN 460085-60-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with cyclohexyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

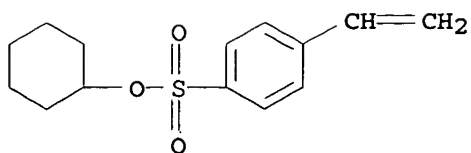
CMF C14 H22 O3



CM 2

CRN 211308-93-9

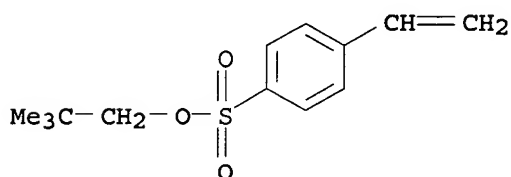
CMF C14 H18 O3 S



RN 460085-61-4 HCAPLUS  
 CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 2,2-dimethylpropyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

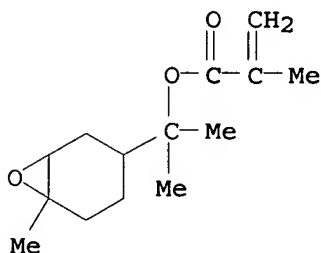
CM 1

CRN 443899-80-7  
 CMF C13 H18 O3 S



CM 2

CRN 354801-90-4  
 CMF C14 H22 O3

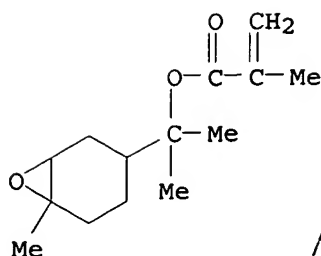


RN 460085-62-5 HCAPLUS  
 CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with phenyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4  
 CMF C14 H22 O3

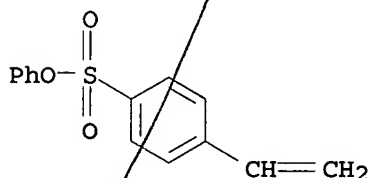




CM 2

CRN 20996-57-0

CMF C14 H12 O3 S



CC 35-8 (Chemistry of Synthetic High Polymers)

IT 354801-91-5P 401928-96-9P 401928-97-0P

460085-60-3P 460085-61-4P 460085-62-5P

(thermal degradation of photo crosslinked polymers)

REFERENCE COUNT: 17 THERE ARE 17 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 5 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:138024 HCAPLUS

DOCUMENT NUMBER: 140:375920

TITLE: Controlled degradation of epoxy networks:  
analysis of crosslink density and glass  
transition temperature changes in thermally  
reworkable thermosets

AUTHOR(S): Chen, Jir-Shyr; Ober, Christopher K.; Poliks,  
Mark D.; Zhang, Yuanming; Wiesner, Ulrich;  
Cohen, Claude

CORPORATE SOURCE: Department of Materials Science and  
Engineering, Cornell University, Ithaca, NY,  
14853, USA

SOURCE: Polymer (2004), 45(6), 1939-1950

CODEN: POLMAG; ISSN: 0032-3861

PUBLISHER: Elsevier Science Ltd.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB The characteristics of networks formed in cured reworkable' epoxy  
thermosets capable of controlled thermal degradation were studied.  
Dynamic mech. thermal anal., swelling measurements, and glass  
transition temperature measurements were used to obtain information  
regarding the time and temperature dependence of the crosslink densities  
of these materials. By applying isothermal conditions, networks

containing up to 36 mol% non-degradable components could be completely degraded, i.e. progress from a network of infinite mol. weight to a finite one with zero crosslink d. Percolation theory was used to facilitate the interpretation of these results. The degradation behavior of the reworkable thermosets were well-described by gel degradation theory, i.e. the reverse of the gelation process, and the exptl. results were in good agreement with calculated values obtained by replacing the extent of reaction,  $p$ , in Macosko and Miller's branching theory with the extent of degradation,  $1-p$ .

IT 195065-81-7, Hexahydro-4-methylphthalic  
anhydride- $\alpha$ -Terp copolymer 683225-11-8

(anal. of crosslink d. and glass transition temperature changes in thermally reworkable epoxy thermosets during thermal degradation)

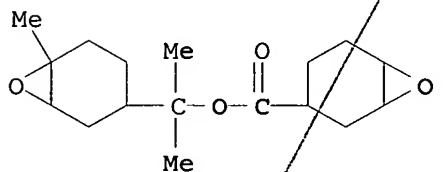
RN 195065-81-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

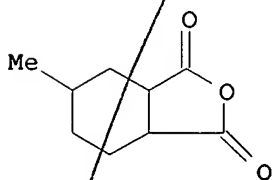
CMF C17 H26 O4



CM 2

CRN 19438-60-9

CMF C9 H12 O3



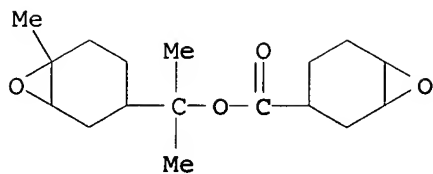
RN 683225-11-8 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione and 7-oxabicyclo[4.1.0]hept-3-ylmethyl 7-oxabicyclo[4.1.0]heptane-3-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

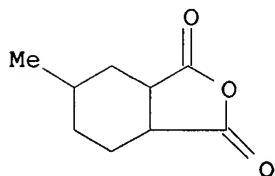
CMF C17 H26 O4



CM 2

CRN 19438-60-9

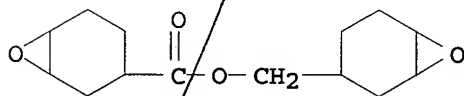
CMF C9 H12 O3



CM 3

CRN 2386-87-0

CMF C14 H20 O4



CC 37-5 (Plastics Manufacture and Processing)  
 IT 130030-49-8, ERL 4221-hexahydro-4-methylphthalic anhydride  
 copolymer 195065-81-7, Hexahydro-4-methylphthalic  
 anhydride- $\alpha$ -Terp copolymer 683225-11-8  
 (anal. of crosslink d. and glass transition temperature changes in  
 thermally reworkable epoxy thermosets during thermal degradation)  
 REFERENCE COUNT: 47 THERE ARE 47 CITED REFERENCES AVAILABLE  
 FOR THIS RECORD. ALL CITATIONS AVAILABLE  
 IN THE RE FORMAT

L32 ANSWER 6 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2003:769109 HCAPLUS  
 DOCUMENT NUMBER: 139:277441  
 TITLE: Reworkable thermosetting resin compositions  
 and compounds useful therein  
 INVENTOR(S): Klemarczyk, Philip T.; Gong, Lie-Zhong  
 PATENT ASSIGNEE(S): Henkel Loctite Corporation, USA  
 SOURCE: U.S., 17 pp.  
 CODEN: USXXAM  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO. | KIND | DATE     | APPLICATION NO. | DATE         |
|------------|------|----------|-----------------|--------------|
| US 6627683 | B1   | 20030930 | US 2000-885270  | 2000<br>0905 |

PRIORITY APPLN. INFO.:

US 2000-885270

2000  
0905

AB Specific compds. useful in curable compns. as well as thermosets that are reworkable through thermal decomposition, include a cyclic hydrocarbon moiety including an oxirane or thiirane group and an aromatic ether moiety including an oxirane or thiirane group. The cyclic hydrocarbon moiety and the aromatic ether moiety are joined to each other through an oxycarbonyl-containing linkage or a thiocarbonyl-containing linkage, preferably a secondary or tertiary linkage. Compns. incorporating such compds. are capable of curing by exposure to a specific temperature, and are decomposable at a temperature in excess of the curing temperature, thus providing a composition which is reworkable.

IT 604810-53-9P

(reworkable thermosetting resin compns. and compds. useful therein)

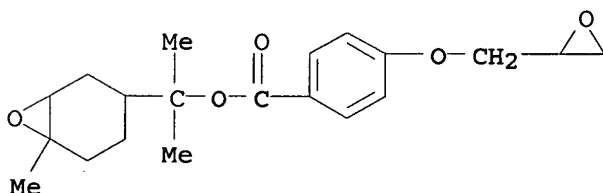
RN 604810-53-9 HCAPLUS

CN Benzoic acid, 4-(oxiranylmethoxy)-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydromethyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 604810-52-8

CMF C20 H26 O5

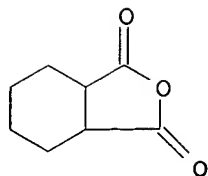


CM 2

CRN 25550-51-0

CMF C9 H12 O3

CCI IDS



D1-Me

IC ICM C08K003-10  
ICS C08L063-02  
INCL 523457000; 523458000; 523466000; 528094000; 528099000; 528103000;  
528378000; 528379000; 528380000; 549090000  
CC 37-3 (Plastics Manufacture and Processing)  
Section cross-reference(s): 38  
IT 604810-53-9P  
(reworkable thermosetting resin compns. and compds. useful  
therein)  
REFERENCE COUNT: 15 THERE ARE 15 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 7 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
ACCESSION NUMBER: 2003:738540 HCAPLUS  
DOCUMENT NUMBER: 139:365370  
TITLE: Photo-cross-linkable Polymers Having  
Degradable Properties on Heating  
AUTHOR(S): Shirai, Masamitsu; Kawaue, Akiya; Okamura,  
Haruyuki; Tsunooka, Masahiro  
CORPORATE SOURCE: Department of Applied Chemistry Graduate  
School of Engineering, Osaka Prefecture  
University, Sakai Osaka, 599-8531, Japan  
SOURCE: Chemistry of Materials (2003), 15(21),  
4075-4081  
CODEN: CMATEX; ISSN: 0897-4756  
PUBLISHER: American Chemical Society  
DOCUMENT TYPE: Journal  
LANGUAGE: English

AB Photo-cross-linkable polymers having degradable properties on  
heating were described. Copolymers of esters or salts of  
p-styrenesulfonic acid with a novel monomer having both an epoxy  
moiety and a tertiary ester moiety were synthesized and  
characterized. Polymer films containing a photoacid generator became  
insol. in organic solvents on UV irradiation The insol. fraction of the  
irradiated films was increased by post-exposure-baking at  
relatively low temps. (40-100 °C). When the cross-linked  
polymer films were baked at 120-200 °C, they became soluble in  
water. The effective bake temperature was dependent on the polymer  
structure. Thermal degradation of the cross-linked polymers was  
studied by TGA anal. and in situ FT-IR spectroscopy.

IT 460085-60-3P 460085-61-4P 460085-62-5P  
476445-52-0P  
(photo-cross-linkable polymers having degradable properties on  
heating)

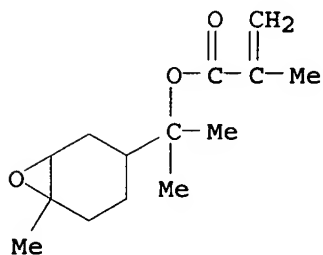
RN 460085-60-3 HCAPLUS  
CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-

oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with cyclohexyl  
4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

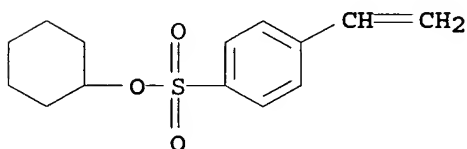
CMF C14 H22 O3



CM 2

CRN 211308-93-9

CMF C14 H18 O3 S



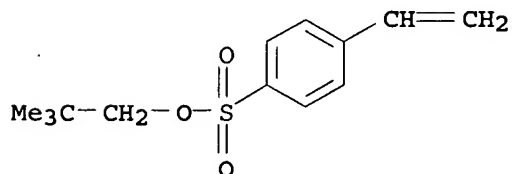
RN 460085-61-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 2,2-dimethylpropyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 443899-80-7

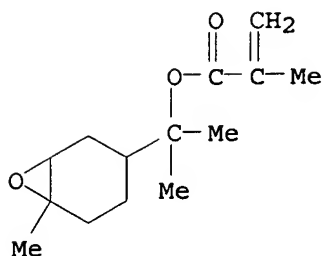
CMF C13 H18 O3 S



CM 2

CRN 354801-90-4

CMF C14 H22 O3



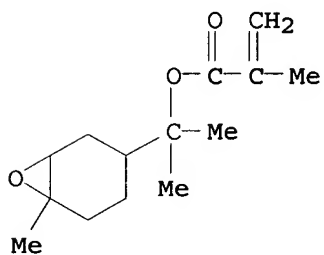
RN 460085-62-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with phenyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

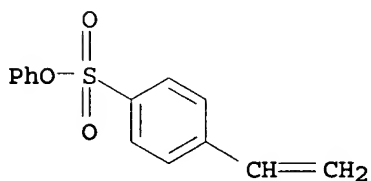
CMF C14 H22 O3



CM 2

CRN 20996-57-0

CMF C14 H12 O3 S



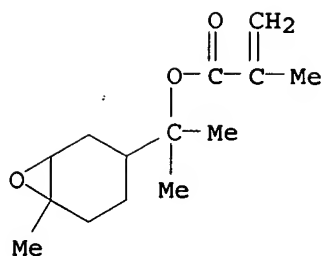
RN 476445-52-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with methyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

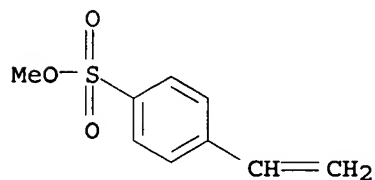
CMF C14 H22 O3



CM 2

CRN 16736-97-3

CMF C9 H10 O3 S



IT 460085-60-3DP, photocrosslinked, thermal degraded  
 460085-61-4DP, photocrosslinked, thermal degraded  
 460085-62-5DP, photocrosslinked, thermal degraded  
 476445-52-0DP, photocrosslinked, thermal degraded  
 (photo-cross-linkable polymers having degradable properties on heating)

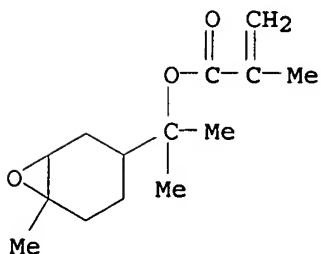
RN 460085-60-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with cyclohexyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3

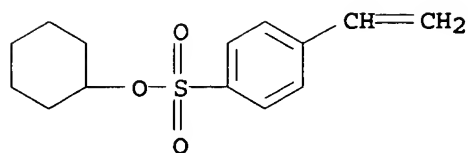


CM 2

CRN 211308-93-9



CMF C14 H18 O3 S



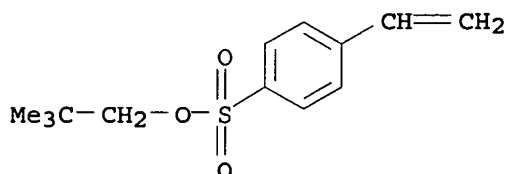
RN 460085-61-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 2,2-dimethylpropyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 443899-80-7

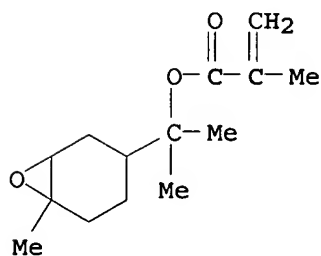
CMF C13 H18 O3 S



CM 2

CRN 354801-90-4

CMF C14 H22 O3



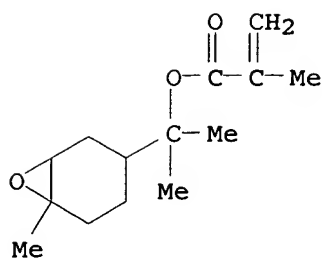
RN 460085-62-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with phenyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

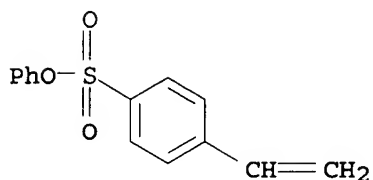
CMF C14 H22 O3



CM 2

CRN 20996-57-0

CMF C14 H12 O3 S



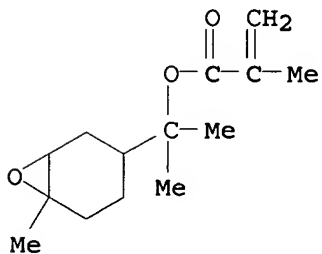
RN 476445-52-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with methyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

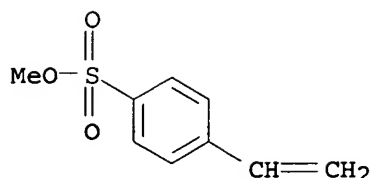
CMF C14 H22 O3



CM 2

CRN 16736-97-3

CMF C9 H10 O3 S



CC 35-8 (Chemistry of Synthetic High Polymers)

IT 460085-60-3P 460085-61-4P 460085-62-5P

476445-52-0P 622851-55-2P

(photo-cross-linkable polymers having degradable properties on heating)

IT 460085-60-3DP, photocrosslinked, thermal degraded

460085-61-4DP, photocrosslinked, thermal degraded

460085-62-5DP, photocrosslinked, thermal degraded

476445-52-0DP, photocrosslinked, thermal degraded

622851-55-2DP, photocrosslinked, thermal degraded

(photo-cross-linkable polymers having degradable properties on heating)

REFERENCE COUNT: 20 THERE ARE 20 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 8 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:695061 HCAPLUS

DOCUMENT NUMBER: 138:4834

TITLE: Photocrosslinkable polymers with redissolution property

AUTHOR(S): Shirai, Masamitsu; Kawaue, Akiya; Okamura, Haruyuki; Tsunooka, Masahiro

CORPORATE SOURCE: Department of Applied Chemistry, Graduate School of Engineering, Osaka Prefecture University, Osaka, 599-8531, Japan

SOURCE: Chemistry Letters (2002), (9), 940-941  
CODEN: CMLTAG; ISSN: 0366-7022

PUBLISHER: Chemical Society of Japan

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Polymers having both thermally degradable epoxy-containing moieties and sulfonic acid ester moieties in the side chain were prepared and characterized. On UV irradiation the polymer films containing photoacid generators became insol. The crosslinked polymer films became soluble in water after bake treatment at 120-200°C.

IT 460085-60-3DP, photocrosslinking, then thermal degradation

460085-61-4DP, photocrosslinking, then thermal degradation

460085-62-5DP, photocrosslinking, then thermal degradation

476445-52-0DP, photocrosslinking, then thermal degradation

(photocrosslinkable polymers with redissoln. property)

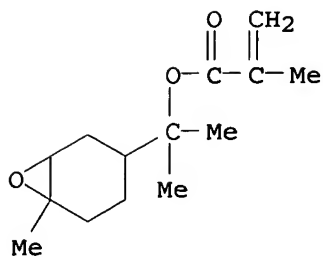
RN 460085-60-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with cyclohexyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

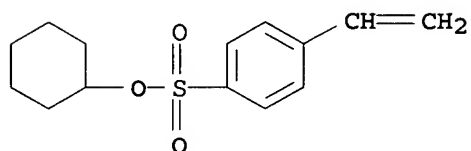
CMF C14 H22 O3



CM 2

CRN 211308-93-9

CMF C14 H18 O3 S



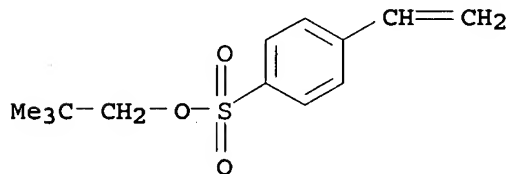
RN 460085-61-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 2,2-dimethylpropyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 443899-80-7

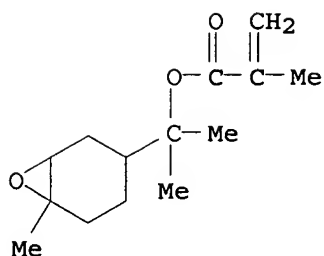
CMF C13 H18 O3 S



CM 2

CRN 354801-90-4

CMF C14 H22 O3



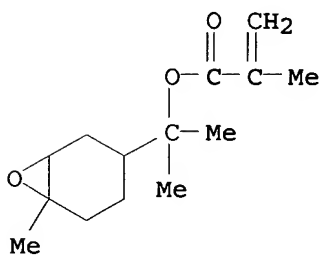
RN 460085-62-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with phenyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

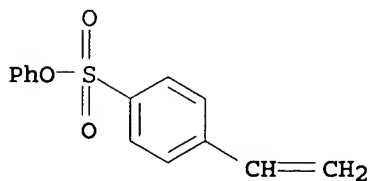
CMF C14 H22 O3



CM 2

CRN 20996-57-0

CMF C14 H12 O3 S



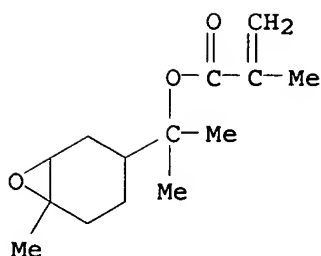
RN 476445-52-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with methyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

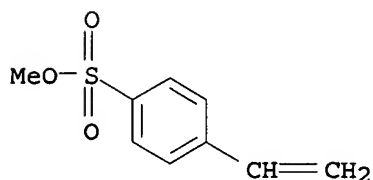
CMF C14 H22 O3



CM 2

CRN 16736-97-3

CMF C9 H10 O3 S



CC 35-4 (Chemistry of Synthetic High Polymers)

IT 460085-60-3DP, photocrosslinking, then thermal degradation

460085-61-4DP, photocrosslinking, then thermal degradation

460085-62-5DP, photocrosslinking, then thermal degradation

476445-52-0DP, photocrosslinking, then thermal degradation

(photocrosslinkable polymers with redissoln. property)

REFERENCE COUNT: 12 THERE ARE 12 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 9 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:559997 HCAPLUS

DOCUMENT NUMBER: 137:248280

TITLE: Thermally degradable photocrosslinking polymers

AUTHOR(S): Shirai, Masamitsu; Morishita, Satoshi; Kawaue, Akiya; Okamura, Haruyuki; Tsunooka, Masahiro

CORPORATE SOURCE: Department of Applied Chemistry, Graduate School of Engineering, Osaka Prefecture University, Osaka, 599-8531, Japan

SOURCE: PMSE Preprints (2002), 87, 384-386

CODEN: PPMRA9; ISSN: 1550-6703

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal; (computer optical disk)

LANGUAGE: English

AB Since photochem. crosslinked polymers are insol. and infusible networks, scratching or chemical treatments with strong acid or base must be applied to remove these networks from substrates.

However, crosslinked polymers are difficult or impossible to thoroughly remove without damaging underlying materials. In this study we have synthesized polymers having both epoxy moieties and thermally cleavable tertiary ester moieties in the side chain. On

UV irradiation, the polymer films containing photo-acid generators became insol. in organic solvents. When the crosslinked polymer films were baked at 100-180 °C, they became soluble in methanol. The effective baking temperature was strongly dependent on polymer structure. The crosslinked polymers having styrene-sulfonic acid ester units became soluble in water after bake treatments. These polymers are important as a photocrosslinkable materials which can be removed by baking after use.

IT 354801-91-5P 401928-96-9P 401928-97-0P  
460085-60-3P 460085-61-4P 460085-62-5P

(preparation of photo-crosslinkable polymers with thermally degradable property)

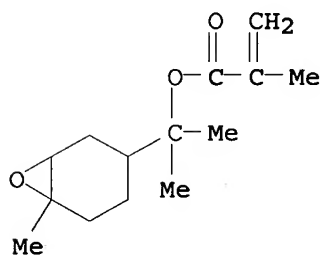
RN 354801-91-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3



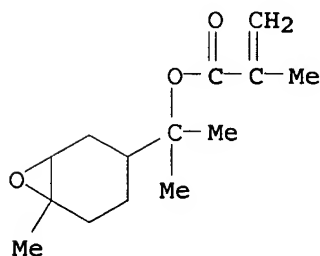
RN 401928-96-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1,1-dimethylethyl ester, polymer with 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

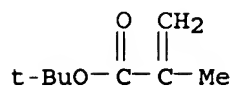
CMF C14 H22 O3



CM 2

CRN 585-07-9

CMF C8 H14 O2



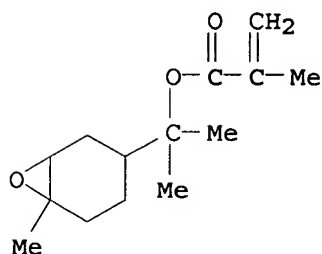
RN 401928-97-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 1-(1,1-dimethylethoxy)-4-ethenylbenzene (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

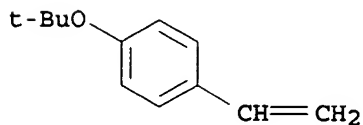
CMF C14 H22 O3



CM 2

CRN 95418-58-9

CMF C12 H16 O



RN 460085-60-3 HCAPLUS

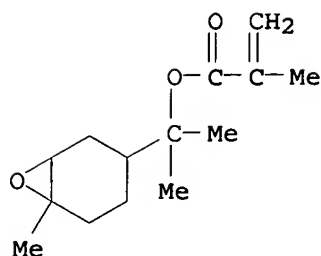
CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with cyclohexyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3

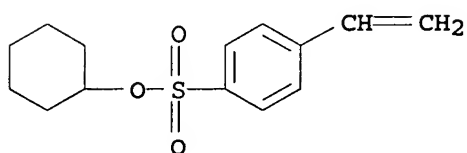




CM 2

CRN 211308-93-9

CMF C14 H18 O3 S



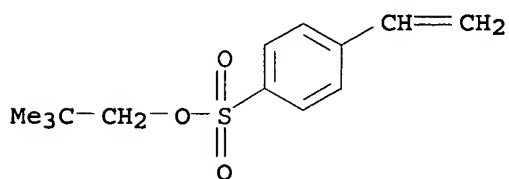
RN 460085-61-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with 2,2-dimethylpropyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 443899-80-7

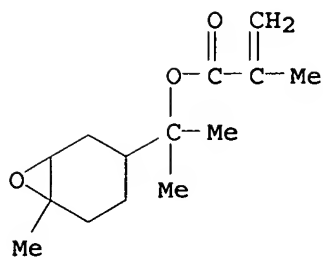
CMF C13 H18 O3 S



CM 2

CRN 354801-90-4

CMF C14 H22 O3



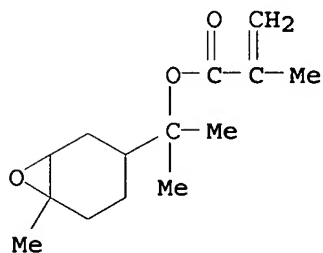
RN 460085-62-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with phenyl 4-ethenylbenzenesulfonate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

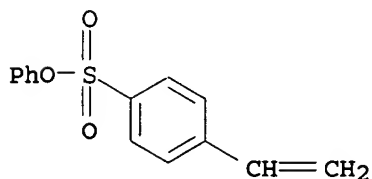
CMF C14 H22 O3



CM 2

CRN 20996-57-0

CMF C14 H12 O3 S



CC 37-3 (Plastics Manufacture and Processing)

IT 354801-91-5P 401928-96-9P 401928-97-0P

460085-60-3P 460085-61-4P 460085-62-5P

(preparation of photo-crosslinkable polymers with thermally degradable property)

REFERENCE COUNT: 13

THERE ARE 13 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 10 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

USHA SHRESTHA EIC 1700 REM 4B28

ACCESSION NUMBER: 2002:386402 HCAPLUS  
DOCUMENT NUMBER: 137:125487  
TITLE: Syntheses and characterizations of thermally degradable epoxy resins. III  
AUTHOR(S): Li, Haiying; Wang, Lejun; Jacob, Karl; Wong, C. P.  
CORPORATE SOURCE: Packaging Research Center, School of Materials Science and Engineering, School of Textile & Fiber Engineering, Georgia Institute of Technology, Atlanta, GA, 30332, USA  
SOURCE: Journal of Polymer Science, Part A: Polymer Chemistry (2002), 40(11), 1796-1807  
CODEN: JPACEC; ISSN: 0887-624X  
PUBLISHER: John Wiley & Sons, Inc.  
DOCUMENT TYPE: Journal  
LANGUAGE: English

AB In flip-chip technol., the development of reworkable underfill materials has been one of the keys to the recovery of highly integrated and expensive board assembly designs through the replacement of defective chips. Two new diepoxides, one containing secondary ester linkages and the other containing tertiary ester linkages, that are thermally degradable below 300°, are synthesized. The secondary and tertiary ester diepoxides were synthesized in three and two steps, resp. Both compds. were characterized with NMR and Fourier-transform IR spectroscopy and formulated into underfill materials with an anhydride as the hardener and an imidazole as the catalyst. A dual-epoxy system was also formulated containing the tertiary ester diepoxide and a conventional aliphatic diepoxide, 3,4-epoxy cyclohexyl methyl-3,4-epoxycyclohexyl carboxylate (ERL-4221E), with the same hardener and catalyst. The curing kinetics of the formulas were studied with differential scanning calorimetry (DSC). Thermal properties of cured samples were characterized with DSC, thermogravimetric anal., and thermomech. anal. The dual-epoxy system showed a viscosity of 18.7 and 0.87 P at 25° and 100°, resp. The cured secondary, tertiary, and dual-epoxy formulas showed decomposition temps. around 265°, 190°, and 220°, glass transition temps. around 120°-140°, 110°-157°, and 140°-157°, and coeffs. of thermal expansion of 70, 72, and 64 ppm/°C below their glass-transition temps., resp. The shear strength of the cured dual-epoxy system decreased quickly with aging at 230°. The reworkability test showed that the removal of a chip underfilled with this material from the board was quite easy, and the residue on the board could be thoroughly removed with a mech. brush without obvious damage to the solder mask. The synthesized tertiary epoxide can be used as a reworkable underfill for flip-chip applications.

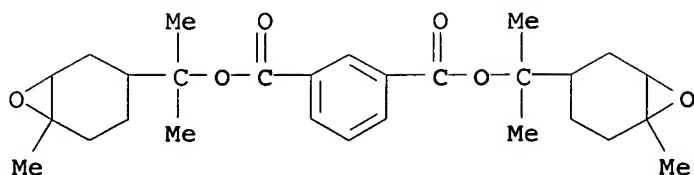
IT 298702-52-0P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer 298702-53-1P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-ERL-4221E 4-methylhexahydrophthalic anhydride copolymer  
(preparation of thermally degradable epoxy resins useful as reworkable underfill for flip-chip applications)

RN 298702-52-0 HCAPLUS  
CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

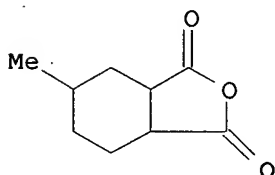
CMF C28 H38 O6



CM 2

CRN 19438-60-9

CMF C9 H12 O3



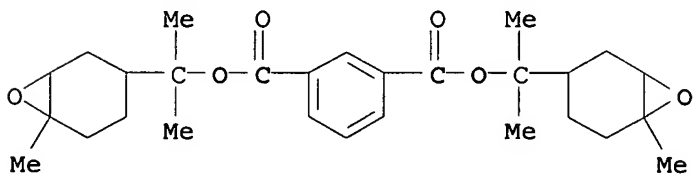
RN 298702-53-1 HCAPLUS

CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione and 7-oxabicyclo[4.1.0]hept-3-ylmethyl 7-oxabicyclo[4.1.0]heptane-3-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

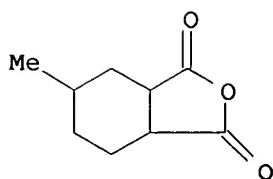
CMF C28 H38 O6



CM 2

CRN 19438-60-9

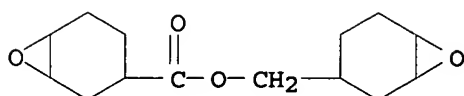
CMF C9 H12 O3



CM 3

CRN 2386-87-0

CMF C14 H20 O4



CC 35-7 (Chemistry of Synthetic High Polymers)

Section cross-reference(s): 37, 38

IT 298702-52-0P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer 298702-53-1P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-ERL-4221E 4-methylhexahydrophthalic anhydride copolymer 429685-44-9P, Bis[1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer

(preparation of thermally degradable epoxy resins useful as reworkable underfill for flip-chip applications)

REFERENCE COUNT: 19 THERE ARE 19 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 11 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:376079 HCAPLUS

DOCUMENT NUMBER: 137:279868

TITLE: Syntheses and characterizations of a controlled thermally degradable epoxy resin system for electronic packaging

AUTHOR(S): Li, Haiying; Wang, Lejun; Wong, C. P.

CORPORATE SOURCE: School of Materials Science and Engineering Packaging Research Center, Georgia Institute of Technology, Atlanta, GA, 30332, USA

SOURCE: Proceedings - International Symposium on Advanced Packaging Materials: Processes, Properties and Interfaces, Braselton, GA, United States, Mar. 11-14, 2001 (2001), Meeting Date 2001, 268-274. Institute of Electrical and Electronics Engineers: New York, N. Y.

CODEN: 69CPT9; ISBN: 0-930815-64-5

DOCUMENT TYPE: Conference

LANGUAGE: English

AB Two diepoxides with secondary and tertiary ester linkages that are thermally degradable below 300° were synthesized in three

and two steps, resp. Both compds. were characterized by NMR and FTIR spectroscopy and formulated into underfill materials with an anhydride as hardener and imidazole as catalyst. A dual-epoxy system was also formulated containing the tertiary ester diepoxide and a conventional aliphatic diepoxide, ERL-422IE, with the same hardener and catalyst. The curing kinetics of the materials was studied using DSC and thermal properties of cured samples were characterized by DSC, TGA, and TMA. The dual-epoxy system had viscosity of 18.7 and 0.87 P at 25° and 100°, resp. The cured secondary, tertiary, and dual-epoxy materials have decomposition temperature around 265°, 190° and 220°, glass transition temperature (Tg) around 120-140°, 110-157° and 140-157°, and CTE of 70 ppm/°, 72 ppm/°, and 64ppm/°, below Tg, resp. The shear strength of the cured dual-epoxy system decreased rapidly upon ageing at 230°. The reworkability tests showed that removal from the board of a chip underfilled with this material was quite easy, and the residue on the board could be thoroughly removed up with a mech. brush without obvious damage of the solder mask. The tertiary epoxide can be used as a reworkable underfill of flip-chips.

IT 298702-52-0P 298702-53-1P

(preparation and crosslinking and controlled thermal degradation of diepoxy resin system as underfill for electronic packaging)

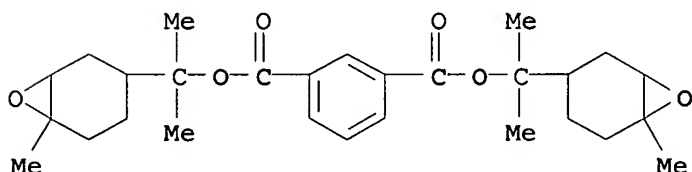
RN 298702-52-0 HCAPLUS

CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

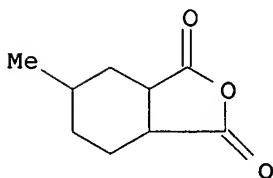
CMF C28 H38 O6



CM 2

CRN 19438-60-9

CMF C9 H12 O3



RN 298702-53-1 HCAPLUS

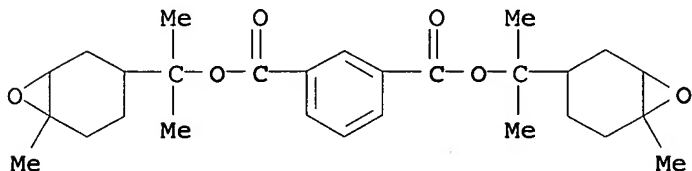
CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-

oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with  
hexahydro-5-methyl-1,3-isobenzofurandione and 7-  
oxabicyclo[4.1.0]hept-3-ylmethyl 7-oxabicyclo[4.1.0]heptane-3-  
carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

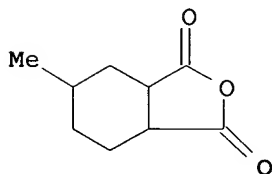
CMF C28 H38 O6



CM 2

CRN 19438-60-9

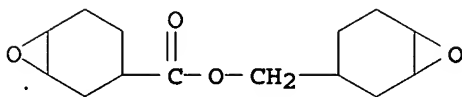
CMF C9 H12 O3



CM 3

CRN 2386-87-0

CMF C14 H20 O4



CC 37-6 (Plastics Manufacture and Processing)

Section cross-reference(s): 76

IT 298702-52-0P 298702-53-1P 429685-44-9P

(preparation and crosslinking and controlled thermal degradation of  
diepoxy resin system as underfill for electronic packaging)

REFERENCE COUNT: 10 THERE ARE 10 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 12 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:221231 HCAPLUS

DOCUMENT NUMBER: 136:248454

TITLE: No-flow reworkable epoxy underfill

compositions for protecting, encapsulating,  
fabricating in flip-chip applications  
INVENTOR(S): Wang, Lejun; Li, Haiying; Wong, Ching-ping  
PATENT ASSIGNEE(S): USA  
SOURCE: U.S. Pat. Appl. Publ., 28 pp., Cont.-in-part  
of U. S. Ser. No. 820,549.  
CODEN: USXXCO  
DOCUMENT TYPE: Patent  
LANGUAGE: English  
FAMILY ACC. NUM. COUNT: 3  
PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE               |
|------------------------|------|----------|-----------------|--------------------|
| US 2002035201          | A1   | 20020321 | US 2001-860081  | 2001<br>0517       |
| US 6570029             | B2   | 20030527 |                 |                    |
| US 2002013420          | A1   | 20020131 | US 2001-820549  | 2001<br>0329       |
| US 6498260             | B2   | 20021224 |                 |                    |
| PRIORITY APPLN. INFO.: |      |          | US 2000-193356P | P<br>2000<br>0329  |
|                        |      |          | US 2000-205590P | P<br>2000<br>0517  |
|                        |      |          | US 2001-820549  | A2<br>2001<br>0329 |

AB The encapsulant includes a cycloaliph. epoxide, an organic hardener,  
a curing accelerator, and a fluxing agent where the cycloaliph.  
epoxide includes a carbonate or carbamate group. The encapsulant  
can also include a filler, such as a SiO<sub>2</sub> filler.

IT 362513-25-5P

(no-flow reworkable carbonate or carbamate group-containing epoxy  
underfills for flip-chip applications)

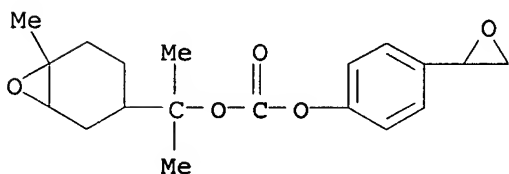
RN 362513-25-5 HCAPLUS

CN Carbonic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-  
yl)ethyl 4-oxiranylphenyl ester, polymer with hexahydro-5-methyl-  
1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 362513-20-0

CMF C19 H24 O5

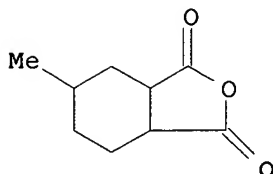




CM 2

CRN 19438-60-9

CMF C9 H12 O3



IC ICM C08G071-04

INCL 524873000

CC 37-6 (Plastics Manufacture and Processing)

Section cross-reference(s): 38, 76

IT 244760-72-3P 244760-75-6P 244760-81-4P 244760-84-7P

244760-87-0P 244760-88-1P 307929-99-3P 307930-00-3P

307930-01-4P 362513-25-5P 362513-26-6P

(no-flow reworkable carbonate or carbamate group-containing epoxy underfills for flip-chip applications)

L32 ANSWER 13 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:11232 HCAPLUS

DOCUMENT NUMBER: 136:217428

TITLE: Photo-Cross-Linkable Polymers with Thermally Degradable Property

AUTHOR(S): Shirai, Masamitsu; Morishita, Satoshi; Okamura, Haruyuki; Tsunooka, Masahiro

CORPORATE SOURCE: Department of Applied Chemistry Graduate School of Engineering, Osaka Prefecture University, Sakai, Osaka, 599-8531, Japan

SOURCE: Chemistry of Materials (2002), 14(1), 334-340

CODEN: CMATEX; ISSN: 0897-4756

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Polymers having both epoxy moieties and thermally cleavable tertiary ester moieties in the side chain were synthesized and characterized. On UV irradiation, polymer films containing photoacid generators (PAG) such as 9-fluorenylideneimino p-toluenesulfonate (FITS) and triphenylsulfonium triflate (TPST) became insol. in THF. The insol. fraction of the irradiated films was increased by postexposure-baking at 90 °C if FITS was used as a PAG. When the crosslinked polymer films were baked at 160-180 °C, they became soluble in methanol. The effective baking temperature was dependent on the type of PAG used and on the polymer structure. Thermal degradation of the photochem. induced network polymers was studied by FT-IR spectroscopy, TGA anal., and film thickness changes.

IT 354801-91-5P 401928-96-9P 401928-97-0P

(preparation of photo-crosslinkable polymers with thermally degradable property)

RN 354801-91-5 HCAPLUS

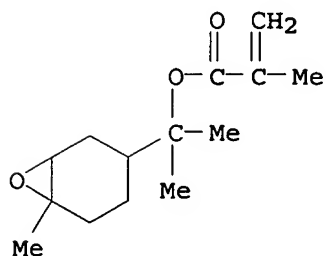
CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA

## INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3



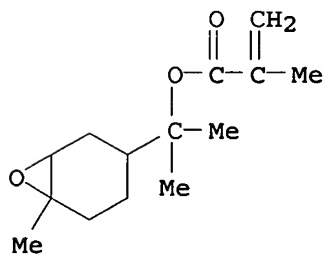
RN 401928-96-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1,1-dimethylethyl ester, polymer with  
 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl  
 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

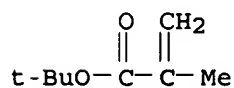
CMF C14 H22 O3



CM 2

CRN 585-07-9

CMF C8 H14 O2

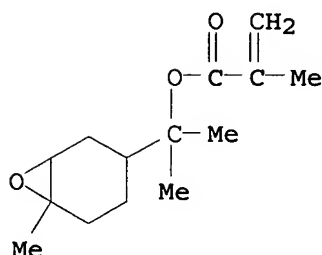


RN 401928-97-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with  
 1-(1,1-dimethylethoxy)-4-ethenylbenzene (9CI) (CA INDEX NAME)

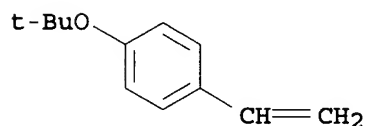
CM 1

CRN 354801-90-4  
CMF C14 H22 O3



CM 2

CRN 95418-58-9  
CMF C12 H16 O



CC 37-3 (Plastics Manufacture and Processing)  
IT 354801-91-5P 401928-96-9P 401928-97-0P  
401928-98-1P

(preparation of photo-crosslinkable polymers with thermally degradable property)

REFERENCE COUNT: 15 THERE ARE 15 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 14 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:926207 HCAPLUS

DOCUMENT NUMBER: 136:402680

TITLE: Study of a controlled thermally degradable epoxy resin system for electronic packaging

AUTHOR(S): Li, Haiying; Wang, Lejun; Wong, C. P.

CORPORATE SOURCE: Packaging Research Center Georgia Institute of Technology, School of Materials Science and Engineering, Atlanta, GA, 30332, USA

SOURCE: Proceedings - Electronic Components & Technology Conference (2001), 51st, 1356-1361  
CODEN: PETCES

PUBLISHER: Institute of Electrical and Electronics Engineers

DOCUMENT TYPE: Journal

LANGUAGE: English

AB This paper reports the synthesis, formulation and characterizations of two new diepoxides, one contains secondary and the other contains tertiary ester linkages that are thermally degradable below 300°. The secondary and the tertiary ester diepoxides were synthesized in three and two steps, resp. Both compds. were characterized with NMR and FT-IR spectroscopies,

and formulated into underfill materials with an anhydride as hardener and an imidazole as catalyst. A dual-epoxy system was also formulated containing the tertiary ester diepoxide and a conventional aliphatic diepoxide, ERL-4221E, with the same hardener and catalyst. The curing kinetics of the formulas was studied with differential scanning calorimetry (DSC). Thermal properties of cured samples were characterized with DSC, thermogravimetric anal. (TGA) and Thermomech. anal. (TMA). The dual-epoxy system showed a viscosity of 18.7, and 0.87P at 25° and 100°, resp. The cured secondary, tertiary and dual-epoxy formulas showed decomposition temps. around 265°, 190° and 220°, glass transition temps. (Tg) around 120°-140°, 110°-157° and 140°-157°, and CTE (coefficient of thermal expansion) of 70 ppm/°C, 72 ppm/°C and 64 ppm/°C below their Tg, resp. The shear strength of the cured dual-epoxy system decreased quickly upon being aged at 230°. The reworkability test showed that the removal from the board of a chip underfilled with this material was quite easy, and the residue on the board could be thoroughly removed with a mech. brush without obvious damage of the solder mask. The synthesized tertiary epoxide can be used as a reworkable underfill for flip-chip application.

IT 298702-52-0P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer 298702-53-1P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-ERL 4221E-4-methylhexahydrophthalic anhydride copolymer  
(preparation and properties of controlled thermally degradable epoxy resin system for electronic packaging)

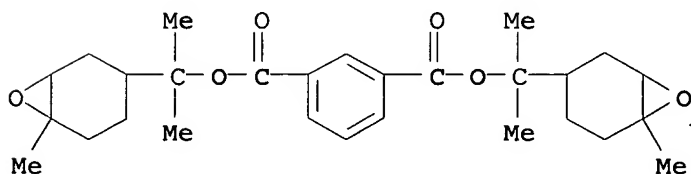
RN 298702-52-0 HCAPLUS

CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

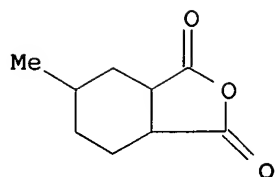
CMF C28 H38 O6



CM 2

CRN 19438-60-9

CMF C9 H12 O3



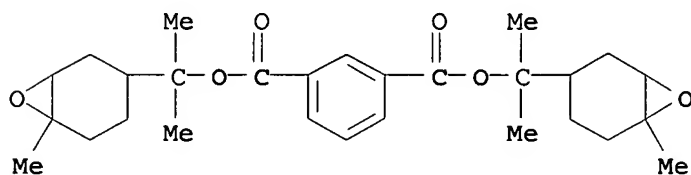
RN 298702-53-1 HCAPLUS

CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione and 7-oxabicyclo[4.1.0]hept-3-ylmethyl 7-oxabicyclo[4.1.0]heptane-3-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

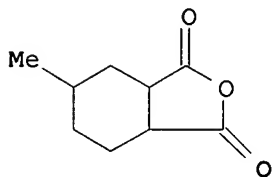
CMF C28 H38 O6



CM 2

CRN 19438-60-9

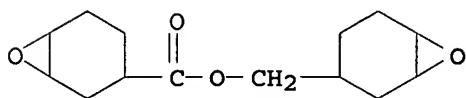
CMF C9 H12 O3



CM 3

CRN 2386-87-0

CMF C14 H20 O4



CC 38-3 (Plastics Fabrication and Uses)  
Section cross-reference(s): 37, 76

IT 298702-52-0P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer 298702-53-1P, Bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-ERL 4221E-4-methylhexahydrophthalic anhydride copolymer 429685-44-9P, Bis[1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl] isophthalate-4-methylhexahydrophthalic anhydride copolymer  
(preparation and properties of controlled thermally degradable epoxy resin system for electronic packaging)

REFERENCE COUNT: 10 THERE ARE 10 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 15 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2001:851529 HCAPLUS  
 DOCUMENT NUMBER: 136:14026  
 TITLE: No-flow reworkable epoxy underfills for flip-chip applications  
 INVENTOR(S): Wang, Lejun; Wong, Ching-Ping; Li, Haiying  
 PATENT ASSIGNEE(S): Georgia Tech Research Corporation, USA  
 SOURCE: PCT Int. Appl., 50 pp.  
 CODEN: PIXXD2  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 3  
 PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE      |
|---|------|----------|-----------------|-----------|
| WO 2001088959   | A2   | 20011122 | WO 2001-US15843 | 2001 0517 |
| WO 2001088959   | A3   | 20020328 |                 |           |
| W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM |      |          |                 |           |
| RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG  |      |          |                 |           |
| US 2002013420   | A1   | 20020131 | US 2001-820549  | 2001 0329 |
| US 6498260  | B2   | 20021224 |                 |           |
| AU 2001064625   | A5   | 20011126 | AU 2001-64625   | 2001 0517 |
| PRIORITY APPLN. INFO.:  |      |          | US 2000-205590P | P         |
|   |      |          |                 | 2000 0517 |
|   |      |          | US 2001-820549  | A         |
|   |      |          |                 | 2001 0329 |

US 2000-193356P P  
2000  
0329

WO 2001-US15843 W  
2001  
0517

AB A no-flow reworkable epoxy underfill is provided for use in an electronic packaged system which incorporates an integrated circuit, an organic printed wire board, and  $\geq 1$  eutectic solder joint formed there-between. An exemplary embodiment of the encapsulant includes: a cycloaliph. epoxide; an organic hardener; a curing accelerator; and a fluxing agent in which the cycloaliph. epoxide includes a carbonate or carbamate group. The encapsulant can also include a filler, such as a SiO<sub>2</sub> filler. A method is also provided for forming the aforementioned reworkable epoxy underfills.

IT 362513-25-5P  
(no-flow reworkable epoxy underfills for flip-chip applications)

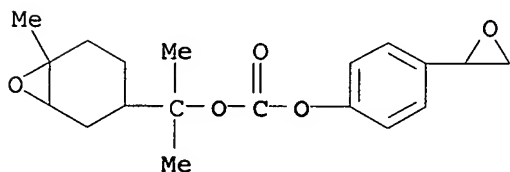
RN 362513-25-5 HCAPLUS

CN Carbonic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl 4-oxiranylphenyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 362513-20-0

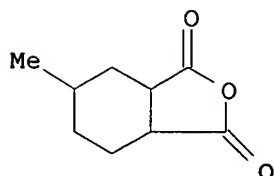
CMF C19 H24 O5



CM 2

CRN 19438-60-9

CMF C9 H12 O3



IC ICM H01L

CC 76-3 (Electric Phenomena)

Section cross-reference(s): 38

IT 244760-72-3P 244760-75-6P 244760-81-4P 244760-84-7P

244760-87-0P 244760-88-1P 307929-99-3P 307930-00-3P  
307930-01-4P **362513-25-5P** 362513-26-6P  
(no-flow reworkable epoxy underfills for flip-chip  
applications)

L32 ANSWER 16 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:755208 HCAPLUS

DOCUMENT NUMBER: 136:70598

TITLE: Characterization of thermally re-workable  
thermosets: materials for environmentally  
friendly processing and reuse

AUTHOR(S): Chen, J.-S.; Ober, C. K.; Poliks, M. D.

CORPORATE SOURCE: Bard Hall, Department of Materials Science and  
Engineering, Cornell University, Ithaca, NY,  
14850, USA

SOURCE: Polymer (2001), Volume Date 2002, 43(1),  
131-139

CODEN: POLMAG; ISSN: 0032-3861

PUBLISHER: Elsevier Science Ltd.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB In recent years, several research groups have created re-workable  
thermoset systems. A prominent use of such materials is in  
microelectronics packaging areas to enable the repair or  
reprocessing of electronic components. A wider implication of  
such an application is that it may facilitate the future recycling  
or reuse of older computer systems. Recent studies indicate  
millions of computers are discarded each year due to obsolescence  
or other factors. The research presented here involves studies of  
thermosets incorporating a cycloaliph. epoxy monomer that contains  
a tertiary ester linkage. When part of a fully crosslinked  
network, the re-workable epoxy unit will disconnect the network  
under predetd. thermal conditions. We studied the chemical and  
thermo-mech. breakdown mechanisms of the monomer and resulting  
polymer networks as a function of their rework conditions. Via  
anal. chemical techniques, the materials were found to degrade in a  
controlled fashion consistent with prior polyester degradation  
studies. Monitoring the change in glass transition temperature of the  
materials under rework conditions yielded both kinetic and  
mechanistic data of the degradation process, as well as providing  
insight into the materials' mech. strength.

IT 195065-79-3P 195065-81-7P

(thermally re-workable thermosets as materials for  
environmentally friendly processing and reuse)

RN 195065-79-3 HCAPLUS

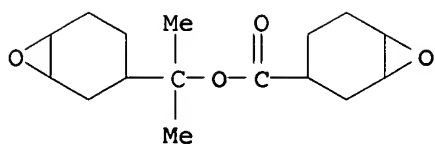
CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(7-  
oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with  
hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-78-2

CMF C16 H24 O4

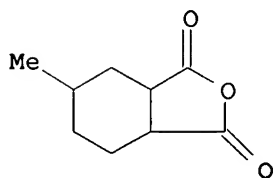




CM 2

CRN 19438-60-9

CMF C9 H12 O3



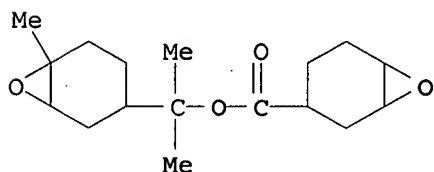
RN 195065-81-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

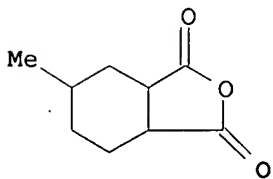
CMF C17 H26 O4



CM 2

CRN 19438-60-9

CMF C9 H12 O3



CC 37-6 (Plastics Manufacture and Processing)

IT 195065-79-3P 195065-81-7P

(thermally re-workable thermosets as materials for

environmentally friendly processing and reuse)  
 REFERENCE COUNT: 23 THERE ARE 23 CITED REFERENCES AVAILABLE  
 FOR THIS RECORD. ALL CITATIONS AVAILABLE  
 IN THE RE FORMAT

L32 ANSWER 17 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2001:730881 HCAPLUS  
 DOCUMENT NUMBER: 135:257990  
 TITLE: Thermally degradable epoxy underfills for  
 flip-chip applications  
 INVENTOR(S): Wang, Lejun; Wong, Ching-Ping; Li, Haiying  
 PATENT ASSIGNEE(S): Georgia Tech Research Corporation, USA  
 SOURCE: PCT Int. Appl., 48 pp.  
 CODEN: PIXXD2  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 3  
 PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| WO 2001072898 | A1   | 20011004 | WO 2001-US10095 | 2001<br>0329 |

W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,  
 CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB,  
 GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP,  
 KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN,  
 MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK,  
 SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW, AM,  
 AZ, BY, KG, KZ, MD, RU, TJ, TM  
 RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW, AT, BE,  
 CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL,  
 PT, SE, TR, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR,  
 NE, SN, TD, TG

|               |    |          |               |              |
|---------------|----|----------|---------------|--------------|
| AU 2001051096 | A5 | 20011008 | AU 2001-51096 | 2001<br>0329 |
|---------------|----|----------|---------------|--------------|

PRIORITY APPLN. INFO.: US 2000-193356P P  
 2000  
 0329

WO 2001-US10095 W  
 2001  
 0329

AB A reworkable epoxy underfill for use in electronic packaged system  
 comprises a cycloaliph. epoxide, an organic hardener, and a curing  
 accelerator, and optionally a filler, such as a silica filler.  
 Thus, di-3,4-epoxycyclohexylmethyl carbonate/hexahydromethylphthal  
 ic anhydride 1/0.8 mol and imidazole 1% were mixed to give a  
 resin, showing Tg 176°, storage modulus 2.6 GPa, and  
 viscosity (25°) 0.24 Pa.s.

IT 362513-25-5P  
 (thermally degradable epoxy underfills for flip-chip  
 applications)

RN 362513-25-5 HCAPLUS

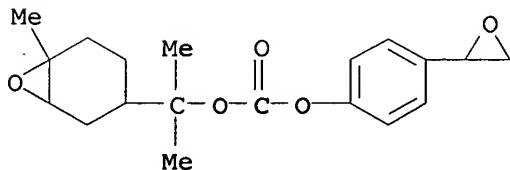
CN Carbonic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-  
 yl)ethyl 4-oxiranylphenyl ester, polymer with hexahydro-5-methyl-

1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 362513-20-0

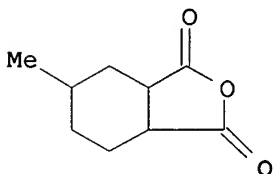
CMF C19 H24 O5



CM 2

CRN 19438-60-9

CMF C9 H12 O3



IC ICM C08L063-00

ICS C08G059-02

CC 37-6 (Plastics Manufacture and Processing)

IT 307930-01-4P 362513-25-5P

(thermally degradable epoxy underfills for flip-chip applications)

REFERENCE COUNT: 1 THERE ARE 1 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 18 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:602558 HCAPLUS

DOCUMENT NUMBER: 135:187711

TITLE: Acid-crosslinkable polymer with resolubility  
after heating and photosensitive resin  
composition using it in combination with  
photoacid generator

INVENTOR(S): Shirai, Masamitsu; Kakuoka, Masahiro

PATENT ASSIGNEE(S): Foundation for Scientific Technology  
Promotion, Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 8 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE  | APPLICATION NO. | DATE  |
|------------|------|-------|-----------------|-------|
| -----      | ---- | ----- | -----           | ----- |

JP 2001226430

A2

20010821

JP 2000-34613

2000  
0214

PRIORITY APPLN. INFO.:

JP 2000-34613

2000  
0214

AB The polymer has chemical groups having acid-crosslinkable terminals, tertiary C or O of ester or aryl ether linkage directly linked to the chemical groups as its side chain. The composition showing photocrosslinkable and thermally decomposable properties is composed of the above polymer and a photoacid generator. Cured products of the composition can be modified to easily decomposable structures by heating under milder condition.

IT 354801-91-5P

(acid-crosslinkable polymer with resoly. after heating for photoresist using in combination with photoacid generator)

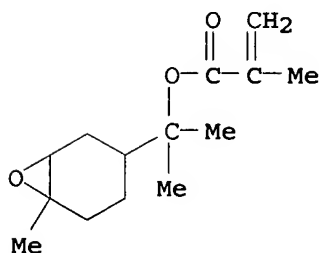
RN 354801-91-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 354801-90-4

CMF C14 H22 O3



IC ICM C08F020-28

ICS C08F002-48; C08F020-38; C08F030-08; C08J003-24; G03F007-038

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 354801-91-5P

(acid-crosslinkable polymer with resoly. after heating for photoresist using in combination with photoacid generator)

L32 ANSWER 19 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:700418 HCAPLUS

DOCUMENT NUMBER: 133:362992

TITLE: Syntheses and characterizations of thermally reworkable epoxy resins II

AUTHOR(S): Wang, Lejun; Li, Haiying; Wong, C. P.

CORPORATE SOURCE: School of Materials Science and Engineering and Packaging Research Center, Georgia Institute of Technology, Atlanta, GA, 30332, USA

SOURCE: Journal of Polymer Science, Part A: Polymer

Chemistry (2000), 38(20), 3771-3782

CODEN: JPACEC; ISSN: 0887-624X

PUBLISHER: John Wiley &amp; Sons, Inc.

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Flip-chip technol. is a face-down attachment of the active side of the silicon device onto the substrate. It is the ultimate packaging solution to integrated circuit devices used in 21st century electronic systems to meet the requirements of small size, high performance, and low cost. Underfill technol. enhances the flip chip on board cycle fatigue life and thus dramatically extends the application of flip-chip technol. in electronics from high-end to cost-sensitive commodity products. Reworkable underfill is the key to addressing the non-reworkability of the underfill, so it is very important to electronic packaging. To meet the need for reworkable epoxy resins, four cycloaliph. epoxides containing thermally breakable carbonate linkages have been synthesized and characterized. These materials are shown to undergo curing reactions with cyclic anhydride similarly to a com. cycloaliph. diepoxide. Furthermore, these cured epoxides start to decompose at temps. lower than 350°, the decomposition temperature for the cured sample of the com. cycloaliph. diepoxide. Two formulations based on two carbonate-containing diepoxides start network breakdown around 220°, which is the targeted rework temperature. Moreover, these two formulations have similar properties, including the glass-transition temperature, coefficient of thermal expansion, storage modulus, viscosity, and adhesion, compared to the standard com. diepoxide formulation. storage modulus. As such, these two formulations are potential candidates for a successful reworkable underfill.

IT 307930-02-5P

(syntheses and characterizations of thermally reworkable epoxy resins II)

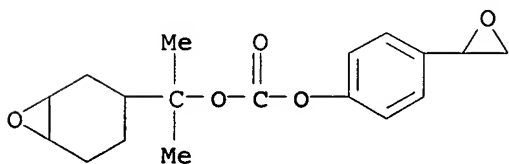
RN 307930-02-5 HCAPLUS

CN Carbonic acid, 1-methyl-1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl 4-oxiranylphenyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 307929-98-2

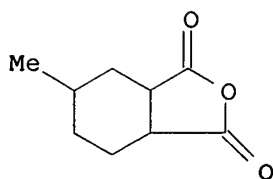
CMF C18 H22 O5



CM 2

CRN 19438-60-9

CMF C9 H12 O3



CC 35-2 (Chemistry of Synthetic High Polymers)

Section cross-reference(s): 38, 76

IT 307929-99-3P, Di-3,4-epoxycyclohexylmethyl Carbonate-4-methylhexahydrophthalic anhydride copolymer 307930-00-3P  
307930-01-4P 307930-02-5P

(syntheses and characterizations of thermally reworkable epoxy resins II)

REFERENCE COUNT: 20 THERE ARE 20 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 20 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:688295 HCAPLUS

DOCUMENT NUMBER: 133:267620

TITLE: Reworkable thermosetting resin compositions for sealing semiconductors

INVENTOR(S): Torres-Filho, Afranio; Crane, Lawrence N.; Konarski, Mark M.; Szczepaniak, Zbigniew A.

PATENT ASSIGNEE(S): Loctite Corporation, USA

SOURCE: PCT Int. Appl., 41 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| WO 2000056799 | A1   | 20000928 | WO 2000-US7452  | 2000<br>0322 |

W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW, AM, AZ, BY, KG, KZ, MD, RU, TJ, TM

RW: GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW, AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG

|            |    |          |                 |              |
|------------|----|----------|-----------------|--------------|
| CA 2331790 | AA | 20000928 | CA 2000-2331790 | 2000<br>0322 |
| EP 1090057 | A1 | 20010411 | EP 2000-916567  | 2000<br>0322 |

R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT, IE, SI, LT, LV, FI, RO

JP 2002540235

T2

20021126

JP 2000-606659

2000

0322

PRIORITY APPLN. INFO.:

US 1999-274943

A

1999

0323

WO 2000-US7452

W

2000

0322

OTHER SOURCE(S): MARPAT 133:267620

AB A thermosetting resin composition capable of sealing underfilling between a semiconductor device including a semiconductor chip mounted on a carrier substrate and a circuit board to which the semiconductor device is elec. connected, reaction products of which are capable of softening and losing their adhesiveness under exposure to temperature conditions in excess of those used to cure the composition, comprises: (a) an epoxy resin component, a portion of which comprises an epoxy compound having at least one thermally cleavable linkage; (b) optionally, an inorg. filler component; and (c) a curing agent component comprising a member selected from the group consisting of anhydride compds., amine compds., amide compds., imidazole compds., and combinations thereof. The thermosetting resin compns. are useful for mounting onto a circuit board semiconductor devices, such as chip size or chip scale packages ("CSPs"), ball grid arrays ("BGAs"), and the like, each of which having a semiconductor chip, such as large scale integration ("LSI"), on a carrier substrate. The compns. of this invention are reworkable when subjected to appropriate conditions.

IT 297765-36-7P

(reworkable thermosetting resin compns. for sealing semiconductors)

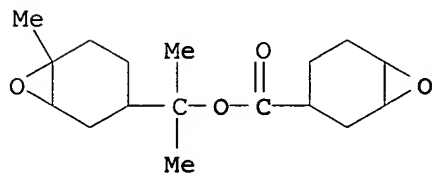
RN 297765-36-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-1,3-isobenzofurandione, hexahydromethyl-1,3-isobenzofurandione and 2,2'-[methylenebis(phenyleneoxymethylene)]b is[oxirane] (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

CMF C17 H26 O4

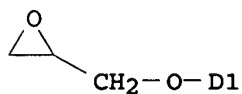
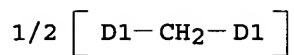


CM 2

CRN 39817-09-9

CMF C19 H20 O4

CCI IDS

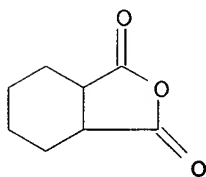


CM 3

CRN 25550-51-0

CMF C9 H12 O3

CCI IDS

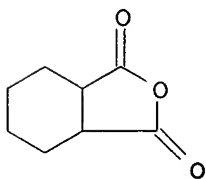


D1-Me

CM 4

CRN 85-42-7

CMF C8 H10 O3



IC ICM C08G059-24

ICS H01L021-56

CC 37-6 (Plastics Manufacture and Processing)

Section cross-reference(s): 76

IT 297765-36-7P 297765-38-9P 297765-39-0P

(reworkable thermosetting resin compns. for sealing)



semiconductors)

REFERENCE COUNT: 6 THERE ARE 6 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 21 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:594240 HCAPLUS

DOCUMENT NUMBER: 133:297079

TITLE: Reworkable thermosets: enabling disassembly of  
microelectronic components

AUTHOR(S): Chen, J. S.; Ober, C. K.; Poliks, M. D.

CORPORATE SOURCE: Department of Materials Science and  
Engineering, Cornell University, Ithaca, NY,  
14853, USASOURCE: Polymer Preprints (American Chemical Society,  
Division of Polymer Chemistry) (2000), 41(2),  
1842-1843

CODEN: ACPPAY; ISSN: 0032-3934

PUBLISHER: American Chemical Society, Division of Polymer  
Chemistry

DOCUMENT TYPE: Journal

LANGUAGE: English

AB The breakdown mechanism of the monomer and networks from  
 $\alpha$ -Terp epoxy resin (1-methyl-1-(6-methyl-7-  
oxabicyclo[4.1.0]hept-3-yl)ethyl ester of 7-  
Oxabicyclo[4.1.0]heptane-3-carboxylic acid) is studied. The resin  
cleaves in a manner that enables reworkability in fully cured  
thermoset networks that contain it. The relationship between  
glass temperature and thermal treatment and the application in the  
microelectronics are also discussed.

IT 195065-81-7

(breakdown mechanism of reworkable epoxy resin thermosets and  
enabling disassembly of microelectronic components)

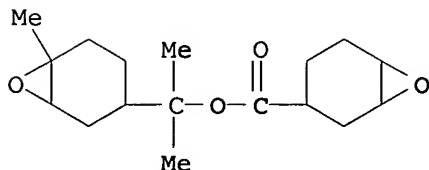
RN 195065-81-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-  
7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with  
hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

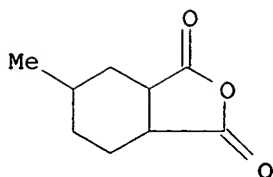
CMF C17 H26 O4



CM 2

CRN 19438-60-9

CMF C9 H12 O3



CC 37-6 (Plastics Manufacture and Processing)

Section cross-reference(s): 76

IT 195065-81-7

(breakdown mechanism of reworkable epoxy resin thermosets and enabling disassembly of microelectronic components)

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 22 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:566232 HCAPLUS

DOCUMENT NUMBER: 133:267406

TITLE: Synthesis and characterizations of a controlled thermally degradable epoxy resin system for electronic packaging

AUTHOR(S): Li, Haiying; Wang, Lejun; Jacob, Karl; Wong, C. P.

CORPORATE SOURCE: School of Textile & Fiber Engineering, Georgia Institute of Technology, Atlanta, GA, 30332, USA

SOURCE: Polymeric Materials Science and Engineering (2000), 83, 563-565  
CODEN: PMSLED; ISSN: 0743-0515

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB A new diepoxide containing tertiary ester linkage and a benzene ring was prepared and characterized with NMR and FTIR spectroscopies. This epoxy compound existed as a liquid at ambient temperature. This diepoxide and a dual-epoxy system composed with this diepoxide and another diepoxide were formulated and cured with an anhydride as hardener and imidazole as catalyst. The curing properties of this diepoxide and its dual-epoxy system were studied with DSC. Thermal properties of the cured resins of this diepoxide and its dual-epoxy system were characterized with DSC, TGA, and thermal mech. anal. The thermoset of the diepoxide showed a decomposition temperature around 200° and a glass temperature around 110-157°. The coefficient of thermal expansion (CTE) of the cured diepoxide resin was 72 ppm/°C. The curing formulated dual-epoxy system showed a viscosity of 18.7 P at room temperature and the cured resin of the dual-epoxy system showed a decomposition temperature around 220° and a glass temp., 140-157°. The CTE of the cured dual-epoxy system was 64 ppm/°C.

IT 298702-52-0P 298702-53-1P

(preparation and characterization of)

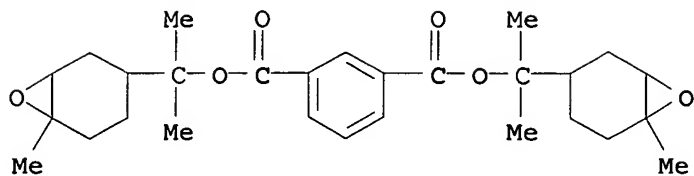
RN 298702-52-0 HCAPLUS

CN 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

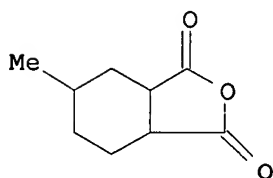
CMF C28 H38 O6



CM 2

CRN 19438-60-9

CMF C9 H12 O3



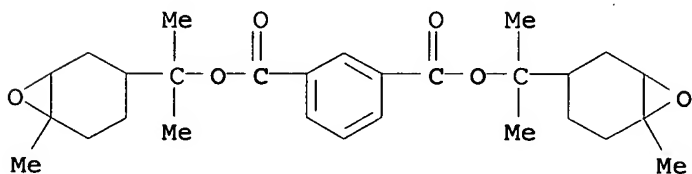
RN 298702-53-1 HCAPLUS

CM 1,3-Benzenedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione and 7-oxabicyclo[4.1.0]hept-3-ylmethyl 7-oxabicyclo[4.1.0]heptane-3-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 298702-51-9

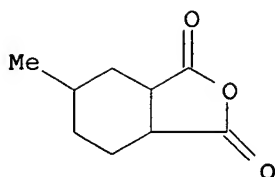
CMF C28 H38 O6



CM 2

CRN 19438-60-9

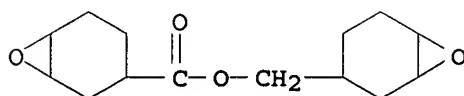
CMF C9 H12 O3



CM 3

CRN 2386-87-0

CMF C14 H20 O4



CC 37-3 (Plastics Manufacture and Processing)

Section cross-reference(s): 38

IT 298702-52-0P 298702-53-1P

(preparation and characterization of)

REFERENCE COUNT: 22 THERE ARE 22 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 23 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:535780 HCAPLUS

DOCUMENT NUMBER: 133:223104

TITLE: Template-induced, stereoselective cyclizations  
in the cyclopolymerization of  
TADDOL-dimethacrylate

AUTHOR(S): Wulff, Gunter; Matussek, Anja; Hanf,  
Christian; Gladow, Stefan; Lehmann, Christian;  
Goddard, Richard

CORPORATE SOURCE: Institut fur Organische Chemie und  
Makromolekulare Chemie Heinrich-Heine-  
Universitat Dusseldorf, Dusseldorf, 40225,  
Germany

SOURCE: Angewandte Chemie, International Edition  
(2000), 39(13), 2275-2278  
CODEN: ACIEF5; ISSN: 1433-7851

PUBLISHER: Wiley-VCH Verlag GmbH

DOCUMENT TYPE: Journal

LANGUAGE: English

AB The stereoselectivity and the mechanism of the anionic  
monocyclization of (R,R)-TADDOL dimethacrylate was studied using 4  
different organolithium initiators (RLi, R = CHPh<sub>2</sub>, CPh<sub>3</sub>,  
fluorenyl, N,N'-diphenylethylenediamine). Both diastereomers of  
the TADDOL-bound MMA dimer compds. were yielded.

IT 171979-20-7DP, hydrolyzed 171979-20-7P  
(preparation and structure of TADDOL-bound and free cyclic  
polymethacrylate oligomers)

RN 171979-20-7 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, [[[4R,5R)-2,2-dimethyl-1,3-dioxolane-  
4,5-diyl]bis(diphenylmethylene)] ester, homopolymer, isotactic

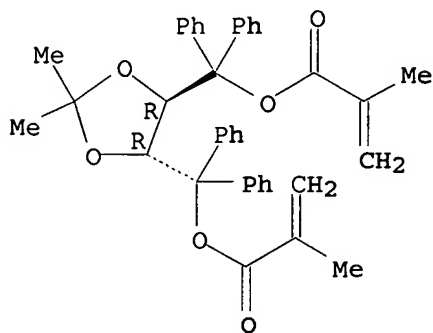
(9CI) (CA INDEX NAME)

CM 1

CRN 171979-19-4

CMF C39 H38 O6

Absolute stereochemistry. Rotation (-).



RN 171979-20-7 HCAPLUS

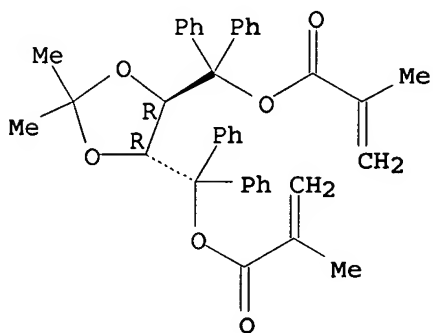
CN 2-Propenoic acid, 2-methyl-, [[[4R,5R)-2,2-dimethyl-1,3-dioxolane-4,5-diyl]bis(diphenylmethylene)] ester, homopolymer, isotactic  
(9CI) (CA INDEX NAME)

CM 1

CRN 171979-19-4

CMF C39 H38 O6

Absolute stereochemistry. Rotation (-).



CC 35-4 (Chemistry of Synthetic High Polymers)

IT 25188-98-1P, Isotactic PMMA 171979-20-7DP, hydrolyzed

171979-20-7P 292163-36-1P 292163-37-2P 292163-40-7P

292163-41-8P 292163-42-9P 292163-43-0P 292163-44-1P

292163-45-2P

(preparation and structure of TADDOL-bound and free cyclic  
polymethacrylate oligomers)

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 24 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2000:182104 HCAPLUS

DOCUMENT NUMBER: 132:294388

TITLE: Altering network architecture in cured thermosets: the decomposition mechanism and network breakdown of reworkable epoxies with tertiary ester links

AUTHOR(S): Chen, J. S.; Ober, C. K.; Poliks, M. D.

CORPORATE SOURCE: Department of Materials Science and Engineering, Cornell University, Ithaca, NY, 14853, USA

SOURCE: Polymeric Materials Science and Engineering (2000), 82, 357-358

CODEN: PMSEDG; ISSN: 0743-0515

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English

AB The breakdown mechanism of the cycloaliph. epoxy monomer and polymer network derived by the esterification of cyclohexenoic acid with  $\alpha$ -terpineol with subsequent epoxidn. was studied. The resin cleaves in a manner that enabled reworkability in fully cured thermoset networks that contain it. The monomer breaks at its tertiary ester bond. Network decomposition due to disconnection of the monomer segments rendered the system soluble in common solvents.

IT 207505-78-0  
(decomposition mechanism and network breakdown of reworkable epoxies with tertiary ester links)

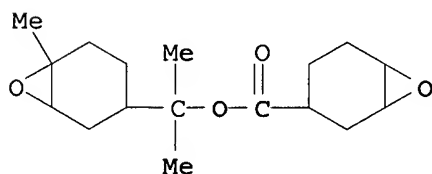
RN 207505-78-0 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

CMF C17 H26 O4



CC 37-4 (Plastics Manufacture and Processing)

IT 195065-80-6 207505-78-0

(decomposition mechanism and network breakdown of reworkable epoxies with tertiary ester links)

REFERENCE COUNT: 7 THERE ARE 7 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 25 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1999:571842 HCAPLUS

DOCUMENT NUMBER: 131:200774

TITLE: Compounds with substituted cyclic hydrocarbon moieties linked by secondary or tertiary oxycarbonyl-containing moiety for reworkable

INVENTOR(S): cured thermosets  
 PATENT ASSIGNEE(S): Ober, Christopher K.; Koerner, Hilmar  
 SOURCE: Cornell Research Foundation, Inc., USA  
 U.S., 21 pp.  
 CODEN: USXXAM  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE               |
|------------------------|------|----------|-----------------|--------------------|
| US 5948922             | A    | 19990907 | US 1997-802905  | 1997<br>0220       |
| US 6197122             | B1   | 20010306 | US 1998-177363  | 1998<br>1023       |
| US 5973033             | A    | 19991026 | US 1998-178557  | 1998<br>1026       |
| PRIORITY APPLN. INFO.: |      |          | US 1997-802905  | A3<br>1997<br>0220 |

AB Title compds. containing two cyclic hydrocarbon moieties which are substituted to provide crosslinking functionality and linked to each other by secondary or tertiary oxycarbonyl-containing moiety are basis for compns. which are cured to provide cured thermosets for encapsulation and underfill for electronic components that are thermally decomposable to allow repair, replacement, recovery or recycling of operative electronic components from assemblies that are inoperative. Thus a curable composition comprising a compound prepared by reacting 3-cyclohexenecarboxylic acid chloride with (1-methyl-1-hydroxy)ethyl-3-cyclohexene, followed by epoxidizing the product with dimethyldioxirane 100, cis-1,2-cyclohexanecarboxylic anhydride 87, N,N-dimethylbenzylamine catalyst 1.5, and ethylene glycol initiator 1.5 parts was cured at 160° to give a cured thermoset, showing thermal decomposition temperature of ≤350°.

IT 240493-37-2P 240493-40-7P  
 (preparation of compds. with substituted cyclic hydrocarbon moieties linked by secondary or tertiary oxycarbonyl-containing moiety for reworkable cured thermosets)

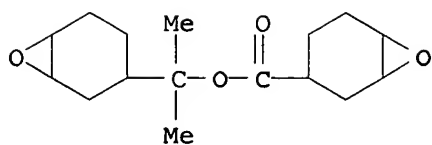
RN 240493-37-2 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with rel-(3aR,7aS)-hexahydro-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-78-2

CMF C16 H24 O4

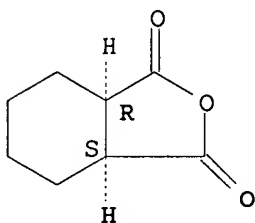


CM 2

CRN 13149-00-3

CMF C8 H10 O3

Relative stereochemistry.



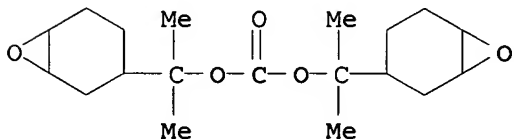
RN 240493-40-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-methanol,  $\alpha,\alpha$ -dimethyl-,  
carbonate (2:1), polymer with rel-(3aR,7aS)-hexahydro-1,3-  
isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 240493-33-8

CMF C19 H30 O5



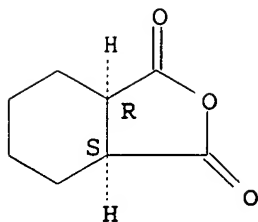
CM 2

CRN 13149-00-3

CMF C8 H10 O3

Relative stereochemistry.





IC ICM C07D303-00  
 INCL 549547000  
 CC 37-2 (Plastics Manufacture and Processing)  
 Section cross-reference(s): 38, 76  
 IT 240493-37-2P 240493-38-3P 240493-40-7P  
 240493-41-8P 240493-42-9P 240493-43-0P 240493-44-1P  
 240803-80-9P

(preparation of compds. with substituted cyclic hydrocarbon moieties  
 linked by secondary or tertiary oxycarbonyl-containing moiety for  
 reworkable cured thermosets)

REFERENCE COUNT: 34 THERE ARE 34 CITED REFERENCES AVAILABLE  
 FOR THIS RECORD. ALL CITATIONS AVAILABLE  
 IN THE RE FORMAT

L32 ANSWER 26 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1999:211320 HCAPLUS

DOCUMENT NUMBER: 130:352659

TITLE: Control of chirality and helicity in synthetic  
 polymers

AUTHOR(S): Sogah, Dotsevi Y.; Zheng, Shiyong

CORPORATE SOURCE: Department of Chemistry and Chemical Biology,  
 Cornell University, Ithaca, NY, 14853-1301,  
 USA

SOURCE: Polymer Preprints (American Chemical Society,  
 Division of Polymer Chemistry) (1999), 40(1),  
 540-541

CODEN: ACPPAY; ISSN: 0032-3934

PUBLISHER: American Chemical Society, Division of Polymer  
 Chemistry

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Novel chiral helical polymers were prepared by isospecific free  
 radical cyclopolymn. of bis(methacrylates) containing asym. templates.  
 Polarimetric and CD measurements suggest that the polymers assume  
 ordered and rigid conformations in solution with very high average molar  
 rotation and do not mutarotate with either temperature or time. A  
 strategy for probing secondary structures of optically active  
 synthetic polymers that involves copolymn. of a chiral and achiral  
 monomer and measurement of chiroptical properties of as a function  
 of copolymer composition is described. Plot of optical rotation as a  
 function of sequence length provides insight into the contribution  
 of the optically active segment to the backbone conformation of  
 the polymer. The min. segment length necessary for adoption of a  
 stable helical conformation is determined from plots of normalized  
 rotation vs. isotactic block length.

IT 224949-29-5P 224949-31-9P 224949-33-1P  
 224949-34-2P

(preparation and characterization of)

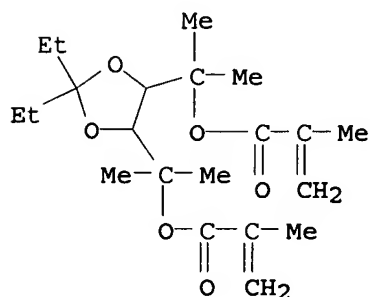
RN 224949-29-5 HCAPLUS

CN Hexitol, 1,6-dideoxy-3,4-O-(1-ethylpropylidene)-2,5-di-C-methyl-,  
bis(2-methyl-2-propenoate), polymer with 1-phenylethyl  
2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 224949-28-4

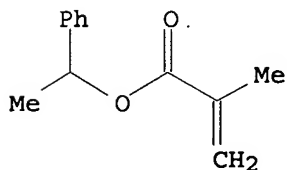
CMF C21 H34 O6



CM 2

CRN 19321-42-7

CMF C12 H14 O2



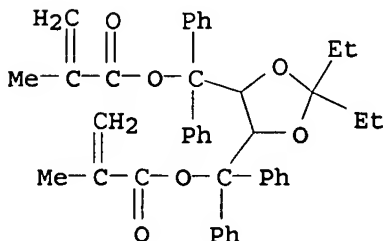
RN 224949-31-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, (2,2-diethyl-1,3-dioxolane-4,5-diyl)bis(diphenylmethylene) ester, polymer with 1-phenylethyl  
2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 224949-30-8

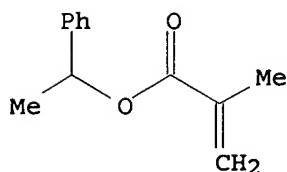
CMF C41 H42 O6



CM 2

CRN 19321-42-7

CMF C12 H14 O2



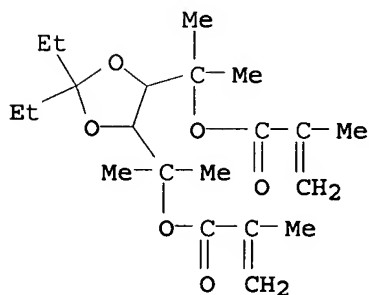
RN 224949-33-1 HCAPLUS

CN Hexitol, 1,6-dideoxy-3,4-O-(1-ethylpropylidene)-2,5-di-C-methyl-, bis(2-methyl-2-propenoate), polymer with diphenylmethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 224949-28-4

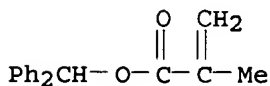
CMF C21 H34 O6



CM 2

CRN 25574-72-5

CMF C17 H16 O2



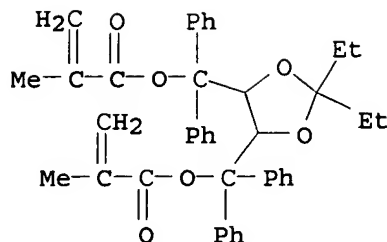
RN 224949-34-2 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, (2,2-diethyl-1,3-dioxolane-4,5-diyl)bis(diphenylmethylene) ester, polymer with diphenylmethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 224949-30-8

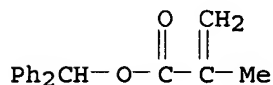
CMF C41 H42 O6



CM 2

CRN 25574-72-5

CMF C17 H16 O2



CC 35-4 (Chemistry of Synthetic High Polymers)

IT 224949-27-3P 224949-29-5P 224949-31-9P

224949-32-0P 224949-33-1P 224949-34-2P

(preparation and characterization of)

REFERENCE COUNT: 12 THERE ARE 12 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 27 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1999:7955 HCAPLUS

DOCUMENT NUMBER: 130:66889

TITLE: Halogenated acrylates and polymers derived therefrom

INVENTOR(S): Moore, George G. I.; McCormick, Fred B.;  
Chattoraj, Mita; Cross, Elisa M.; Liu, Junkang  
Jacob; Roberts, Ralph R.; Schulz, Jay F.

PATENT ASSIGNEE(S): Minnesota Mining and Manufacturing Company,  
USA

SOURCE: PCT Int. Appl., 73 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE     | APPLICATION NO. | DATE |
|------------|------|----------|-----------------|------|
| WO 9856749 | A1   | 19981217 | WO 1997-US17437 |      |

1997  
0929

W: AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU,  
CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, ID, IL, IS, JP,  
KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG,  
MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI,

SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZW, AM, AZ,  
 BY, KG, KZ, MD, RU, TJ, TM  
 RW: GH, KE, LS, MW, SD, SZ, UG, ZW, AT, BE, CH, DE, DK, ES,  
 FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, BF, BJ, CF,  
 CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG

|                        |    |          |                 |                    |
|------------------------|----|----------|-----------------|--------------------|
| US 6005137             | A  | 19991221 | US 1997-872235  | 1997<br>0610       |
| AU 9747392             | A1 | 19981230 | AU 1997-47392   | 1997<br>0929       |
| EP 1009729             | A1 | 20000621 | EP 1997-909884  | 1997<br>0929       |
| EP 1009729             | B1 | 20050119 |                 |                    |
| R: DE, FR, GB, IT      |    |          |                 |                    |
| CN 1259932             | A  | 20000712 | CN 1997-182296  | 1997<br>0929       |
| CN 1125030             | B  | 20031022 |                 |                    |
| JP 2002514259          | T2 | 20020514 | JP 1999-502352  | 1997<br>0929       |
| US 6313245             | B1 | 20011106 | US 1999-379156  | 1999<br>0823       |
| US 6288266             | B1 | 20010911 | US 1999-382300  | 1999<br>0824       |
| US 2001037028          | A1 | 20011101 | US 2001-846739  | 2001<br>0501       |
| US 6362379             | B2 | 20020326 |                 |                    |
| PRIORITY APPLN. INFO.: |    |          | US 1997-872235  | A<br>1997<br>0610  |
|                        |    |          | WO 1997-US17437 | W<br>1997<br>0929  |
|                        |    |          | US 1999-379156  | A3<br>1999<br>0823 |

OTHER SOURCE(S): MARPAT 130:66889

AB Acrylates having a high degree of halogenation, as well as  
 polymers that include one or more mer units derived from such  
 acrylates provide materials having tailorable optical and phys.  
 properties. The polymers find utility particularly in optical  
 devices including optical waveguides and interconnecting devices.

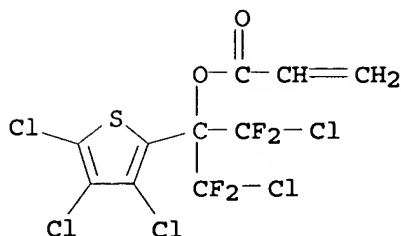
IT 217825-41-7P 217825-43-9P  
 (halogenated acrylates and polymers derived therefrom)

RN 217825-41-7 HCAPLUS

CN 2-Propenoic acid, 2-chloro-1-(chlorodifluoromethyl)-2,2-difluoro-1-  
 (3,4,5-trichloro-2-thienyl)ethyl ester, homopolymer (9CI) (CA  
 INDEX NAME)

CM 1

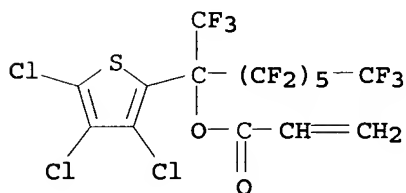
CRN 217825-40-6  
CMF C10 H3 Cl5 F4 O2 S



RN 217825-43-9 HCAPLUS  
CN 2-Propenoic acid, 2,2,3,3,4,4,5,5,6,6,7,7,7-tridecafluoro-1-(3,4,5-trichloro-2-thienyl)-1-(trifluoromethyl)heptyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 217825-42-8  
CMF C15 H3 Cl3 F16 O2 S



IC ICM C07C069-653  
ICS C07D213-64; C07D213-66; C07D213-68; C07D213-70; C08F020-22; C08F020-24; C07C041-22; C07C049-167; C07C049-175  
CC 35-2 (Chemistry of Synthetic High Polymers)  
IT 30943-42-1P 55130-25-1P 71195-86-3P 217825-09-7P  
217825-10-0P 217825-11-1P 217825-12-2P 217825-14-4P  
217825-15-5P 217825-17-7P 217825-19-9P 217825-21-3P  
217825-23-5P 217825-24-6P 217825-26-8P 217825-28-0P  
217825-30-4P 217825-32-6P 217825-34-8P 217825-35-9P  
217825-37-1P 217825-39-3P **217825-41-7P**  
**217825-43-9P** 217825-45-1P 217825-46-2P 217825-48-4P  
217825-50-8P 217825-51-9P 217825-53-1P 217825-54-2P  
217825-56-4P 217825-57-5P 217825-59-7P 217825-61-1P  
217825-62-2P 217825-64-4P 217825-66-6P 217825-68-8P  
217825-70-2P 217825-71-3P 217825-73-5P 217825-75-7P  
217825-80-4P 217825-81-5P 217825-82-6P 217825-83-7P  
217825-97-3P 217960-28-6P 217960-30-0P 217960-33-3P  
217960-36-6P 217960-40-2P 217960-43-5P 217960-46-8P  
217960-49-1P 217960-52-6P

(halogenated acrylates and polymers derived therefrom)

REFERENCE COUNT: 9 THERE ARE 9 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L32 ANSWER 28 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1998:398800 HCAPLUS  
 DOCUMENT NUMBER: 129:5113  
 TITLE: Reworkable Epoxies: Thermosets with Thermally Cleavable Groups for Controlled Network Breakdown  
 AUTHOR(S): Yang, Shu; Chen, Jir-Shyr; Koerner, Hilmar; Breiner, Thomas; Ober, Christopher K.; Poliks, Mark D.  
 CORPORATE SOURCE: Department of Materials Science and Engineering Bard Hall, Cornell University, Ithaca, NY, 14853-1501, USA  
 SOURCE: Chemistry of Materials (1998), 10(6), 1475-1482  
 CODEN: CMATEX; ISSN: 0897-4756  
 PUBLISHER: American Chemical Society  
 DOCUMENT TYPE: Journal  
 LANGUAGE: English

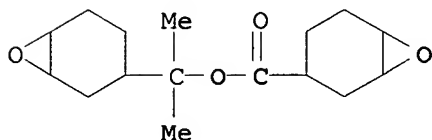
AB A series of epoxies with primary, secondary, and tertiary ester linkages were synthesized. Those networks which have tertiary esters break down at much lower temps. (~220 °C) than those with primary or secondary esters. The thermosets cured from these epoxides have the advantage of being thermally decomposable at relatively modest temps. without introduction of solvent or catalyst into the system. The concentration of weak linkages in the network greatly affects their decomposition behavior. The cured thermosets with tertiary esters retain the advantage of the mech. behavior of conventional primary ester thermosets at room temperature while having reduced mech. properties at elevated temps., thereby offering the possibility of easier thermoset removal.

IT 207505-77-9P 207505-78-0P 207505-79-1P  
 (preparation of reworkable epoxy thermosets with thermally cleavable ester groups for controlled network breakdown)

RN 207505-77-9 HCAPLUS  
 CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

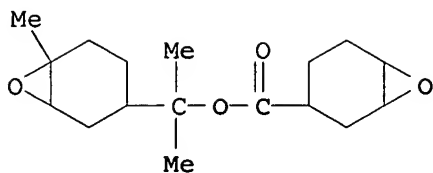
CRN 195065-78-2  
 CMF C16 H24 O4



RN 207505-78-0 HCAPLUS  
 CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6  
 CMF C17 H26 O4



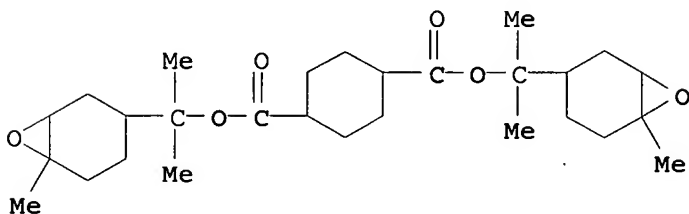
RN 207505-79-1 HCAPLUS

CN 1,4-Cyclohexanedicarboxylic acid, bis[1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl] ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 207505-75-7

CMF C28 H44 O6



CC 37-3 (Plastics Manufacture and Processing)

IT 195065-76-0P 195065-78-2P 195065-80-6P 207505-75-7P

207505-76-8P 207505-77-9P 207505-78-0P

207505-79-1P

(preparation of reworkable epoxy thermosets with thermally cleavable ester groups for controlled network breakdown)

REFERENCE COUNT: 24 THERE ARE 24 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 29 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1997:730905 HCAPLUS

DOCUMENT NUMBER: 127:359171

TITLE: Highly isotactic optically active methacrylate polymers by free radical cyclopolymerization

AUTHOR(S): Zheng, Shiyang; Sogah, Dotsevi Y.

CORPORATE SOURCE: Dep. Chem., Baker Lab., Cornell Univ., Ithaca, NY, 14853, USA

SOURCE: Tetrahedron (1997), 53(45), 15469-15485

CODEN: TETRAB; ISSN: 0040-4020

PUBLISHER: Elsevier

DOCUMENT TYPE: Journal

LANGUAGE: English

AB Isospecific free radical cyclopolymerization of tartrate-based monomers gives polymers with high optical rotations. The monomers were prepared from the corresponding diols by reaction with methacryloyl chloride in presence of N-methylpyrrolidone or N-butyllithium. Cyclization polymerization was performed in toluene at 60° with AIBN as initiator. The triad tacticity distribution and isotacticity of the polymers were determined by <sup>1</sup>H NMR methods. CD and



polarimetric measurements suggest the polymers are rigid and ordered; the polymers show higher optical rotation than that of corresponding monomers. The thermal decomposition temperature of the products is 296-427°. The high resistance to solvolysis suggests potential applications of the polymers in chiral chromatog.

IT 171979-20-7P, (-)-trans-4,5-Bis(methacryloyloxy)diphenylmethylethyl-2,2-dimethyl-1,3-dioxacyclopentane homopolymer  
 198691-48-4P, (-)-trans-4,5-Bis(methacryloyloxy)dimethylmethylethyl-2,2-diethyl-1,3-dioxacyclopentane homopolymer  
 198691-49-5P, (-)-trans-4,5-Bis(methacryloyloxy)diphenylmethylethyl-2,2-diethyl-1,3-dioxacyclopentane homopolymer  
 (highly isotactic optically active poly(tartrate methacrylate)s prepared by free radical cyclopolymer.)

RN 171979-20-7 HCAPLUS

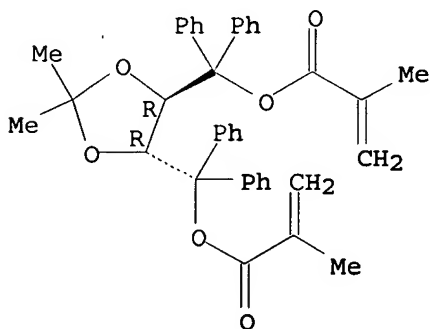
CN 2-Propenoic acid, 2-methyl-, [[[4R,5R]-2,2-dimethyl-1,3-dioxolane-4,5-diyl]bis(diphenylmethylene)] ester, homopolymer, isotactic (9CI) (CA INDEX NAME)

CM 1

CRN 171979-19-4

CMF C39 H38 O6

Absolute stereochemistry. Rotation (-).



RN 198691-48-4 HCAPLUS

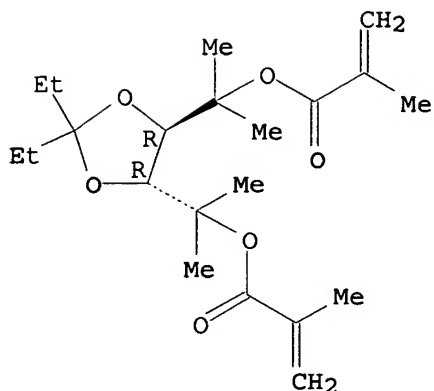
CN L-threo-Hexitol, 1,6-dideoxy-3,4-O-(1-ethylpropylidene)-2,5-di-C-methyl-, bis(2-methyl-2-propenoate), homopolymer, isotactic (9CI) (CA INDEX NAME)

CM 1

CRN 198691-43-9

CMF C21 H34 O6

Absolute stereochemistry. Rotation (-).



RN 198691-49-5 HCAPLUS

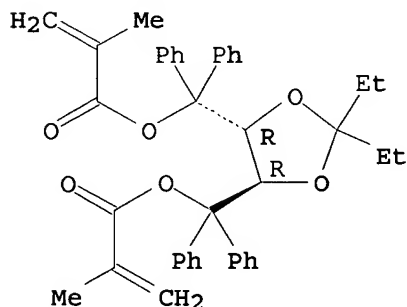
CN 2-Propenoic acid, 2-methyl-, (2,2-diethyl-1,3-dioxolane-4,5-diyl)bis(diphenylmethylene) ester, (2R-trans)-, homopolymer, isotactic (9CI) (CA INDEX NAME)

CM 1

CRN 198691-44-0

CMF C41 H42 O6

Absolute stereochemistry. Rotation (-).



CC 35-4 (Chemistry of Synthetic High Polymers)

Section cross-reference(s): 36

IT 171979-20-7P, (-)-trans-4,5-Bis(methacryloyloxy)diphenylmethylethyl-2,2-dimethyl-1,3-dioxacyclopentane homopolymer  
 198691-48-4P, (-)-trans-4,5-Bis(methacryloyloxy)dimethylmethylethyl-2,2-diethyl-1,3-dioxacyclopentane homopolymer  
 198691-49-5P, (-)-trans-4,5-Bis(methacryloyloxy)diphenylmethylethyl-2,2-diethyl-1,3-dioxacyclopentane homopolymer 198691-51-9P, (-)-trans-2,3-Bis(methacryloyloxy)dimethylmethylethyl-1,4-dioxaspiro[4,4]nonane homopolymer  
 (highly isotactic optically active poly(tartrate methacrylate)s prepared by free radical cyclopolymer.)

REFERENCE COUNT: 77 THERE ARE 77 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L32 ANSWER 30 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1997:579415 HCAPLUS

DOCUMENT NUMBER: 127:221339  
 TITLE: Design and characterization of a new reworkable epoxy using solvent free, thermally induced network breakdown  
 AUTHOR(S): Yang, Shu; Chen, Jir-Shyr; Korner, Hilmar; Breiner, Thomas; Ober, Christopher K.; Poliks, Mark D.  
 CORPORATE SOURCE: Department of Materials Science and Engineering, Cornell University, Ithaca, NY, 14853-1501, USA  
 SOURCE: Polymer Preprints (American Chemical Society, Division of Polymer Chemistry) (1997), 38(2), 440-441  
 CODEN: ACPPAY; ISSN: 0032-3934  
 PUBLISHER: American Chemical Society, Division of Polymer Chemistry  
 DOCUMENT TYPE: Journal  
 LANGUAGE: English

AB Epoxy resins have been widely used in many applications, but the very robustness of the epoxy network after curing may be a marked disadvantage in some cases. To achieve the feature of rework, or controlled network breakdown, a series of new cycloaliph. epoxies were synthesized which have either secondary or tertiary ester bonds between crosslink sites. These ester bonds can be cleaved if heated to a specific temperature, chosen to be above the processing and cure temperature. Thermal anal. data shows that the anhydride-cured epoxies with tertiary ester bonds can decompose at .apprx.220°, while those with primary ester links decompose at .apprx.340° at a heating rate of 10°/min. Dynamic mech. anal. revealed that these new thermosets retain a modulus comparable to that of the crosslinked com. epoxy ERL 4221.

IT 195065-79-3P 195065-81-7P  
 (design and characterization of reworkable epoxy resins using solvent-free thermally induced network breakdown)

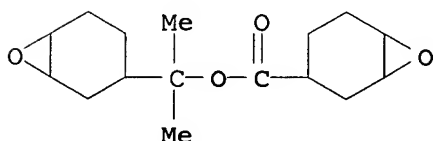
RN 195065-79-3 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-78-2

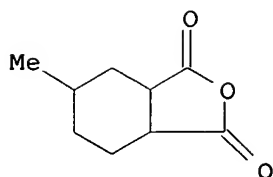
CMF C16 H24 O4



CM 2

CRN 19438-60-9

CMF C9 H12 O3



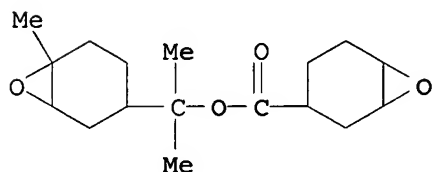
RN 195065-81-7 HCAPLUS

CN 7-Oxabicyclo[4.1.0]heptane-3-carboxylic acid, 1-methyl-1-(6-methyl-7-oxabicyclo[4.1.0]hept-3-yl)ethyl ester, polymer with hexahydro-5-methyl-1,3-isobenzofurandione (9CI) (CA INDEX NAME)

CM 1

CRN 195065-80-6

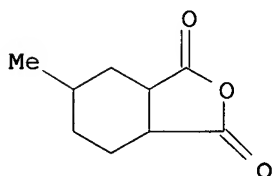
CMF C17 H26 O4



CM 2

CRN 19438-60-9

CMF C9 H12 O3



CC 37-3 (Plastics Manufacture and Processing)

IT 195065-77-1P 195065-79-3P 195065-81-7P  
(design and characterization of reworkable epoxy resins using solvent-free thermally induced network breakdown)

L32 ANSWER 31 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1997:224129 HCAPLUS

DOCUMENT NUMBER: 126:238730

TITLE: Stereocontrol of vinyl polymers via cyclopolymerization

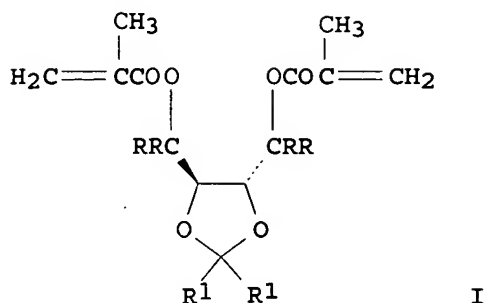
AUTHOR(S): Zheng, Shiyong; Sogah, Dotsevi Y.

CORPORATE SOURCE: Dep. Chem., Cornell Univ., Ithaca, NY, 14853, USA

SOURCE: Polymer Preprints (American Chemical Society, Division of Polymer Chemistry) (1997), 38(1), 60-61

CODEN: ACPPAY; ISSN: 0032-3934

PUBLISHER: American Chemical Society, Division of Polymer Chemistry  
 DOCUMENT TYPE: Journal  
 LANGUAGE: English  
 GI



AB Complete cyclopolymn. of monomers I (R = H, Me, Ph; R' = Me, Et, -(CH<sub>2</sub>)<sub>4</sub>-) by group transfer polymerization (GTP) and free radical polymerization

The isotacticity content of the polymers increased with the bulkiness of the monomer. The polymers with high isotacticity can assume helical conformation. The isotacticity increased with decreasing temperature under GTP conditions and was enthalpically controlled. Tacticity and optical rotation data are present. GTP and free radical polymerization can lead to enhanced macrocyclization and high meso placements to give stereoregular polymers by judicious design of monomers.

IT 171979-20-7P 188527-38-0P 188527-40-4P  
 188527-46-0P

(isotacticity content and chiroptical properties of vinyl polymers in relation to cyclopolymn. and monomer)

RN 171979-20-7 HCAPLUS

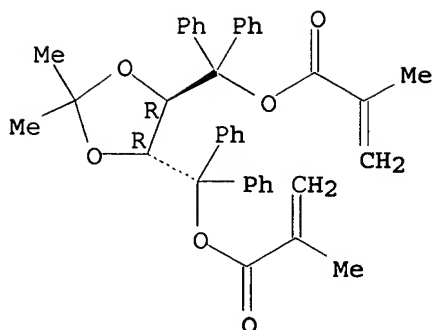
CN 2-Propenoic acid, 2-methyl-, [[[4R,5R)-2,2-dimethyl-1,3-dioxolane-4,5-diyl]bis(diphenylmethylene)] ester, homopolymer, isotactic (9CI) (CA INDEX NAME)

CM 1

CRN 171979-19-4

CMF C39 H38 O6

Absolute stereochemistry. Rotation (-).



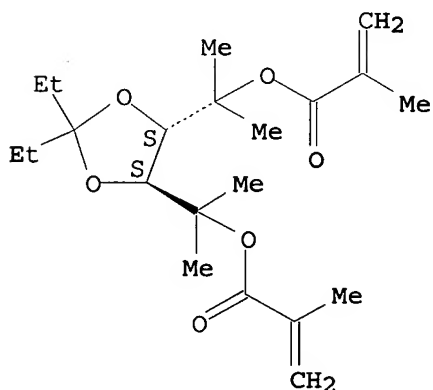
RN 188527-38-0 HCAPLUS  
 CN threo-Hexitol, 1,6-dideoxy-3,4-O-(1-ethylpropylidene)-2,5-di-C-methyl-, bis(2-methyl-2-propenoate), homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 188527-37-9

CMF C21 H34 O6

Relative stereochemistry.



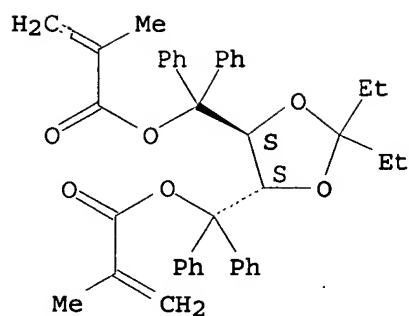
RN 188527-40-4 HCAPLUS  
 CN 2-Propenoic acid, 2-methyl-, (2,2-diethyl-1,3-dioxolane-4,5-diyl)bis(diphenylmethylene) ester, trans-, homopolymer, isotactic (9CI) (CA INDEX NAME)

CM 1

CRN 188527-39-1

CMF C41 H42 O6

Relative stereochemistry.



RN 188527-46-0 HCAPLUS

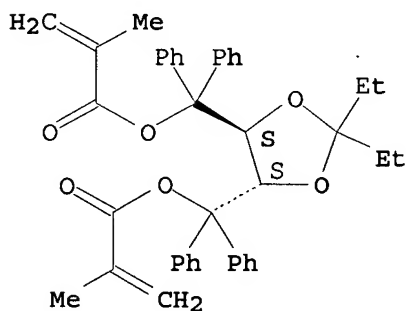
CN 2-Propenoic acid, 2-methyl-, (2,2-diethyl-1,3-dioxolane-4,5-diyl)bis(diphenylmethylene) ester, trans-, polymer with 1-phenylethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 188527-39-1

CMF C41 H42 O6

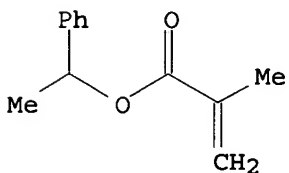
Relative stereochemistry.



CM 2

CRN 19321-42-7

CMF C12 H14 O2



CC 35-4 (Chemistry of Synthetic High Polymers)

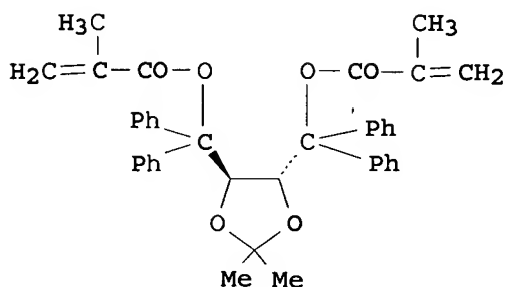
Section cross-reference(s): 36

IT 171979-20-7P 188527-36-8P 188527-38-0P  
 188527-40-4P 188527-42-6P 188527-44-8P 188527-45-9P  
 188527-46-0P

(isotacticity content and chiroptical properties of vinyl

polymers in relation to cyclopolymer. and monomer)

L32 ANSWER 32 OF 32 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 1995:926791 HCAPLUS  
 DOCUMENT NUMBER: 124:30476  
 TITLE: Cyclopolymerization of Optically Active  
 (-)-trans-4,5-Bis((methacryloyloxy)diphenyl-  
 methyl)-2,2-dimethyl-1,3-dioxacyclopentane  
 through Radical and Anionic Mechanisms Gives  
 Highly Isotactic Polymers  
 AUTHOR(S): Nakano, Tamaki; Okamoto, Yoshio; Sogah,  
 Dotsevi Y.; Zheng, Shiyang  
 CORPORATE SOURCE: School of Engineering, Nagoya University,  
 Nagoya, 464-01, Japan  
 SOURCE: Macromolecules (1995), 28(25), 8705-6  
 CODEN: MAMOBX; ISSN: 0024-9297  
 PUBLISHER: American Chemical Society  
 DOCUMENT TYPE: Journal  
 LANGUAGE: English  
 GI



AB The title compound I ( $[\alpha]_{25365} -430^\circ$ ,  $[\alpha]_{25D} 134^\circ$ ) was synthesized from (-)-trans-4,5-bis(hydroxydiphenylmethyl)-2,2-dimethyl-1,3-dioxacyclopentane and methacryloyl chloride, and polymerized through free radical and anionic mechanisms. The polymers were soluble and showed no clear pendent vinyl signals in  $^1\text{H-NMR}$  anal., indicating that polymerization took place exclusively via cyclization. The polymer obtained by radical polymerization in toluene at  $60^\circ$  had a triad tacticity distribution of mm/mr/rr = 84/10/6 and was levorotatory ( $[\alpha]_{25365} 715^\circ$ ,  $[\alpha]_{25D} 194^\circ$ ); the one obtained by anionic polymerization in THF at  $78^\circ$  using 9-fluorenyllithium was almost perfectly isotactic (mm > 99%) and showed higher levorotation ( $[\alpha]_{25365} 841^\circ$ ,  $[\alpha]_{25D} 222^\circ$ ). The polymers may have a rigid helical conformation as assumed on the basis of the chiroptical properties and structural analogy of the polymers with poly(triphenylmethyl methacrylate) which is known to have a helical structure.

IT 171979-20-7P  
 (cyclopolymer. of optically active bis((methacryloyloxy)diphenylmethyl)dimethyldioxacyclopentane via radical and anionic mechanisms for high isotacticity)

RN 171979-20-7 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, [(4R,5R)-2,2-dimethyl-1,3-dioxolane-4,5-diyl]bis(diphenylmethylene)] ester, homopolymer, isotactic (9CI) (CA INDEX NAME)

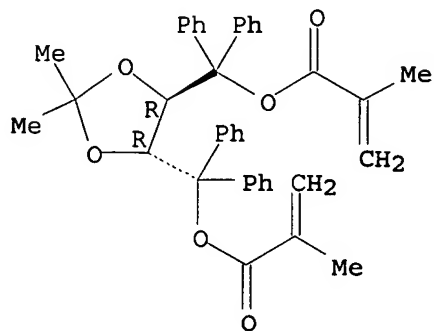


CM 1

CRN 171979-19-4

CMF C39 H38 O6

Absolute stereochemistry. Rotation (-).



CC 35-3 (Chemistry of Synthetic High Polymers)

IT 171979-20-7P

(cyclopolymer of optically active bis((methacryloyloxy)diphenyl methyl)dimethyldioxacyclopentane via radical and anionic mechanisms for high isotacticity)

**SEARCH REQUEST FORM**

Scientific and Technical Information Center

Requester's Full Name: Sin J. Lee Examiner #: 76060 Date: 12/15/05  
 Art Unit: 1752 Phone Number 301-21333 Serial Number: 1017651919  
 Mail Box and Bldg/Room Location: 9060 Results Format Preferred (circle): PAPER DISK E-MAIL  
 (Rem.)

If more than one search is submitted, please prioritize searches in order of need.

\*\*\*\*\*

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: Plz. See B.T.b.

Inventors (please provide full names): \_\_\_\_\_

Earliest Priority Filing Date: \_\_\_\_\_

\*For Sequence Searches Only\* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

Plz. Search for a polymer  
 having a repeat unit, which  
 contains a substituent gp. of the formula (C)  
 shown in Cl. #2.

SCIENTIFIC REFERENCE BR  
 Sci & Tech Inf. Ctr.

DEC 19 2005

Pat. & T.M. Office

**STAFF USE ONLY**

|   | Type of Search         | Vendors and cost where applicable |
|---|------------------------|-----------------------------------|
| Searcher: <u>idhe</u>                     | NA Sequence (#) _____  | STN <u>830014</u>                 |
| Searcher Phone #: _____                   | AA Sequence (#) _____  | Dialog _____                      |
| Searcher Location: _____                  | Structure (#) <u>1</u> | Questel/Orbit _____               |
| Date Searcher Picked Up: <u>12/22/05</u>  | Bibliographic _____    | Dr.Link _____                     |
| Date Completed: <u>12/22/05</u>           | Litigation _____       | Lexis/Nexis _____                 |
| Searcher Prep & Review Time: <u>60-30</u> | Fulltext _____         | Sequence Systems _____            |
| Clerical Prep Time: <u>30</u>             | Patent Family _____    | WWW/Internet _____                |
| Online Time: <u>50</u>                    | Other _____            | Other (specify) _____             |

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Bib Data Sheet

CONFIRMATION NO. 4118

|                             |                                       |              |                        |                                      |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|
| SERIAL NUMBER<br>10/765,919 | FILING DATE<br>01/29/2004<br><br>RULE | CLASS<br>430 | GROUP ART UNIT<br>1752 | ATTORNEY<br>DOCKET NO.<br>0171-1058P |
|-----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|

## APPLICANTS

Jun Hatakeyama, Niigata-ken, JAPAN;

Takanobu Takeda, Niigata-ken, JAPAN;

Osamu Watanabe, Niigata-ken, JAPAN;

\*\* CONTINUING DATA \*\*\*\*\*

None SJL

\*\* FOREIGN APPLICATIONS \*\*\*\*\*

 JAPAN 2003-021416 01/30/2003 ) SJL  
 JAPAN 2003-194033 07/09/2003 )

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 08/18/2005

|   |   |                              |                        |                       |                            |
|---|---|------------------------------|------------------------|-----------------------|----------------------------|
| Foreign Priority claimed<br>35 USC 119 (a-d) conditions met | <input checked="" type="checkbox"/> yes <input type="checkbox"/> no<br><input checked="" type="checkbox"/> yes <input type="checkbox"/> no Met after Allowance<br>Verified and Acknowledged | STATE OR<br>COUNTRY<br>JAPAN | SHEETS<br>DRAWING<br>2 | TOTAL<br>CLAIMS<br>13 | INDEPENDENT<br>CLAIMS<br>4 |
|---|---|------------------------------|------------------------|-----------------------|----------------------------|

## ADDRESS

02292

BIRCH STEWART KOLASCH &amp; BIRCH

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FALLS CHURCH, VA

22040-0747

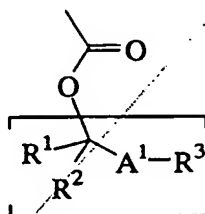
## TITLE

Polymer, resist composition and patterning process

|            |   |  |
|------------|---|--|
| FILING FEE | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) |
| RECEIVED   |   |  |

CLAIMS:

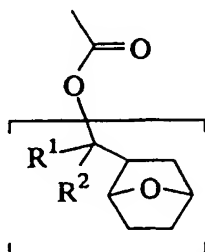
1. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (1):



(1)

wherein A<sup>1</sup> is a divalent group selected from furandiyl, tetrahydrofurandiyl and oxanorbornandiyl, R<sup>1</sup> and R<sup>2</sup> are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10 carbon atoms, or R<sup>1</sup> and R<sup>2</sup> taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached, and R<sup>3</sup> is hydrogen or a straight, branched or cyclic monovalent hydrocarbon group of 1 to 10 carbon atoms which may contain a hetero atom.

2. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (2):

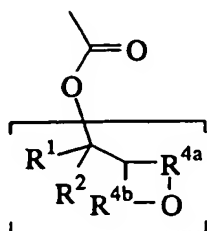


(2)

wherein R<sup>1</sup> and R<sup>2</sup> are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10

carbon atoms, or R<sup>1</sup> and R<sup>2</sup> taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached.

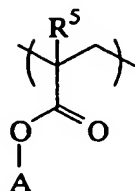
- 5 3. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (3):



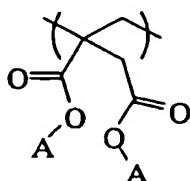
(3)

- 10 wherein R<sup>1</sup> and R<sup>2</sup> are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10 carbon atoms, or R<sup>1</sup> and R<sup>2</sup> taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached, and R<sup>4a</sup> and R<sup>4b</sup> each are a single bond or an alkylene or alkenylene group of 1 to 4 carbon atoms, the  
15 total number of carbon atoms in R<sup>4a</sup> and R<sup>4b</sup> being from 3 to 6.

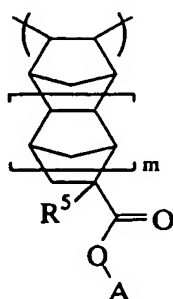
4. A polymer comprising recurring units containing silicon and recurring units of at least one type selected from the general formulae (4) to (8):



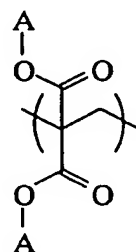
(4)



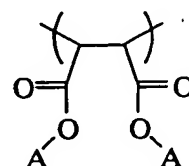
(5)



(6)



(7)



(8)

=> fil reg

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=> d his

FILE 'HCAPLUS' ENTERED AT 09:06:02 ON 22 DEC 2005

L1 1 S US20050260521/PN  
SEL RN

FILE 'REGISTRY' ENTERED AT 09:06:31 ON 22 DEC 2005

L2 12 S E1-E12

FILE 'LREGISTRY' ENTERED AT 09:40:05 ON 22 DEC 2005

L3 STR  
L4 STR

FILE 'REGISTRY' ENTERED AT 09:43:41 ON 22 DEC 2005

L5 SCR 2043  
L6 0 S L3 AND L4 AND L5  
L7 0 S L3 AND L4  
L8 SCR 1146 OR 1135  
L9 2 S L3 AND L8  
L10 STR L3  
L11 0 S L10 AND L4  
L12 2 S L10 AND L8  
L13 2 S L10 AND L5 AND L8  
L14 110 S L10 AND L5 AND L8 FUL  
SAV L14 LEE919/A  
L15 7 S L14 AND L2  
L16 30 S L14 AND 103.61.1/RID  
L17 13 S L14 AND 16.138.6/RID  
L18 40 S L14 AND 16.138/RID  
L19 STR L10  
L20 1 S L19 AND L5 AND L8  
L21 157 S L19 AND L5 AND L8 FUL  
SAV L21 LEE919A/A  
L22 167 S L14 OR L21  
L23 33 S L22 AND 103.61/RID  
L24 45 S L22 AND 16.138/RID

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L25 131 S L22  
L26 11 S L23  
L27 33 S L24  
L28 34 S L26 OR L27  
L29 97 S L25 NOT L28

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L30 110 S L22 NOT 1-20/N

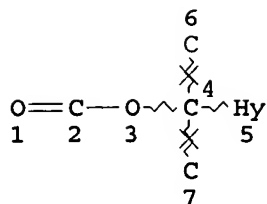
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L31 65 S L30  
L32 32 S L31 NOT L28  
L33 34 S L31 AND PHOTOG?/SC  
L34 1 S L33 NOT L28

=> d que 126

L5 SCR 2043

L8 SCR 1146 OR 1135  
L10 STR



## NODE ATTRIBUTES:

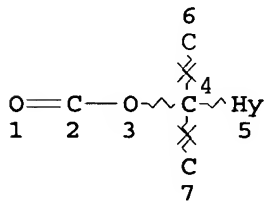
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NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
GGCAT IS SAT AT 5  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L14 110 SEA FILE=REGISTRY SSS FUL L10 AND L5 AND L8  
L19 STR



## NODE ATTRIBUTES:

NSPEC IS RC AT 4  
NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C X1 O AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L21 157 SEA FILE=REGISTRY SSS FUL L19 AND L5 AND L8  
L22 167 SEA FILE=REGISTRY ABB=ON PLU=ON L14 OR L21  
L23 33 SEA FILE=REGISTRY ABB=ON PLU=ON L22 AND 103.61/RID  
L26 11 SEA FILE=HCAPLUS ABB=ON PLU=ON L23

=> fil hcap

FILE 'HCAPLUS' ENTERED AT 11:00:37 ON 22 DEC 2005

=> d l26 1-11 ibib abs hitstr hitind

L26 ANSWER 1 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2005:822667 HCAPLUS  
 DOCUMENT NUMBER: 143:219454  
 TITLE: Chemically amplified photoresists with high sensitivity, resolution, and less scums, silsesquioxane compositions therefor, and method for forming precise patterns therewith  
 INVENTOR(S): Hatakeyama, Jun  
 PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 102 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2005221714          | A2   | 20050818 | JP 2004-28994   | 2004<br>0205 |
| PRIORITY APPLN. INFO.: |      |          | JP 2004-28994   | 2004<br>0205 |

GI

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT  
 \*

AB The compns. contain (A) organopolysiloxanes prepared by hydrolytic condensation of silane monomers  $R_1SiX_3$  ( $R_1$  = organic group having acid-decomposable group;  $X$  = halo, OH, C1-10 alkoxy or acyl) and optionally other silane monomers  $R_0SiX_3$  ( $R_0$  = organic group for tight adhesion;  $X$  = same as above) and (B) polymers having repeating units  $[R_2C(CO_2R_5)CH_2]$  [ $R_2$  = H, Me, F,  $CF_3$ , CN,  $CH_2CO_2R_3$ ,  $CH_2OR_4$ ;  $R_3$  = C1-4 linear or branched alkyl;  $R_4$  = H, C1-4 linear or branched alkyl or acyl;  $R_5$  =  $R_6R_7CCH_2SiR_8R_9R_{10}$ ,  $R_{11}C(CH_2SiR_{12}R_{13}R_{14})_2$ ,  $C(CH_2SiR_{15}R_{16}R_{17})_3$ , Q1, Q2;  $R_6$ ,  $R_7$ ,  $R_{11}$  = H, C1-10 linear, branched, or cyclic alkyl;  $R_8$ - $R_{10}$ ,  $R_{12}$ - $R_{17}$  = C1-10 linear, branched, or cyclic alkyl, C6-10 aryl, trialkylsilyl, Si-containing group bonded with Si in the formula by siloxane or silalkylene linkage;  $R_{18}$ - $R_{30}$  = C1-20 linear, branched, or cyclic alkyl;  $R_{18}$ ,  $R_{19}$ ,  $R_{22}$ ,  $R_{23}$ ,  $R_{26}$ ,  $R_{27}$ ,  $R_{31}$ ,  $R_{32}$ ,  $R_{35}$ ,  $R_{36}$ ,  $R_{39}$ - $R_{41}$  = H, C1-20 linear, branched, or cyclic alkyl;  $R_{20}$ ,  $R_{21}$ ,  $R_{24}$ ,  $R_{25}$ ,  $R_{33}$ ,  $R_{34}$ ,  $R_{37}$ ,  $R_{38}$  = H, C1-20 linear, branched, or cyclic alkyl, fluorinated C1-20 alkyl, C6-20 aryl; p, q, r, s = 0-10;  $1 \leq p + q + s \leq 20$ ]. Also claimed are compns. containing A and (C) copolymers of silyl-branched vinyl repeating units and other repeating units having groups whose alkaline solubility can be increased by acids (both Markush given). Alternatively, the compns. contain  $(R_1SiO_x)$  ( $R_1$  = same as above;  $x$  = 1.0-1.5) instead of A. Also claimed are chemical amplified photoresists containing the above compns., acid generators, organic solvents, and optionally dissoln. inhibitors. Basic compns. may be contained in the



photoresists. In the process, the photoresists are applied on substrates (e.g., semiconductor wafers equipped with photoresist underlayers), heat treated, exposed to high-energy rays or electron beams via photomasks, and developed (after further heat treatment) to give patterns. After the patterns are formed, layers under them may be etched with O plasma or with Br- or Cl-containing halogen gases.

IT 802917-23-3P 862379-21-3P

(silsesquioxane-based chemical amplified photoresists with high sensitivity, resolution, and less scums for forming precise patterns)

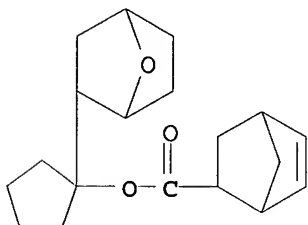
RN 802917-23-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and heptacyclopentyl[(ethenyldimethylsilyl)oxy]pentacyclo[9.5.1.13,9.15,15.17,13]octasiloxane (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

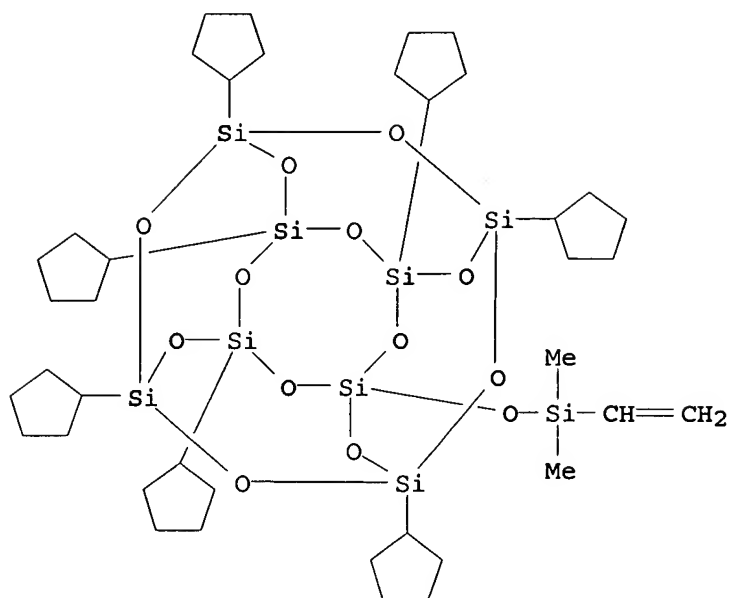
CMF C19 H26 O3



CM 2

CRN 312693-40-6

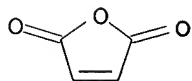
CMF C39 H72 O13 Si9



CM 3

CRN 108-31-6

CMF C4 H2 O3



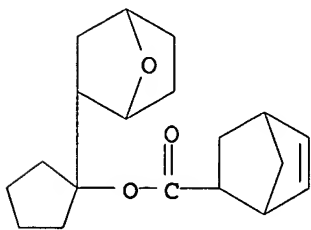
RN 862379-21-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with ethenylheptamethylcyclotetrasiloxane and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

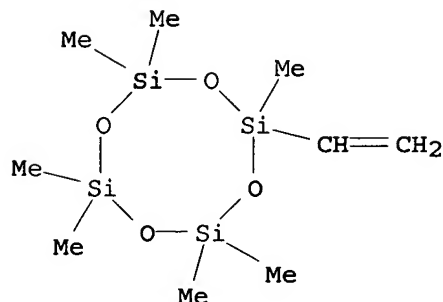
CRN 676456-74-9

CMF C19 H26 O3



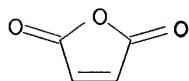
CM 2

CRN 3763-39-1  
CMF C9 H24 O4 Si4



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM G03F007-075  
ICS C08F030-08; G03F007-039; H01L021-027; C08G077-14  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
Section cross-reference(s): 76  
IT 630417-20-8P 800397-92-6P 802917-23-3P 802986-14-7P  
819837-18-8P 862379-20-2P 862379-21-3P 862383-75-3P  
862383-77-5P  
(silsesquioxane-based chemical amplified photoresists with high sensitivity, resolution, and less scums for forming precise patterns)

L26 ANSWER 2 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:445341 HCAPLUS

DOCUMENT NUMBER: 142:490394

TITLE: Acrylic polymers for chemically amplified positive photoresists, and method for pattern formation using them

INVENTOR(S): Hatakeyama, Jun; Harada, Yuji; Kawai, Yoshio

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 56 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE  | APPLICATION NO. | DATE |
|------------|------|-------|-----------------|------|
| -----      | ---- | ----- | -----           |      |
| -----      |      |       |                 |      |

JP 2005133066

A2

20050526

JP 2004-215907

2004

0723

PRIORITY APPLN. INFO.:

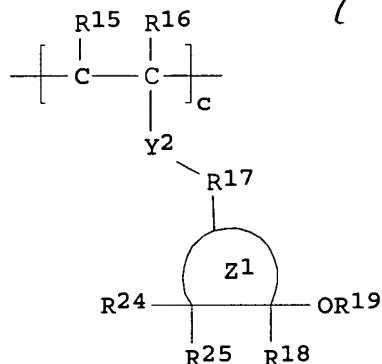
JP 2003-350143

A

2003

1008

GI



I

AB The polymers have repeating units of (A) [CHR2CR1[CO2CR3R4(R5R6)]]a and (B) [CHR8CR9[Y1R10R23R11CR12R13(OR14)]]b and/or I [R1 = H, Me, CH2CO2R7; R2 = H, Me, CO2R7; R3, R4 = C1-10 hydrocarbonyl, R3 and R4 may link together to form an aliphatic hydrocarbon ring with connecting C; R5 = furandiyl, tetrahydrofurandiyl, and oxanorbornanediyl; R6 = H, C1-10 hydrocarbonyl; R7 = H, C1-15 alkyl; R9, R16 = H, Me, CH2CO2R7; R8, R15 = H, Me, CO2R7; R10, R11, R17 = single bond, C1-4 alkylene; R12, R13 = trifluoromethyl, Me, R12 = R13 ≠ Me; R18 = F, trifluoromethyl; R14, R19 = H, acid-labile group; R23 = (O-, S-containing bridged) C4-20 cyclic alkylene; R24, R25 = H, F; Z1 = (O-, S-containing) C4-12 bridged cyclic hydrocarbon group; Y1, Y2 = O, CO2; a = 0.1-0.8; b, c = 0-0.8; (b + c) = 0.05-0.8]. The photoresists show high sensitivity and resolution, and low line edge roughness.

IT 851866-57-4P 851866-58-5P 851866-60-9P  
851866-61-0P 851866-62-1P 851866-63-2P

(acrylic polymers having specific acid-labile groups for chemical amplified pos. photoresists)

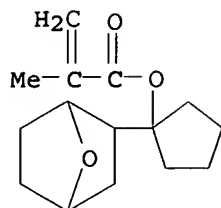
RN 851866-57-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, hexahydro-2-oxo-3,5-methano-2H-cyclopenta[b]furan-6-yl ester, polymer with 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and 5-[3,3,3-trifluoro-2-hydroxy-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

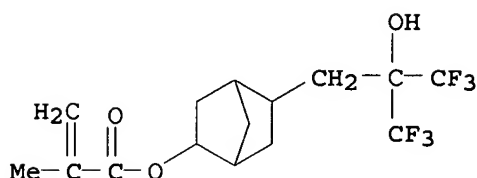
CMF C15 H22 O3



CM 2

CRN 617711-94-1

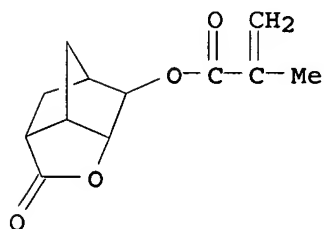
CMF C15 H18 F6 O3



CM 3

CRN 254900-07-7

CMF C12 H14 O4



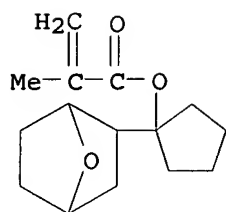
RN 851866-58-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.1.3,7]dec-1-yl ester, polymer with 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and 5-[3,3,3-trifluoro-2-hydroxy-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

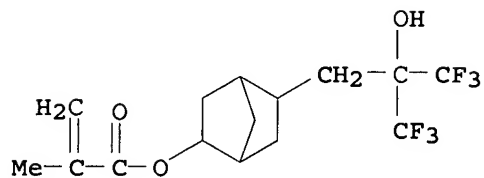
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CM 2

CRN 617711-94-1

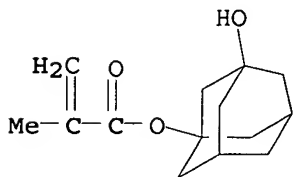
CMF C15 H18 F6 O3



CM 3

CRN 115372-36-6

CMF C14 H20 O3



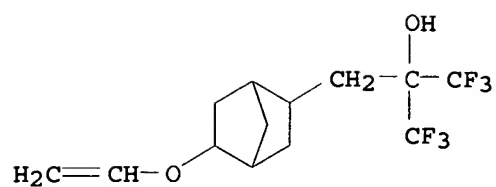
RN 851866-60-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl ester, polymer with 5-(ethenyloxy)- $\alpha,\alpha$ -bis(trifluoromethyl)bicyclo[2.2.1]heptane-2-ethanol and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 849811-87-6

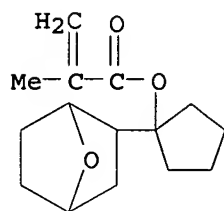
CMF C13 H16 F6 O2



CM 2

CRN 676456-72-7

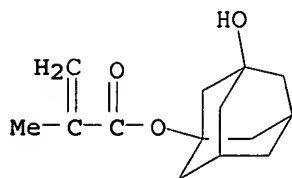
CMF C15 H22 O3



CM 3

CRN 115372-36-6

CMF C14 H20 O3



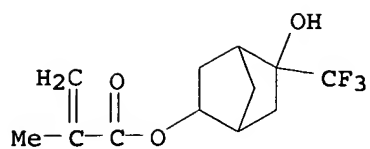
RN 851866-61-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl ester, polymer with 5-hydroxy-5-(trifluoromethyl)bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 849803-66-3

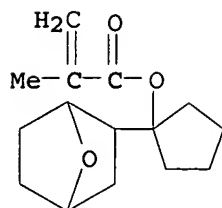
CMF C12 H15 F3 O3



CM 2

CRN 676456-72-7

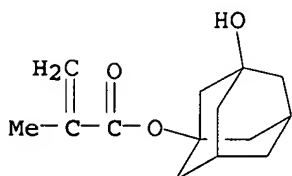
CMF C15 H22 O3



CM 3

CRN 115372-36-6

CMF C14 H20 O3



RN 851866-62-1 HCAPLUS

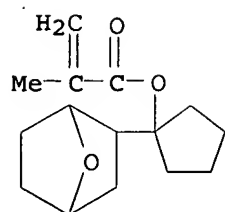
CN 2-Propenoic acid, 2-methyl-, 2-ethyldecahydro-1,4:5,8-dimethanonaphthalen-2-yl ester, polymer with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and 5-[3,3,3-trifluoro-2-hydroxy-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

CMF C15 H22 O3

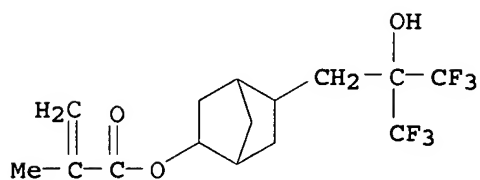




CM 2

CRN 617711-94-1

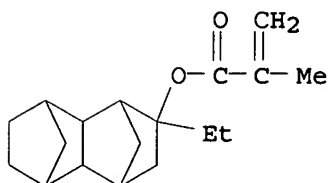
CMF C15 H18 F6 O3



CM 3

CRN 485819-03-2

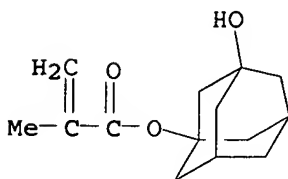
CMF C18 H26 O2



CM 4

CRN 115372-36-6

CMF C14 H20 O3



RN 851866-63-2 HCAPLUS

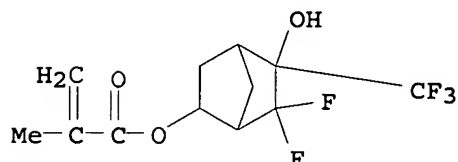
CN 2-Propenoic acid, 2-methyl-, 6,6-difluoro-5-hydroxy-5-

(trifluoromethyl)bicyclo[2.2.1]hept-2-yl ester, polymer with  
 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and  
 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate  
 (9CI) (CA INDEX NAME)

CM 1

CRN 849803-71-0

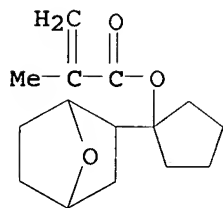
CMF C12 H13 F5 O3



CM 2

CRN 676456-72-7

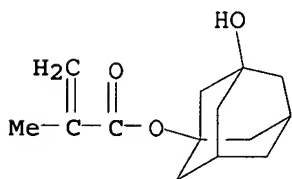
CMF C15 H22 O3



CM 3

CRN 115372-36-6

CMF C14 H20 O3



IC ICM C08F220-18

ICS G03F007-033; G03F007-039; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)

Section cross-reference(s): 38

IT 851866-57-4P 851866-58-5P 851866-59-6P

851866-60-9P 851866-61-0P 851866-62-1P

851866-63-2P

(acrylic polymers having specific acid-labile groups for chemical amplified pos. photoresists)

L26 ANSWER 3 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2005:428605 HCAPLUS  
 DOCUMENT NUMBER: 142:472603  
 TITLE: Chemical amplification-type positive resist materials and pattern formation  
 INVENTOR(S): Hatakeyama, Jun; Kawai, Yoshio  
 PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 42 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2005128146          | A2   | 20050519 | JP 2003-361849  | 2003<br>1022 |
| PRIORITY APPLN. INFO.: |      |          | JP 2003-361849  | 2003<br>1022 |

OTHER SOURCE(S): MARPAT 142:472603

AB The resist materials comprise (A)  $\geq 1$  base polymers selected from poly(acrylic acids), their derivs., cycloolefin derivative-maleic anhydride alternating copolymers, cycloolefin derivative-maleic anhydride-acrylic acid derivative copolymers, cycloolefin derivative-maleimide alternating copolymers, cycloolefin derivative-maleimide-acrylic acid derivative copolymers, polynorbornenes, and metathesis ring-opening polymers, (B)  $R_4[R_3C(OH)R_1R_2]_n$  ( $R_1$ ,  $R_2$  = H, F, C1-4 alkyl, fluorinated alkyl;  $R_1$  and/or  $R_2$  = F-containing group;  $R_3$  = single bond, C1-4 alkylene;  $R_4$  = C4-20 n-valent cycloalkyl;  $R_4$  may contain OH, ether, ester, CO, lactone group;  $n$  = 1-4), (C) organic solvents, and (D) acid generators. Patterns are formed by applying the materials on substrates, heating, exposing to high-energy ray or electron beam via photomasks, heating as necessary, and developing. The materials show low line-edge roughness and decreased development residues caused by swelling in development measured by QCM (quartz crystal microbalance) method.

IT 851473-87-5  
 (chemical amplification-type pos. resists with low swelling in development for fine pattern formation)

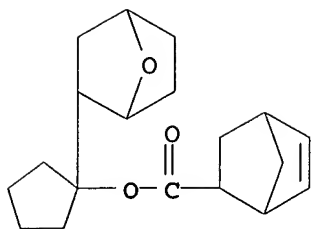
RN 851473-87-5 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, methyl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

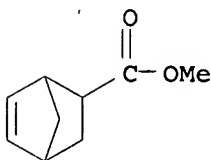
CMF C19 H26 O3



CM 2

CRN 6203-08-3

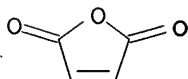
CMF C9 H12 O2



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-004  
 ICS G03F007-039; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 IT 368872-75-7 485819-05-4 485819-08-7 851473-87-5  
 (chemical amplification-type pos. resists with low swelling in  
 development for fine pattern formation)

L26 ANSWER 4 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:238524 HCAPLUS

DOCUMENT NUMBER: 142:325926

TITLE: Polymer, resist composition and patterning  
 process

INVENTOR(S): Tachibana, Seiichiro; Nishi, Tsunehiro;  
 Kobayashi, Tomohiro

PATENT ASSIGNEE(S): Japan

SOURCE: U.S. Pat. Appl. Publ., 46 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE              |
|------------------------|------|----------|-----------------|-------------------|
| US 2005058938          | A1   | 20050317 | US 2004-936753  | 2004<br>0909      |
| JP 2005105260          | A2   | 20050421 | JP 2004-259293  | 2004<br>0907      |
| PRIORITY APPLN. INFO.: |      |          | JP 2003-320659  | A<br>2003<br>0912 |

GI

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT  
\*

AB A polymer comprises recurring units of formulas I, II, III, IV (R1-3,4,7 = H, Me; R2 = acid labile group; R5,6 = H, hydroxyl; R8 = lactone structure group) and has a Mw of 1,000-50,000. A resist composition comprising the inventive polymer has a sensitivity to high-energy radiation, improved resolution and etching resistance and lends itself to lithog. micropatterning with electron beams or deep UV.

IT 848134-66-7P 848134-67-8P 848134-73-6P  
848134-74-7P 848134-79-2P 848134-80-5P  
848144-03-6P

(polymer, resist composition for patterning process)

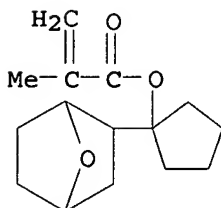
RN 848134-66-7 HCAPLUS

CN 3,5-Methano-2H-cyclopenta[b]furan-7-carboxylic acid, hexahydro-6-[(2-methyl-1-oxo-2-propenyl)oxy]-2-oxo-, methyl ester, polymer with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate, 2-methyl-2-propenoic acid and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

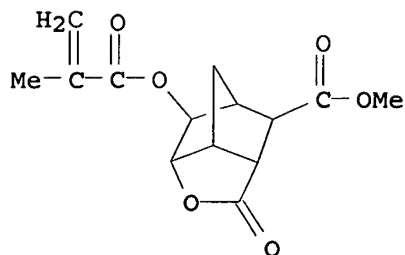
CRN 676456-72-7

CMF C15 H22 O3



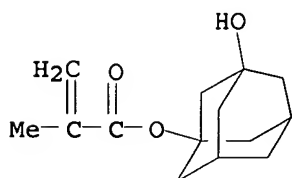
CM 2

CRN 274247-93-7  
CMF C14 H16 O6



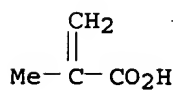
CM 3

CRN 115372-36-6  
CMF C14 H20 O3



CM 4

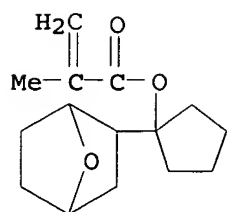
CRN 79-41-4  
CMF C4 H6 O2



RN 848134-67-8 HCAPLUS  
CN 2-Propenoic acid, 2-methyl-, polymer with hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

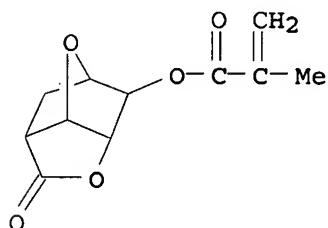
CRN 676456-72-7  
CMF C15 H22 O3



CM 2

CRN 274248-05-4

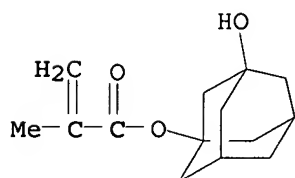
CMF C11 H12 O5



CM 3

CRN 115372-36-6

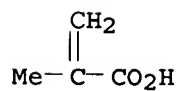
CMF C14 H20 O3



CM 4

CRN 79-41-4

CMF C4 H6 O2



RN 848134-73-6 HCAPLUS

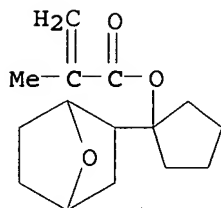
CN 3,5-Methano-2H-cyclopenta[b]furan-7-carboxylic acid,  
 hexahydro-6-[(2-methyl-1-oxo-2-propenyl)oxy]-2-oxo-, methyl ester,  
 polymer with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-propenoate,

2-methyl-2-propenoic acid and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

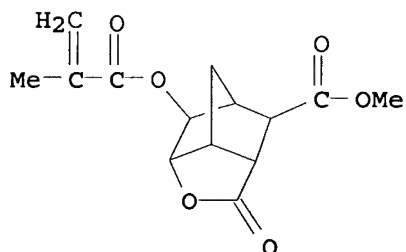
CMF C15 H22 O3



CM 2

CRN 274247-93-7

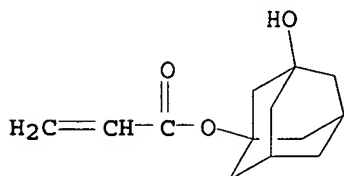
CMF C14 H16 O6



CM 3

CRN 216581-76-9

CMF C13 H18 O3

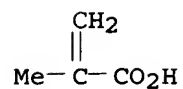


CM 4

CRN 79-41-4

CMF C4 H6 O2





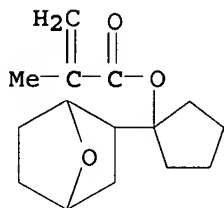
RN 848134-74-7 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, polymer with hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

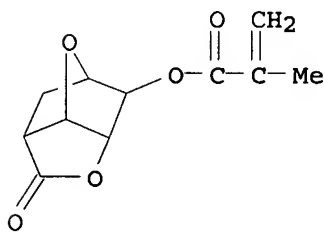
CMF C15 H22 O3



CM 2

CRN 274248-05-4

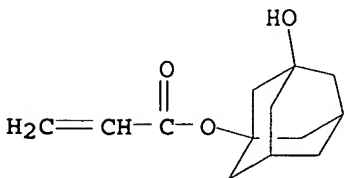
CMF C11 H12 O5



CM 3

CRN 216581-76-9

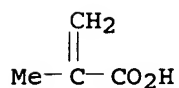
CMF C13 H18 O3



CM 4

CRN 79-41-4

CMF C4 H6 O2



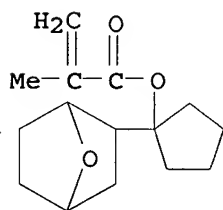
RN 848134-79-2 HCAPLUS

CN 3,5-Methano-2H-cyclopenta[b]furan-7-carboxylic acid,  
 hexahydro-2-oxo-6-[(1-oxo-2-propenyl)oxy]-, methyl ester, polymer  
 with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-propenoate,  
 2-methyl-2-propenoic acid and 1-(7-oxabicyclo[2.2.1]hept-2-  
 yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

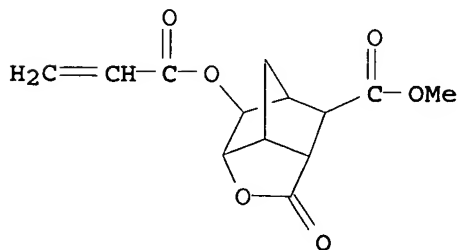
CMF C15 H22 O3



CM 2

CRN 449759-66-4

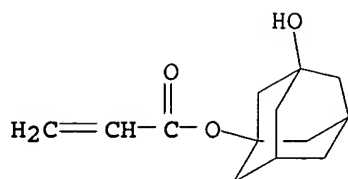
CMF C13 H14 O6



CM 3

CRN 216581-76-9

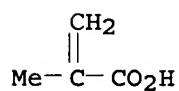
CMF C13 H18 O3



CM 4

CRN 79-41-4

CMF C4 H6 O2



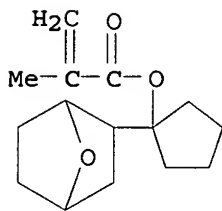
RN 848134-80-5 HCAPLUS

CM 2-Propenoic acid, 2-methyl-, polymer with hexahydro-2-oxo-2,6-methanofuro[3,2-b]furan-6-yl 2-propenoate, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

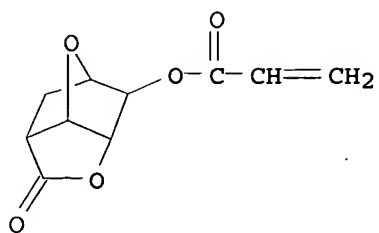
CMF C15 H22 O3



CM 2

CRN 500556-61-6

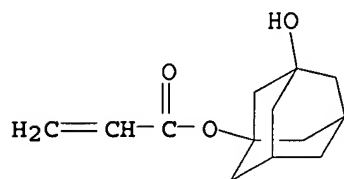
CMF C10 H10 O5



CM 3

CRN 216581-76-9

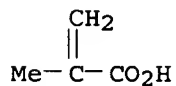
CMF C13 H18 O3



CM 4

CRN 79-41-4

CMF C4 H6 O2



RN 848144-03-6 HCAPLUS

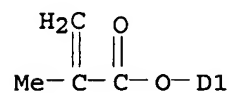
CN 2-Propenoic acid, 2-methyl-, polymer with dihydro-2'-oxospiro[bicyclo[2.2.1]heptane-2,3'-(2'H)-furan]-5(or 6)-yl 2-methyl-2-propenoate, dihydro-5'-oxospiro[bicyclo[2.2.1]heptane-2,3'-(2'H)-furan]-5(or 6)-yl 2-methyl-2-propenoate, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 848143-98-6

CMF C14 H18 O4

CCI IDS

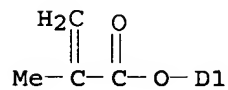
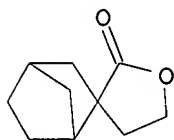


CM 2

CRN 848143-97-5

CMF C14 H18 O4

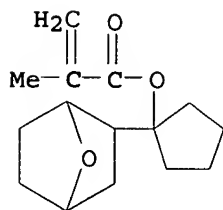
CCI IDS



CM 3

CRN 676456-72-7

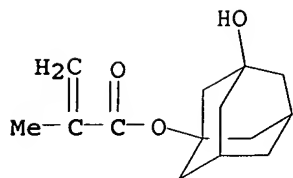
CMF C15 H22 O3



CM 4

CRN 115372-36-6

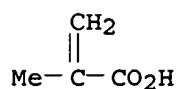
CMF C14 H20 O3



CM 5

CRN 79-41-4

CMF C4 H6 O2



IC ICM G03C001-76

INCL 430270100

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35, 38

IT 485819-05-4P 651043-12-8P 651043-87-7P 811440-94-5P  
 848134-56-5P 848134-57-6P 848134-58-7P 848134-59-8P  
 848134-60-1P 848134-61-2P 848134-62-3P 848134-63-4P  
 848134-65-6P **848134-66-7P 848134-67-8P**  
 848134-68-9P 848134-69-0P 848134-70-3P 848134-71-4P  
 848134-72-5P **848134-73-6P 848134-74-7P**  
 848134-75-8P 848134-76-9P 848134-77-0P 848134-78-1P  
**848134-79-2P 848134-80-5P** 848134-81-6P  
 848134-82-7P 848134-83-8P 848134-84-9P 848134-85-0P  
 848134-86-1P 848134-87-2P 848134-88-3P 848143-99-7P  
 848144-00-3P 848144-01-4P 848144-02-5P **848144-03-6P**  
 (polymer, resist composition for patterning process)

L26 ANSWER 5 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:135758 HCAPLUS

DOCUMENT NUMBER: 142:228725

TITLE: Oxygen plasma-resistant radiation-sensitive resists, their patterning, and macromolecules therefor

INVENTOR(S): Hatakeyama, Jun; Takeda, Takanobu; Watanabe, Osamu

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 72 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

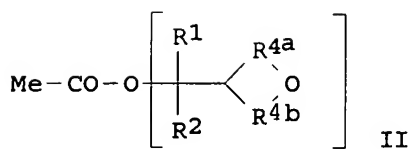
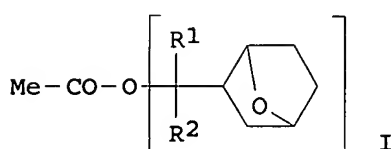
FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|------|
| JP 2005042085 | A2   | 20050217 | JP 2004-14354   |      |

|                        |    |          |                |              |
|------------------------|----|----------|----------------|--------------|
|                        |    |          |                | 2004<br>0122 |
| US 2005260521          | A1 | 20051124 | US 2004-765919 |              |
|                        |    |          |                | 2004<br>0129 |
| PRIORITY APPLN. INFO.: |    |          | JP 2003-21416  | A            |
|                        |    |          |                | 2003<br>0130 |
|                        |    |          | JP 2003-194033 | A            |
|                        |    |          |                | 2003<br>0709 |

GI



AB The macromols. have Si-bearing repeating unit and unit (i) MeCO<sub>2</sub>[CR<sup>1</sup>R<sup>2</sup>(A<sup>1</sup>R<sup>3</sup>)] [A<sup>1</sup> = (tetrahydro)furandiyl, oxanorbornanediyl; R<sup>1</sup>, R<sup>2</sup> = C<sub>1</sub>-10 hydrocarbyl; R<sup>3</sup> = H, C<sub>1</sub>-10 hydrocarbyl], (ii) I (R'<sup>1</sup>, R'<sup>2</sup> = C<sub>1</sub>-10 hydrocarbyl), and/or (iii) II [R''<sup>1</sup>, R''<sup>2</sup> = C<sub>1</sub>-10 hydrocarbyl; C<sub>1</sub>-10 hydrocarbyl; R<sup>4a</sup>, R<sup>4b</sup> = single bond, C<sub>1</sub>-4 alk(ne)ylene within total C number of 3-60]. Pos.-working (chemical-amplified) resists containing the macromols., and their patterning with ≤300-nm high-energy or electron beams are also claimed. The resist patterns are resistant against O plasma and Cl- or Br-containing gas etchants.

IT 843647-84-7P 843647-85-8P 843647-86-9P  
843647-87-0P 843647-88-1P 843647-89-2P  
(photoresists; Si- and prescribed cyclic group-containing polymers for oxygen plasma-resistant pos. photoresists)

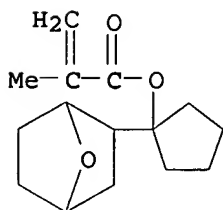
RN 843647-84-7 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 4-ethenylphenol and 2-[2,2,2-trimethyl-1,1-bis(trimethylsilyl)disilanyl]ethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

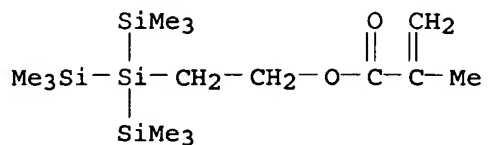
CMF C15 H22 O3



CM 2

CRN 211369-53-8

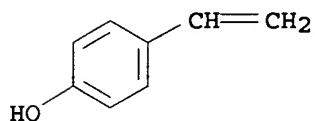
CMF C15 H36 O2 Si4



CM 3

CRN 2628-17-3

CMF C8 H8 O



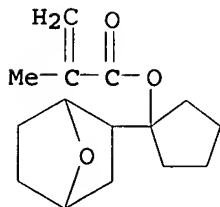
RN 843647-85-8 HCAPLUS

|    |  |
|----|--|
| CN | 2-Propenoic acid, 2-methyl-, hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl ester, polymer with 4-ethenylphenol, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and 2-[2,2,2-trimethyl-1,1-bis(trimethylsilyl)disilanyl]ethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME) |
|----|--|

CM 1

CRN 676456-72-7

CMF C15 H22 O3

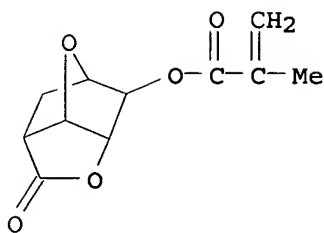


CM 2

CRN 274248-05-4

CMF C11 H12 05

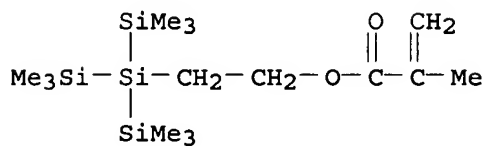




CM 3

CRN 211369-53-8

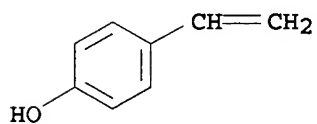
CMF C15 H36 O2 Si4



CM 4

CRN 2628-17-3

CMF C8 H8 O



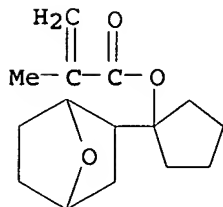
RN 843647-86-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with ethenylheptamethylcyclotetrasiloxane and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

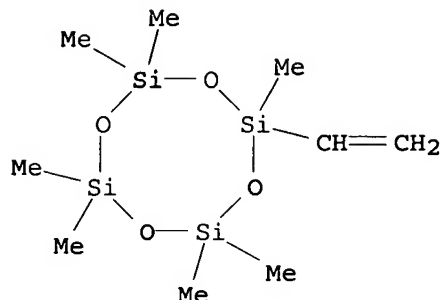
CMF C15 H22 O3



CM 2

CRN 3763-39-1

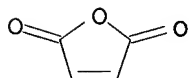
CMF C9 H24 O4 Si4



CM 3

CRN 108-31-6

CMF C4 H2 O3



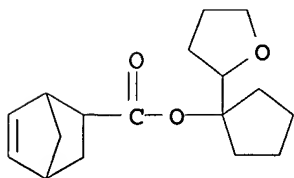
RN 843647-87-0 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(tetrahydro-2-furanyl)cyclopentyl ester, polymer with  
 ethenylheptamethylcyclotetrasiloxane, 2,5-furandione and  
 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate  
 (9CI) (CA INDEX NAME)

CM 1

CRN 676456-73-8

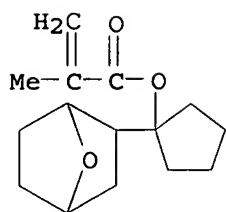
CMF C17 H24 O3



CM 2

CRN 676456-72-7

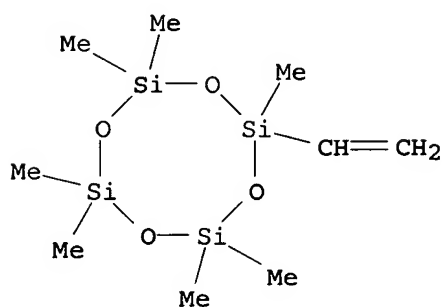
CMF C15 H22 O3



CM 3

CRN 3763-39-1

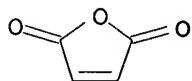
CMF C9 H24 O4 Si4



CM 4

CRN 108-31-6

CMF C4 H2 O3



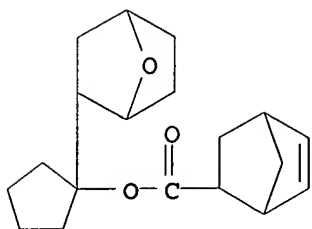
RN 843647-88-1 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

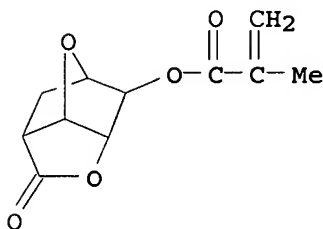
CMF C19 H26 O3



CM 2

CRN 274248-05-4

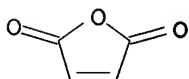
CMF C11 H12 O5



CM 3

CRN 108-31-6

CMF C4 H2 O3



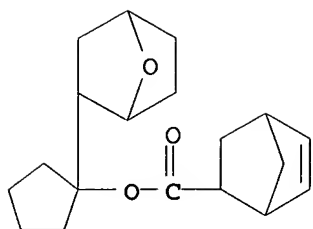
RN 843647-89-2 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-(heptacyclopentylpentacyclo[9.5.1.1.3,9.15,15.17,13]octasiloxanyl)propyl ester, polymer with hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

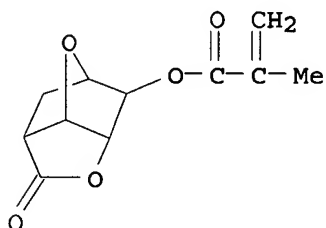
CMF C19 H26 O3



CM 2

CRN 274248-05-4

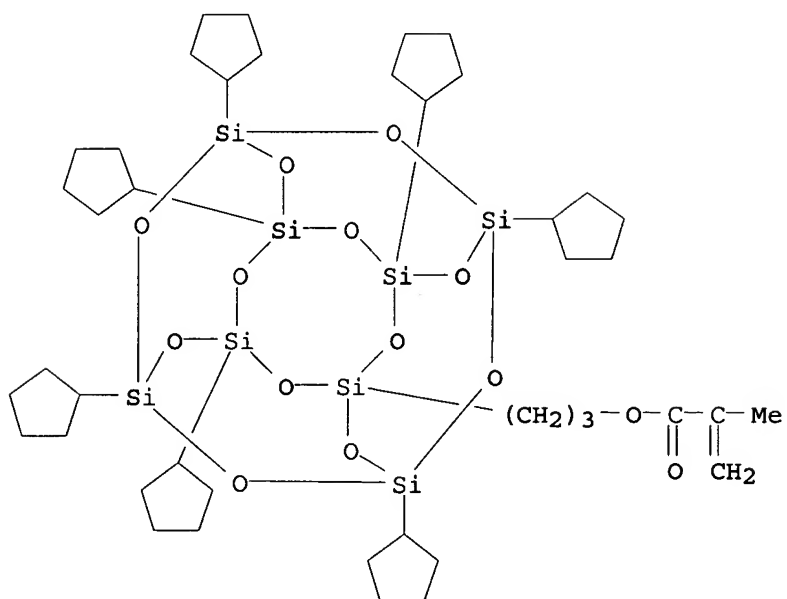
CMF C11 H12 O5



CM 3

CRN 169391-91-7

CMF C42 H74 O14 Si8



IC ICM C08F230-08

ICS G03F007-039; G03F007-075; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 843647-82-5P 843647-84-7P 843647-85-8P  
 843647-86-9P 843647-87-0P 843647-88-1P  
 843647-89-2P  
 (photoresists; Si- and prescribed cyclic group-containing polymers for oxygen plasma-resistant pos. photoresists)

L26 ANSWER 6 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:33606 HCAPLUS

DOCUMENT NUMBER: 142:103181

TITLE: Acrylic polymers, their chemically amplified positive photoresists with high resolution and sensitivity and suppressed line edge roughness, and photolithography using them  
 INVENTOR(S): Hatakeyama, Jun; Watanabe, Takeshi; Takeda, Takanobu

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 58 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

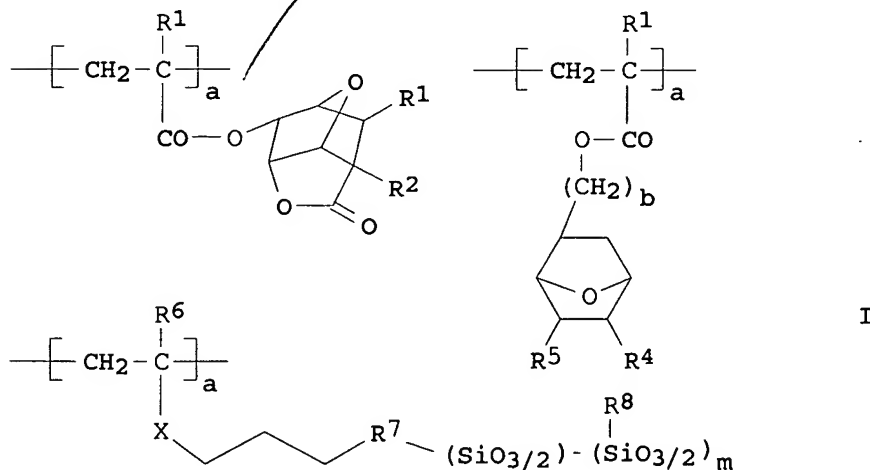
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE      |
|------------------------|------|----------|-----------------|-----------|
| JP 2005008765          | A2   | 20050113 | JP 2003-174894  | 2003 0619 |
| PRIORITY APPLN. INFO.: |      |          |                 | 2003 0619 |

GI



AB The acrylic polymers contain repeating units I [R<sub>1</sub>, R<sub>6</sub> = H, Me, F,

CF<sub>3</sub>, CN, CH<sub>2</sub>CO<sub>2</sub>R<sub>12</sub>, CH<sub>2</sub>OR<sub>13</sub>; R<sub>2</sub> = H, Me, CN; R<sub>3</sub> = H, ester; R<sub>4</sub>, R<sub>5</sub> = H, ester, lactone-containing group; R<sub>8</sub> = H, C<sub>1</sub>-10 alkyl, fluorinated alkyl; R<sub>7</sub> = single bond, (SiR<sub>9</sub>R<sub>10</sub>R<sub>11</sub>)<sub>n</sub>; R<sub>9</sub>, R<sub>10</sub> = C<sub>1</sub>-10 alkyl; R<sub>11</sub> = single bond, O, C<sub>1</sub>-4 alkylene; X = ester, ether; a, b ≥ 0; c > 0; 0 < (a + b)/(a + b + c) < 0.8; 0 < c/(a + b + c) < 0.5; m = 4-40; n = 1-20; p = 0-2; R<sub>12</sub> = C<sub>1</sub>-4 alkyl; R<sub>13</sub> = H, C<sub>1</sub>-4 alkyl, C<sub>1</sub>-4 acyl] and other repeating units that increase alkali solubility of the polymers in the presence of acids. The photolithog. may involve etching with O plasma or halogen gases containing Cl or Br.

IT 819837-32-6P

(acrylic polymers having oxonorbornane and polyhedral oligosilsesquioxane pendants for pos. photoresists with high resolution and suppressed line edge roughness)

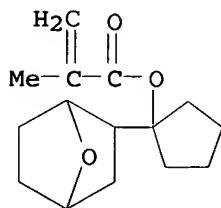
RN 819837-32-6 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-(heptacyclopentylpentacyclo[9.5.1.1 3,9.15,15.17,13]octasiloxanyl)propyl ester, polymer with hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

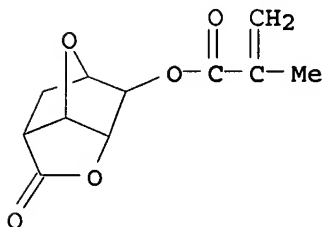
CMF C15 H22 O3



CM 2

CRN 274248-05-4

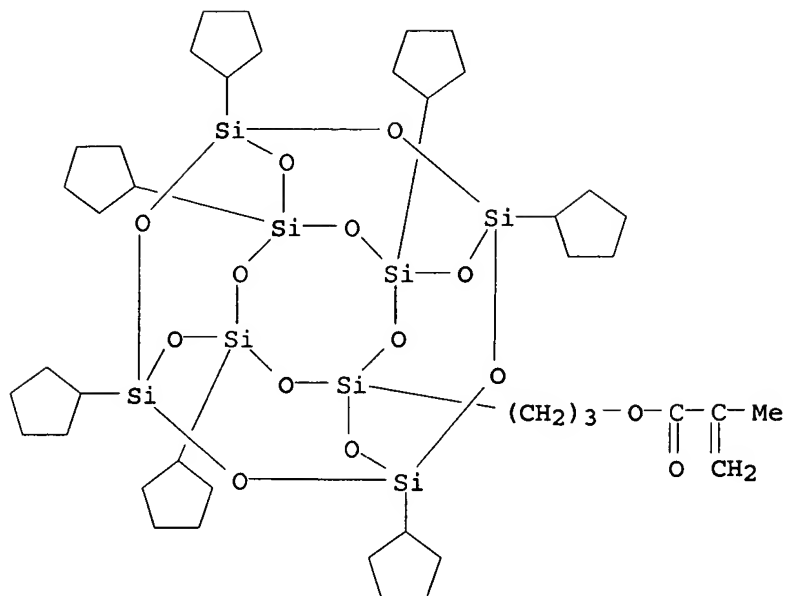
CMF C11 H12 O5



CM 3

CRN 169391-91-7

CMF C42 H74 O14 Si8



IC ICM C08F230-08  
 ICS G03F007-039; G03F007-075  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 819837-18-8P 819837-20-2P 819837-22-4P 819837-23-5P  
 819837-25-7P 819837-27-9P 819837-29-1P 819837-31-5P  
**819837-32-6P** 819837-34-8P  
 (acrylic polymers having oxonorbornane and polyhedral  
 oligosilsesquioxane pendants for pos. photoresists with high  
 resolution and suppressed line edge roughness)

L26 ANSWER 7 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:1036753 HCAPLUS  
 DOCUMENT NUMBER: 142:30014  
 TITLE: Silicon-containing polymer, resist composition  
 and patterning process  
 INVENTOR(S): Hatakeyama, Jun; Takeda, Takanobu  
 PATENT ASSIGNEE(S): Japan  
 SOURCE: U.S. Pat. Appl. Publ., 38 pp.  
 CODEN: USXXCO  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| US 2004242821          | A1   | 20041202 | US 2004-853783  | 2004<br>0526 |
| JP 2004352743          | A2   | 20041216 | JP 2003-148656  | 2003<br>0527 |
| PRIORITY APPLN. INFO.: |      |          | JP 2003-148656  | A<br>2003    |



0527

AB Novel silicon-containing polymers are provided comprising recurring units having a POSS pendant and units which improve alkali solubility under the action of an acid. Resist compns. comprising the polymers are sensitive to high-energy radiation and have a high sensitivity and resolution at a wavelength of up to 300 nm and improved resistance to oxygen plasma etching.

IT 802917-23-3P

(silicon-containing polymer, resist composition and patterning process)

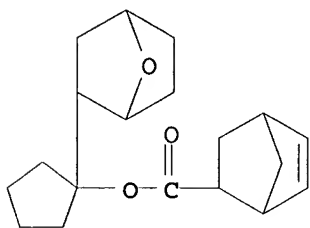
RN 802917-23-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and heptacyclopentyl[(ethenyldimethylsilyl)oxy]pentacyclo[9.5.1.13,9.15,15.17,13]octasiloxane (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

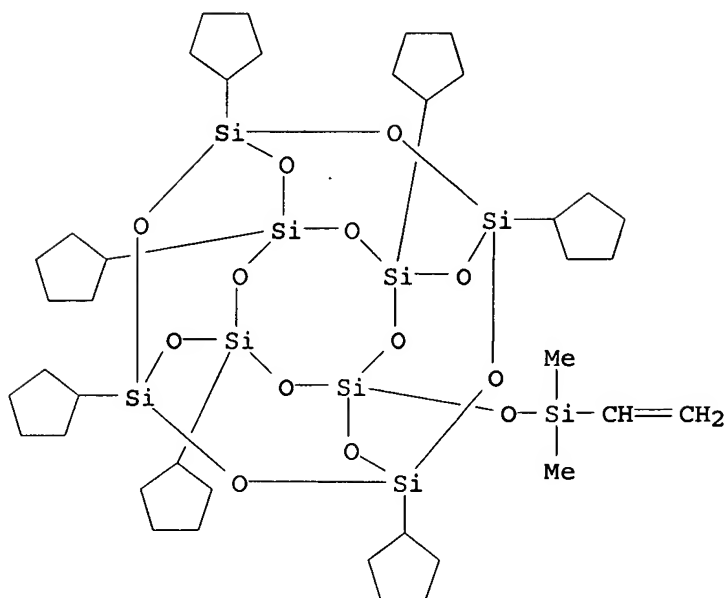
CMF C19 H26 O3



CM 2

CRN 312693-40-6

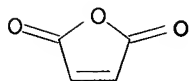
CMF C39 H72 O13 Si9



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-004

ICS C08F122-04; C08F222-04

INCL 526250000; 430270100; 430322000; 430330000; 526271000; 526279000

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 802917-18-6P 802917-19-7P 802917-20-0P 802917-21-1P

802917-22-2P 802917-23-3P 802917-24-4P 802917-25-5P

(silicon-containing polymer, resist composition and patterning process)

L26 ANSWER 8 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:261017 HCAPLUS

DOCUMENT NUMBER: 140:311986

TITLE: Ester compounds, polymers, resist compositions and patterning process

INVENTOR(S): Hasegawa, K.; Kinsho, T.; Watanabe, T.

PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan

SOURCE: Eur. Pat. Appl., 48 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.  | KIND | DATE                | APPLICATION NO. | DATE              |
|---|------|---------------------|-----------------|-------------------|
| EP 1403295  | A2   | <del>20040331</del> | EP 2003-256075  | 2003<br>0926      |
| EP 1403295  | A3   | <del>20040414</del> |                 |                   |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |                     |                 |                   |
| JP 2004143153   | A2   | <del>20040520</del> | JP 2003-330904  | 2003<br>0924      |
| US 2004068124   | A1   | 20040408            | US 2003-671948  | 2003<br>0929      |
| PRIORITY APPLN. INFO.:  |      |                     | JP 2002-285161  | A<br>2002<br>0930 |

OTHER SOURCE(S): MARPAT 140:311986

AB The present invention relates to novel ester compds. having formula:  $A1C(=O)OCR1R2A2-R3$  ( $A1$  = polymerizable functional group having a double bond;  $A2$  = furan-diyl, tetrahydrofurandiyl or oxa-norbornane-diyl;  $R1,2$  = monovalent hydrocarbon group, or  $R1$  and  $R2$  may bond together to form an aliphatic hydrocarbon ring with the carbon atom;  $R3$  = hydrogen or a monovalent hydrocarbon group which may contain a hetero atom are polymerizable into polymers). Resist compns. comprising the polymers are sensitive to high-energy radiation, have an improved sensitivity, resolution, and etching resistance, and lend themselves to micropatterning with electron beams or deep-UV rays.

IT 676456-76-1P 676456-77-2P 676456-78-3P

676456-79-4P 676456-80-7P 676456-81-8P

(ester compds. for polymers and photoresist compns.)

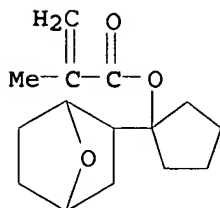
RN 676456-76-1 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl ester, polymer with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

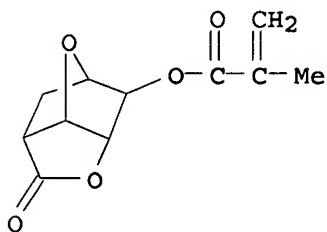
CRN 676456-72-7

CMF C15 H22 O3



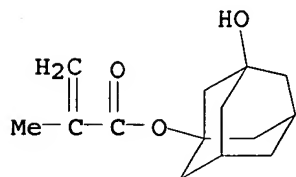
CM 2

CRN 274248-05-4  
CMF C11 H12 O5



CM 3

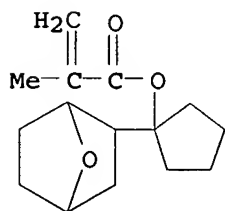
CRN 115372-36-6  
CMF C14 H20 O3



RN 676456-77-2 HCAPLUS  
CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl ester, polymer with 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and tetrahydro-2-oxo-3-furanyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

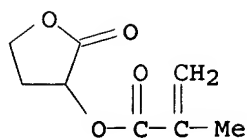
CM 1

CRN 676456-72-7  
CMF C15 H22 O3



CM 2

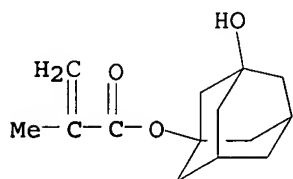
CRN 195000-66-9  
CMF C8 H10 O4



CM 3

CRN 115372-36-6

CMF C14 H20 O3



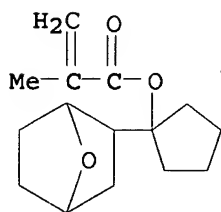
RN 676456-78-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 2-ethyldecahydro-1,4:5,8-dimethanonaphthalen-2-yl ester, polymer with hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

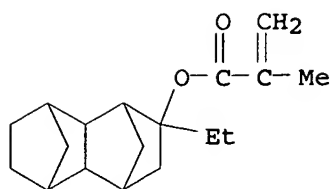
CMF C15 H22 O3



CM 2

CRN 485819-03-2

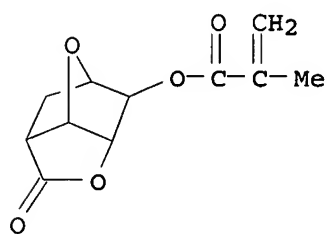
CMF C18 H26 O2



CM 3

CRN 274248-05-4

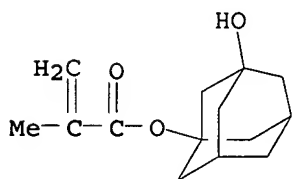
CMF C11 H12 O5



CM 4

CRN 115372-36-6

CMF C14 H20 O3



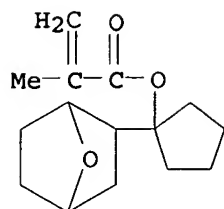
RN 676456-79-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 2-ethyltricyclo[3.3.1.13,7]dec-2-yl  
 ester, polymer with 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl  
 2-methyl-2-propenoate, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl  
 2-methyl-2-propenoate and tetrahydro-2-oxo-3-furanyl  
 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

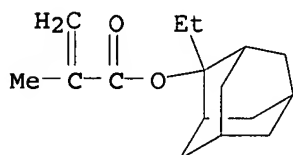
CMF C15 H22 O3



CM 2

CRN 209982-56-9

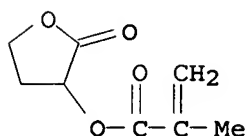
CMF C16 H24 O2



CM 3

CRN 195000-66-9

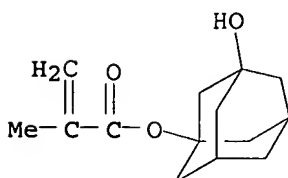
CMF C8 H10 O4



CM 4

CRN 115372-36-6

CMF C14 H20 O3



RN 676456-80-7 HCAPLUS

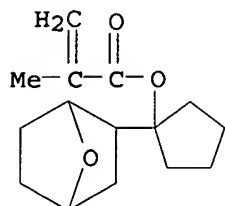
CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, tetrahydro-2-oxo-3-furanyl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate

(9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

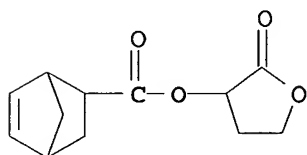
CMF C15 H22 O3



CM 2

CRN 264193-09-1

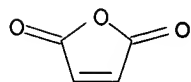
CMF C12 H14 O4



CM 3

CRN 108-31-6

CMF C4 H2 O3



RN 676456-81-8 HCAPLUS

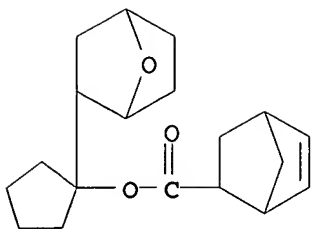
CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and tetrahydro-2-oxo-3-furanyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

CMF C19 H26 O3

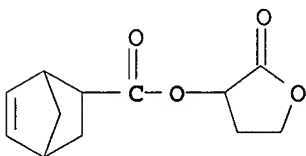




CM 2

CRN 264193-09-1

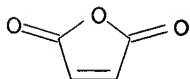
CMF C12 H14 O4



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM C08F020-30  
ICS C08F032-08; G03F007-039  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
Other Reprographic Processes)  
Section cross-reference(s): 35, 38  
IT 676456-75-0P 676456-76-1P 676456-77-2P  
676456-78-3P 676456-79-4P 676456-80-7P  
676456-81-8P  
(ester compds. for polymers and photoresist compns.)

L26 ANSWER 9 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:56212 HCAPLUS

DOCUMENT NUMBER: 138:115060

TITLE: Cycloalkenyl epoxy compounds, their polymers,  
positive photoresists containing them with  
high resolution and good adhesion to  
substrates, and photolithography using them  
INVENTOR(S): Hasegawa, Koji; Kaneo, Takeshi; Watanabe,  
Takeshi

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

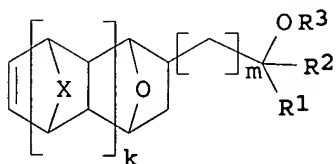
SOURCE: Jpn. Kokai Tokkyo Koho, 37 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE               |
|------------------------|--------------|---------------|--------------------------|--------------------|
| JP 2003020313          | A2           | 20030124      | JP 2001-207289           | 2001<br>0709       |
| US 2003050398          | A1           | 20030313      | US 2002-189706           | 2002<br>0703       |
| US 2005142491          | A1           | 20050630      | US 2005-57008            | 2005<br>0211       |
| PRIORITY APPLN. INFO.: |              |               | JP 2001-207289           | A<br>2001<br>0709  |
|                        |              |               | US 2002-189706           | A3<br>2002<br>0703 |

OTHER SOURCE(S): MARPAT 138:115060  
 GI



AB The invention relates to epoxy compds. I (R1, R2 = H, C1-10-alkyl, etc.; R3 = C1-10-alkyl, C1-15-acyl, C1-15-alkoxycarbonyl, etc.; X = CH2, O, S; k = 0, 1; m = 0-5). The photoresists are sensitive to ArF excimer laser beams.

IT 488720-38-3P 488720-40-7P  
 (cycloalkenyl epoxide polymers for ArF laser-sensitive high-resolution pos. photoresists with good adhesion to substrates)

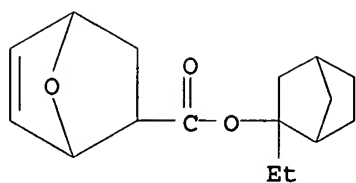
RN 488720-38-3 HCAPLUS

CN 7-Oxabicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with (α,α-dimethyl-7-oxabicyclo[2.2.1]hept-5-en-2-yl)methyl acetate and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 488720-34-9

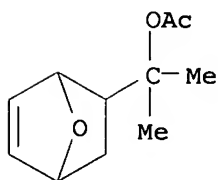
CMF C16 H22 O3



CM 2

CRN 488720-33-8

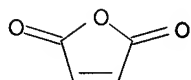
CMF C11 H16 O3



CM 3

CRN 108-31-6

CMF C4 H2 O3



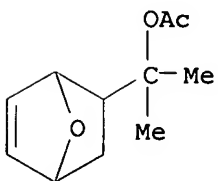
RN 488720-40-7 HCAPLUS

CN 2-Propenoic acid, 2-ethyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl ester,  
polymer with (α,α-dimethyl-7-oxabicyclo[2.2.1]hept-5-  
en-2-yl)methyl acetate and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

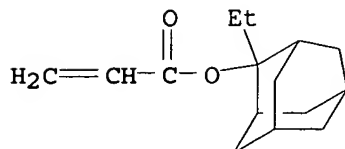
CRN 488720-33-8

CMF C11 H16 O3



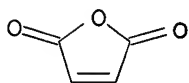
CM 2

CRN 303186-14-3  
CMF C15 H22 O2



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM C08F034-00  
ICS C08G061-12; G03F007-039  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
IT 488720-35-0P 488720-36-1P 488720-37-2P **488720-38-3P**  
488720-39-4P **488720-40-7P** 488720-41-8P 488720-43-0P  
(cycloalkenyl epoxide polymers for ArF laser-sensitive high-resolution pos. photoresists with good adhesion to substrates)

L26 ANSWER 10 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:716915 HCAPLUS

DOCUMENT NUMBER: 137:270511

TITLE: Polymers, resist materials, and pattern formation method

INVENTOR(S): Nishi, Tsunehiro; Hasegawa, Koji; Nakashima, Mutsuo

PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan

SOURCE: U.S. Pat. Appl. Publ., 37 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| ND | DATE     | APPLICATION NO.  | DATE         |
|----|----------|------------------|--------------|
| A1 | 20020919 | US 2002-50478    | 2002<br>0116 |
| B2 | 20040113 |                  |              |
| B  | 20030901 | TW 2002-91100626 | 2002<br>0116 |
| A2 | 20021018 | JP 2002-8244     |              |

USHA SHRESTHA EIC 1700 REM 4B28

2002  
0117

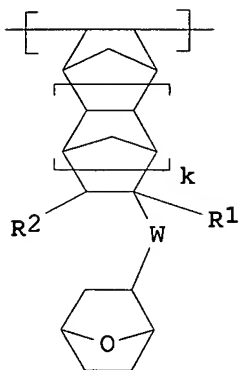
PRIORITY APPLN. INFO.:

JP 2001-8613

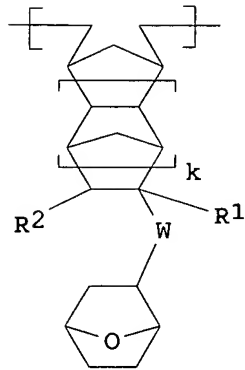
A

2001  
0117

GI



I



II

AB The present invention provides (1) a polymer which has excellent reactivity, rigidity and adhesion to the substrate, and undergoes a low degree of swelling during development, (2) a resist material which uses this polymer as the base resin and hence exhibits much higher resolving power and etching resistance than conventional resist materials, and (3) a pattern formation method using this resist material. Specifically, the present invention provides a novel polymer containing repeating units represented by I, II (R1 = H, Me, CH<sub>2</sub>CO<sub>2</sub>R<sub>3</sub>; R<sub>2</sub> = H, Me, CO<sub>2</sub>R<sub>3</sub>; R<sub>3</sub> = C<sub>1</sub>-15 alkyl; W = C<sub>2</sub>-20 divalent hydrocarbon radical, which may have  $\geq 1$  ester linkage in its structure and may further be substituted by one or more other atomic group containing a heteroatom; k = 0,1) and having a weight-average mol. weight of 1,000-500,000, a resist material using the polymer as a base resin, and a pattern formation method using the resist material.

IT 461671-55-6P

(polymers, photoresist materials, and pattern formation method)

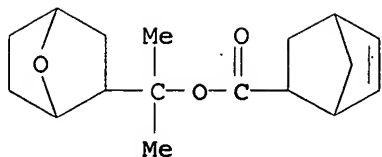
RN 461671-55-6 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with 2,5-furandione and 1-methyl-1-(7-oxabicyclo[2.2.1]hept-2-yl)ethyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 461671-54-5

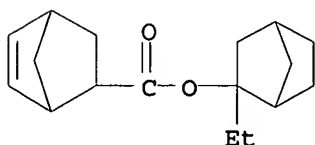
CMF C17 H24 O3



CM 2

CRN 330596-01-5

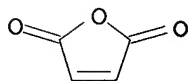
CMF C17 H24 O2



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-039

ICS G03F007-38; G03F007-40

INCL 430270100

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35, 38

IT 461671-53-4P 461671-55-6P 461671-57-8P 461671-59-0P

461671-60-3P 461671-61-4P 461671-62-5P 461671-63-6P

461671-64-7P 461671-65-8P 461671-66-9P 461671-68-1P

(polymers, photoresist materials, and pattern formation method)

REFERENCE COUNT: 10 THERE ARE 10 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L26 ANSWER 11 OF 11 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:575607 HCAPLUS

DOCUMENT NUMBER: 137:132115

TITLE: Polymer, resist composition and patterning process

INVENTOR(S): Nishi, Tsunehiro; Nakashima, Mutsuo; Kobayashi, Tomohiro

PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan

SOURCE: U.S. Pat. Appl. Publ., 35 pp.

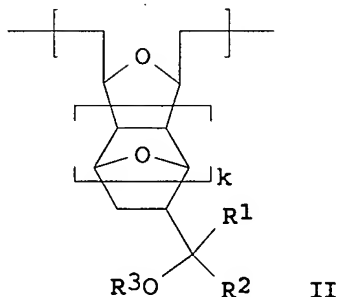
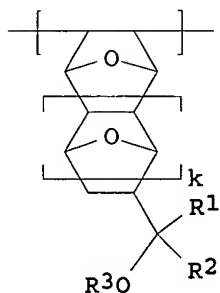
CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE              |
|------------------------|--------------|---------------|--------------------------|-------------------|
| US 2002102493          | A1           | 20020801      | US 2001-221              | 2001<br>1204      |
| US 6670094             | B2           | 20031230      |                          |                   |
| JP 2002234913          | A2           | 20020823      | JP 2001-363803           | 2001<br>1129      |
| TW 527523              | B            | 20030411      | TW 2001-90129860         | 2001<br>1203      |
| PRIORITY APPLN. INFO.: |              |               | JP 2000-368672           | A<br>2000<br>1204 |

GI



AB The present invention relates to a polymer comprising recurring units of I, II (R1,2 = H, C1-15 alkyl, R1,2 taken together, may form a ring; R3 = H, C1-15 alkyl, acyl or alkylsulfonyl or C2-15 alkoxy carbonyl or alkoxyalkyl which may have halogen substituents; not all R1-3 are hydrogen; k = 0 or 1) and having a Mw of 1,000-500,000.. The present invention relates to a photoresist composition comprising the polymer as a base resin which is sensitive to high-energy radiation, has excellent sensitivity, resolution, etching resistance, and minimized swell and lends itself to micropatterning with electron beams or deep-UV.

IT 444045-74-3P

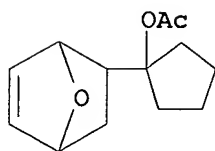
(polymer photoresist composition for patterning process)

RN 444045-74-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-5-en-2-yl)cyclopentyl acetate (9CI)  
 (CA INDEX NAME)

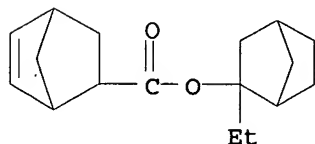
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CRN 444045-73-2  
CMF C13 H18 O3



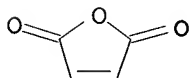
CM 2

CRN 330596-01-5  
CMF C17 H24 O2



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM G03F007-038  
ICS G03F007-38; G03F007-40; G03F007-30  
INCL 430270100  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
Other Reprographic Processes)  
Section cross-reference(s): 35, 38  
IT 444045-74-3P 444045-76-5P 444045-78-7P 444105-77-5P  
444105-79-7P 444105-81-1P 444105-83-3P 444105-85-5P  
(polymer photoresist composition for patterning process)



## SEARCH REQUEST FORM

## Scientific and Technical Information Center

Requester's Full Name: Sin J. Lee Examiner #: 76060 Date: 12-19-05  
 Art Unit: 1752 Phone Number 302-1333 Serial Number: 10/765,919  
 Mail Box and Bldg/Room Location: 4066 Results Format Preferred (circle): PAPER DISK E-MAIL

If more than one search is submitted, please prioritize searches in order of need.

\*\*\*\*\*

Please provide a detailed statement of the search topic, and describe as specifically as possible the subject matter to be searched. Include the elected species or structures, keywords, synonyms, acronyms, and registry numbers, and combine with the concept or utility of the invention. Define any terms that may have a special meaning. Give examples or relevant citations, authors, etc, if known. Please attach a copy of the cover sheet, pertinent claims, and abstract.

Title of Invention: Plz. See Bib.

Inventors (please provide full names): \_\_\_\_\_

Earliest Priority Filing Date: \_\_\_\_\_

\*For Sequence Searches Only\* Please include all pertinent information (parent, child, divisional, or issued patent numbers) along with the appropriate serial number.

Plz. search for a polymer  
 having the repeat unit, which  
 contains a substituent gp. of the formula (1).  
 Shown in ex. #1

SCIENTIFIC REFERENCE BR  
 Sci & Tech Inf. Cntr

DEC 19 2005

Pat. & T.M. Office

## STAFF USE ONLY

|  | Type of Search         | Vendors and cost where applicable |
|--|------------------------|-----------------------------------|
| Searcher: <u>usl</u>                     | NA Sequence (#) _____  | STN <u>\$300.14</u>               |
| Searcher Phone #: _____                  | AA Sequence (#) _____  | Dialog _____                      |
| Searcher Location: _____                 | Structure (#) <u>1</u> | Questel/Orbit _____               |
| Date Searcher Picked Up: <u>12/22/05</u> | Bibliographic _____    | Dr. Link _____                    |
| Date Completed: <u>12/22/05</u>          | Litigation _____       | Lexis/Nexis _____                 |
| Searcher Prep & Review Time: <u>30</u>   | Fulltext _____         | Sequence Systems _____            |
| Clerical Prep Time: <u>30</u>            | Patent Family _____    | WWW/Internet _____                |
| Online Time: <u>52</u>                   | Other _____            | Other (specify) _____             |

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Bib Data Sheet

CONFIRMATION NO. 4118

|                            |                                       |              |                        |                                      |
|----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|
| SERIAL NUMBER<br>10765,919 | FILING DATE<br>01/29/2004<br><br>RULE | CLASS<br>430 | GROUP ART UNIT<br>1752 | ATTORNEY<br>DOCKET NO.<br>0171-1058P |
|----------------------------|---------------------------------------|--------------|------------------------|--------------------------------------|

## APPLICANTS

Jun Hatakeyama, Niigata-ken, JAPAN;

Takanobu Takeda, Niigata-ken, JAPAN;

Osamu Watanabe, Niigata-ken, JAPAN;

\*\* CONTINUING DATA \*\*\*\*\*  
   None      SJL

\*\* FOREIGN APPLICATIONS \*\*\*\*\*  
       JAPAN 2003-021416 01/30/2003    ) SJL  
       JAPAN 2003-194033 07/09/2003    )

IF REQUIRED, FOREIGN FILING LICENSE GRANTED

\*\* 08/18/2005

|   |                              |                        |                       |                            |
|---|------------------------------|------------------------|-----------------------|----------------------------|
| Foreign Priority claimed<br><input checked="" type="checkbox"/> yes <input type="checkbox"/> no   | STATE OR<br>COUNTRY<br>JAPAN | SHEETS<br>DRAWING<br>2 | TOTAL<br>CLAIMS<br>13 | INDEPENDENT<br>CLAIMS<br>4 |
| 35 USC 119 (a-d) conditions met<br><input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance |                              |                        |                       |                            |
| Verified and Acknowledged<br><i>[Signature]</i><br>Examiner's Signature   | SJL<br>Initials              |                        |                       |                            |

## ADDRESS

02292

BIRCH STEWART KOLASCH &amp; BIRCH

PO BOX 747

FALLS CHURCH, VA

22040-0747

## TITLE

Polymer, resist composition and patterning process

FILING FEE

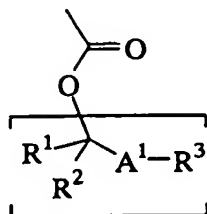
RECEIVED

FEES: Authority has been given in Paper  
 No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
 No. \_\_\_\_\_ for following:

☐ All Fees☐ 1.16 Fees ( Filing )☐ 1.17 Fees ( Processing Ext. of time )

CLAIMS:

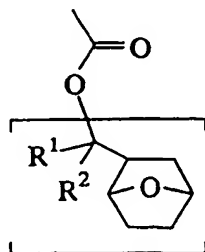
1. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (1):



(1)

wherein A¹ is a divalent group selected from furandiyl, tetrahydrofurandiyl and oxanorbornandiyl, R¹ and R² are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10 carbon atoms, or R¹ and R² taken together may form an aliphatic hydrocarbon ring with the carbon atom to which they are attached, and R³ is hydrogen or a straight, branched or cyclic monovalent hydrocarbon group of 1 to 10 carbon atoms which may contain a hetero atom.

2. A polymer comprising recurring units containing silicon and recurring units having a substituent group of the general formula (2):



(2)

wherein R¹ and R² are independently selected from straight, branched or cyclic monovalent hydrocarbon groups of 1 to 10

=> fil reg

FILE 'REGISTRY' ENTERED AT 11:01:48 ON 22 DEC 2005

=> d his

FILE 'HCAPLUS' ENTERED AT 09:06:02 ON 22 DEC 2005

L1 1 S US20050260521/PN  
SEL RN

FILE 'REGISTRY' ENTERED AT 09:06:31 ON 22 DEC 2005

L2 12 S E1-E12

FILE 'LREGISTRY' ENTERED AT 09:40:05 ON 22 DEC 2005

L3 STR  
L4 STR

FILE 'REGISTRY' ENTERED AT 09:43:41 ON 22 DEC 2005

L5 SCR 2043  
L6 0 S L3 AND L4 AND L5  
L7 0 S L3 AND L4  
L8 SCR 1146 OR 1135  
L9 2 S L3 AND L8  
L10 STR L3  
L11 0 S L10 AND L4  
L12 2 S L10 AND L8  
L13 2 S L10 AND L5 AND L8  
L14 110 S L10 AND L5 AND L8 FUL  
SAV L14 LEE919/A  
L15 7 S L14 AND L2  
L16 30 S L14 AND 103.61.1/RID  
L17 13 S L14 AND 16.138.6/RID  
L18 40 S L14 AND 16.138/RID  
L19 STR L10  
L20 1 S L19 AND L5 AND L8  
L21 157 S L19 AND L5 AND L8 FUL  
SAV L21 LEE919A/A  
L22 167 S L14 OR L21  
L23 33 S L22 AND 103.61/RID  
L24 45 S L22 AND 16.138/RID

FILE 'HCAPLUS' ENTERED AT 10:32:56 ON 22 DEC 2005

L25 131 S L22  
L26 11 S L23  
L27 33 S L24  
L28 34 S L26 OR L27  
L29 97 S L25 NOT L28

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L30 110 S L22 NOT 1-20/N

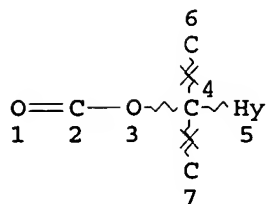
FILE 'HCAPLUS' ENTERED AT 10:43:23 ON 22 DEC 2005

L31 65 S L30  
L32 32 S L31 NOT L28  
L33 34 S L31 AND PHOTOG?/SC  
L34 1 S L33 NOT L28

=> d que 127

L5 SCR 2043

L8 SCR 1146 OR 1135  
L10 STR



## NODE ATTRIBUTES:

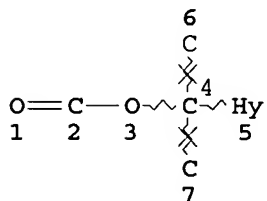
NSPEC IS RC AT 4  
NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
GGCAT IS SAT AT 5  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L14 110 SEA FILE=REGISTRY SSS FUL L10 AND L5 AND L8  
L19 STR



## NODE ATTRIBUTES:

NSPEC IS RC AT 4  
NSPEC IS RC AT 6  
NSPEC IS RC AT 7  
DEFAULT MLEVEL IS ATOM  
DEFAULT ECLEVEL IS LIMITED  
ECOUNT IS X6 C X1 O AT 5

## GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED  
NUMBER OF NODES IS 7

## STEREO ATTRIBUTES: NONE

L21 157 SEA FILE=REGISTRY SSS FUL L19 AND L5 AND L8  
L22 167 SEA FILE=REGISTRY ABB=ON PLU=ON L14 OR L21  
L24 45 SEA FILE=REGISTRY ABB=ON PLU=ON L22 AND 16.138/RID  
L27 33 SEA FILE=HCAPLUS ABB=ON PLU=ON L24

=> fil hcap

FILE 'HCAPLUS' ENTERED AT 11:02:27 ON 22 DEC 2005

=> d l27 1-33 ibib abs hitstr hitind

L27 ANSWER 1 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2005:822667 HCAPLUS  
 DOCUMENT NUMBER: 143:219454  
 TITLE: Chemically amplified photoresists with high sensitivity, resolution, and less scums, silsesquioxane compositions therefor, and method for forming precise patterns therewith  
 INVENTOR(S): Hatakeyama, Jun  
 PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 102 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2005221714          | A2   | 20050818 | JP 2004-28994   | 2004<br>0205 |
| PRIORITY APPLN. INFO.: |      |          | JP 2004-28994   | 2004<br>0205 |

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\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT  
 \*

AB The compns. contain (A) organopolysiloxanes prepared by hydrolytic condensation of silane monomers  $R_1SiX_3$  ( $R_1$  = organic group having acid-decomposable group;  $X$  = halo, OH, C1-10 alkoxy or acyl) and optionally other silane monomers  $R_0SiX_3$  ( $R_0$  = organic group for tight adhesion;  $X$  = same as above) and (B) polymers having repeating units  $[R_2C(CO_2R_5)CH_2]$  [ $R_2$  = H, Me, F,  $CF_3$ , CN,  $CH_2CO_2R_3$ ,  $CH_2OR_4$ ;  $R_3$  = C1-4 linear or branched alkyl;  $R_4$  = H, C1-4 linear or branched alkyl or acyl;  $R_5$  =  $R_6R_7CCH_2SiR_8R_9R_{10}$ ,  $R_{11}C(CH_2SiR_{12}R_{13}R_{14})_2$ ,  $C(CH_2SiR_{15}R_{16}R_{17})_3$ , Q1, Q2;  $R_6$ ,  $R_7$ ,  $R_{11}$  = H, C1-10 linear, branched, or cyclic alkyl;  $R_8$ - $R_{10}$ ,  $R_{12}$ - $R_{17}$  = C1-10 linear, branched, or cyclic alkyl, C6-10 aryl, trialkylsilyl, Si-containing group bonded with Si in the formula by siloxane or silalkylene linkage;  $R_{28}$ - $R_{30}$  = C1-20 linear, branched, or cyclic alkyl;  $R_{18}$ ,  $R_{19}$ ,  $R_{22}$ ,  $R_{23}$ ,  $R_{26}$ ,  $R_{27}$ ,  $R_{31}$ ,  $R_{32}$ ,  $R_{35}$ ,  $R_{36}$ ,  $R_{39}$ - $R_{41}$  = H, C1-20 linear, branched, or cyclic alkyl;  $R_{20}$ ,  $R_{21}$ ,  $R_{24}$ ,  $R_{25}$ ,  $R_{33}$ ,  $R_{34}$ ,  $R_{37}$ ,  $R_{38}$  = H, C1-20 linear, branched, or cyclic alkyl, fluorinated C1-20 alkyl, C6-20 aryl;  $p$ ,  $q$ ,  $r$ ,  $s$  = 0-10;  $1 \leq p + q + s \leq 20$ ]. Also claimed are compns. containing A and (C) copolymers of silyl-branched vinyl repeating units and other repeating units having groups whose alkaline solubility can be increased by acids (both Markush given). Alternatively, the compns. contain  $(R_1SiO_x)$  ( $R_1$  = same as above;  $x$  = 1.0-1.5) instead of A. Also claimed are chemical amplified photoresists containing the above compns., acid generators, organic solvents, and optionally dissoln. inhibitors. Basic compds. may be contained in the

photoresists. In the process, the photoresists are applied on substrates (e.g., semiconductor wafers equipped with photoresist underlayers), heat treated, exposed to high-energy rays or electron beams via photomasks, and developed (after further heat treatment) to give patterns. After the patterns are formed, layers under them may be etched with O plasma or with Br- or Cl-containing halogen gases.

IT 802917-23-3P 862379-21-3P

(silsesquioxane-based chemical amplified photoresists with high sensitivity, resolution, and less scums for forming precise patterns)

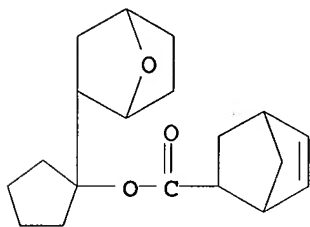
RN 802917-23-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and heptacyclopentyl[(ethenyldimethylsilyl)oxy]pentacyclo[9.5.1.13,9.15,15.17,13]octasiloxane (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

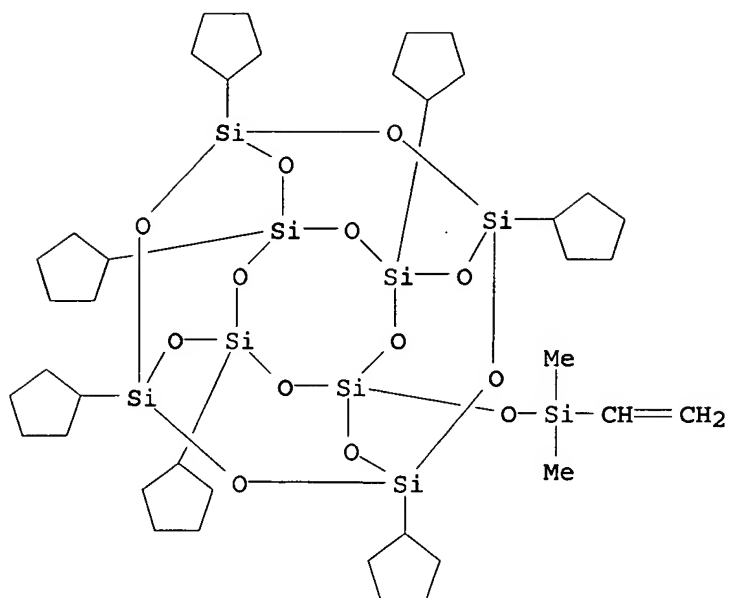
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CM 2

CRN 312693-40-6

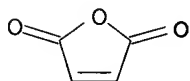
CMF C39 H72 O13 Si9



CM 3

CRN 108-31-6

CMF C4 H2 O3



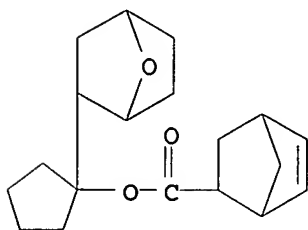
RN 862379-21-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with ethenylheptamethylcyclotetrasiloxane and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

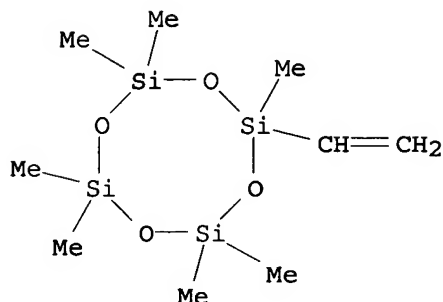
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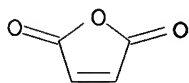


CRN 3763-39-1  
CMF C9 H24 O4 Si4



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM G03F007-075  
ICS C08F030-08; G03F007-039; H01L021-027; C08G077-14  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
Other Reprographic Processes)  
Section cross-reference(s): 76  
IT 630417-20-8P 800397-92-6P 802917-23-3P 802986-14-7P  
819837-18-8P 862379-20-2P 862379-21-3P 862383-75-3P  
862383-77-5P  
(silsesquioxane-based chemical amplified photoresists with high  
sensitivity, resolution, and less scums for forming precise  
patterns)

L27 ANSWER 2 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:445341 HCAPLUS

DOCUMENT NUMBER: 142:490394

TITLE: Acrylic polymers for chemically amplified  
positive photoresists, and method for pattern  
formation using them

INVENTOR(S): Hatakeyama, Jun; Harada, Yuji; Kawai, Yoshio

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 56 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO. | KIND | DATE  | APPLICATION NO. | DATE |
|------------|------|-------|-----------------|------|
| -----      | ---- | ----- | -----           |      |
| -----      |      |       |                 |      |

JP 2005133066

A2

20050526

JP 2004-215907

2004  
0723

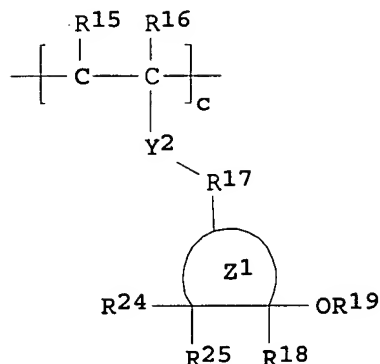
PRIORITY APPLN. INFO.:

JP 2003-350143

A

2003  
1008

GI



I

AB The polymers have repeating units of (A) [CHR2CR1[CO2CR3R4(R5R6)]]a and (B) [CHR8CR9[Y1R10R23R11CR12R13(OR14)]]b and/or I [R1 = H, Me, CH2CO2R7; R2 = H, Me, CO2R7; R3, R4 = C1-10 hydrocarbonyl, R3 and R4 may link together to form an aliphatic hydrocarbon ring with connecting C; R5 = furandiyl, tetrahydrofurandiyl, and oxanorbornanediyl; R6 = H, C1-10 hydrocarbonyl; R7 = H, C1-15 alkyl; R9, R16 = H, Me, CH2CO2R7; R8, R15 = H, Me, CO2R7; R10, R11, R17 = single bond, C1-4 alkylene; R12, R13 = trifluoromethyl, Me, R12 = R13 ≠ Me; R18 = F, trifluoromethyl; R14, R19 = H, acid-labile group; R23 = (O-, S-containing bridged) C4-20 cyclic alkylene; R24, R25 = H, F; Z1 = (O-, S-containing) C4-12 bridged cyclic hydrocarbon group; Y1, Y2 = O, CO2; a = 0.1-0.8; b, c = 0-0.8; (b + c) = 0.05-0.8]. The photoresists show high sensitivity and resolution, and low line edge roughness.

IT 851866-59-6P

(acrylic polymers having specific acid-labile groups for chemical amplified pos. photoresists)

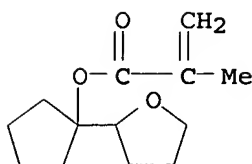
RN 851866-59-6 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl ester, polymer with 1-(tetrahydro-2-furanyl)cyclopentyl 2-methyl-2-propenoate and 5-[3,3,3-trifluoro-2-hydroxy-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 819837-30-4

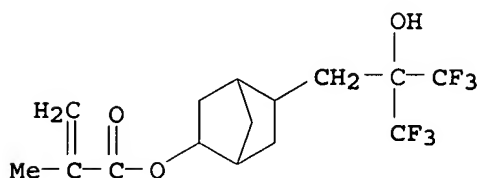
CMF C13 H20 O3



CM 2

CRN 617711-94-1

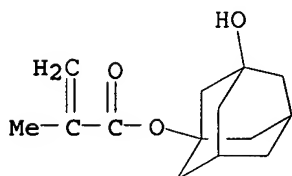
CMF C15 H18 F6 O3



CM 3

CRN 115372-36-6

CMF C14 H20 O3



IC ICM C08F220-18

ICS G03F007-033; G03F007-039; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 851866-57-4P 851866-58-5P 851866-59-6P 851866-60-9P

851866-61-0P 851866-62-1P 851866-63-2P

(acrylic polymers having specific acid-labile groups for chemical amplified pos. photoresists)

L27 ANSWER 3 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:428605 HCAPLUS

DOCUMENT NUMBER: 142:472603

TITLE: Chemical amplification-type positive resist materials and pattern formation

INVENTOR(S): Hatakeyama, Jun; Kawai, Yoshio

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 42 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| JP 2005128146 | A2   | 20050519 | JP 2003-361849  | 2003<br>1022 |

PRIORITY APPLN. INFO.:

JP 2003-361849

2003  
1022

OTHER SOURCE(S): MARPAT 142:472603

AB The resist materials comprise (A)  $\geq 1$  base polymers selected from poly(acrylic acids), their derivs., cycloolefin derivative-maleic anhydride alternating copolymers, cycloolefin derivative-maleic anhydride-acrylic acid derivative copolymers, cycloolefin derivative-maleimide alternating copolymers, cycloolefin derivative-maleimide-acrylic acid derivative copolymers, polynorbornenes, and metathesis ring-opening polymers, (B)  $R_4[R_3C(OH)R_1R_2]_n$  ( $R_1, R_2 = H, F, C1-4$  alkyl, fluorinated alkyl;  $R_1$  and/or  $R_2 = F$ -containing group;  $R_3 =$  single bond,  $C1-4$  alkylene;  $R_4 = C4-20$  n-valent cycloalkyl;  $R_4$  may contain OH, ether, ester, CO, lactone group;  $n = 1-4$ ), (C) organic solvents, and (D) acid generators. Patterns are formed by applying the materials on substrates, heating, exposing to high-energy ray or electron beam via photomasks, heating as necessary, and developing. The materials show low line-edge roughness and decreased development residues caused by swelling in development measured by QCM (quartz crystal microbalance) method.

IT 851473-87-5

(chemical amplification-type pos. resists with low swelling in development for fine pattern formation)

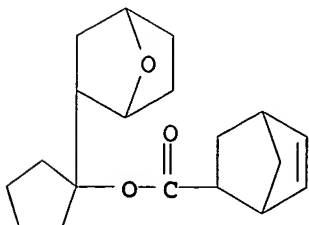
RN 851473-87-5 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, methyl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

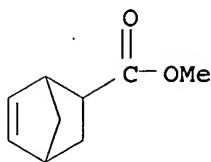
CMF C19 H26 O3



CM 2

CRN 6203-08-3

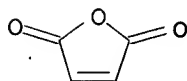
CMF C9 H12 O2



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-004

ICS G03F007-039; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

IT 368872-75-7 485819-05-4 485819-08-7 851473-87-5

(chemical amplification-type pos. resists with low swelling in development for fine pattern formation)

L27 ANSWER 4 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:135758 HCAPLUS

DOCUMENT NUMBER: 142:228725

TITLE: Oxygen plasma-resistant radiation-sensitive resists, their patterning, and macromolecules therefor

INVENTOR(S): Hatakeyama, Jun; Takeda, Takanobu; Watanabe, Osamu

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 72 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

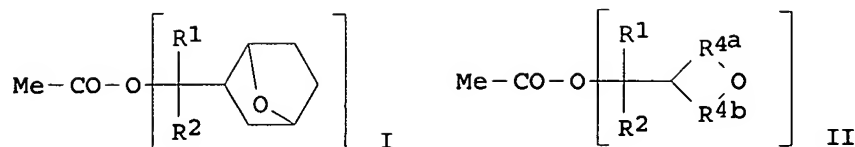
PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE              |
|------------------------|------|----------|-----------------|-------------------|
| JP 2005042085          | A2   | 20050217 | JP 2004-14354   | 2004<br>0122      |
| US 2005260521          | A1   | 20051124 | US 2004-765919  | 2004<br>0129      |
| PRIORITY APPLN. INFO.: |      |          | JP 2003-21416   | A<br>2003<br>0130 |

A

2003  
0709

GI



AB The macromols. have Si-bearing repeating unit and unit (i)  $\text{MeCO}_2[\text{CR}_1\text{R}_2\text{A}(\text{R}_3)]$  [ $\text{A}1 = (\text{tetrahydro})\text{furandiyl}$ ,  $\text{oxanorbornanediyl}$ ;  $\text{R}_1, \text{R}_2 = \text{C}1\text{-}10 \text{ hydrocarbyl}$ ;  $\text{R}_3 = \text{H}, \text{C}1\text{-}10 \text{ hydrocarbyl}$ ], (ii) I ( $\text{R}'1, \text{R}'2 = \text{C}1\text{-}10 \text{ hydrocarbyl}$ ), and/or (iii) II [ $\text{R}''1, \text{R}''2 = \text{C}1\text{-}10 \text{ hydrocarbyl}$ ;  $\text{C}1\text{-}10 \text{ hydrocarbyl}$ ;  $\text{R}4\text{a}, \text{R}4\text{b} = \text{single bond}, \text{C}1\text{-}4 \text{ alk(ne)ylene within total C number of } 3\text{-}60]$ . Pos.-working (chemical-amplified) resists containing the macromols., and their patterning with  $\leq 300\text{-nm}$  high-energy or electron beams are also claimed. The resist patterns are resistant against O plasma and Cl- or Br-containing gas etchants.

IT 843647-82-5P 843647-86-9P 843647-87-0P  
843647-88-1P

(photoresists; Si- and prescribed cyclic group-containing polymers for oxygen plasma-resistant pos. photoresists)

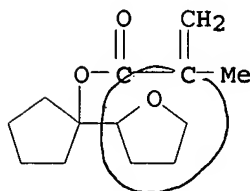
RN 843647-82-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-(tetrahydro-2-furanyl)cyclopentyl ester, polymer with 4-ethenylphenol and 2-[2,2,2-trimethyl-1,1-bis(trimethylsilyl)disilanyl]ethyl 2-methyl-2-propenoate (9CI)  
(CA INDEX NAME)

CM 1

CRN 819837-30-4

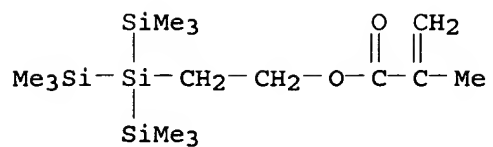
CMF C13 H20 O3



CM 2

CRN 211369-53-8

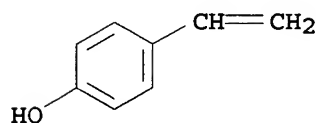
CMF C15 H36 O2 Si4



CM 3

CRN 2628-17-3

CMF C8 H8 O



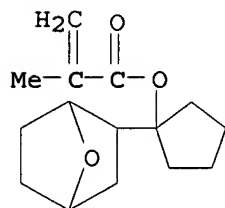
RN 843647-86-9 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with ethenylheptamethylcyclotetrasiloxane and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

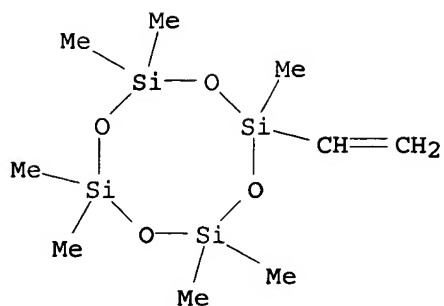
CMF C15 H22 O3



CM 2

CRN 3763-39-1

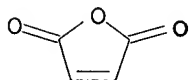
CMF C9 H24 O4 Si4



CM 3

CRN 108-31-6

CMF C4 H2 O3



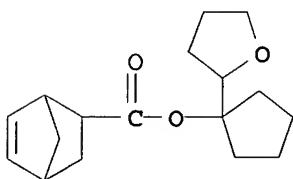
RN 843647-87-0 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(tetrahydro-2-furanyl)cyclopentyl ester, polymer with ethenylheptamethylcyclotetrasiloxane, 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-73-8

CMF C17 H24 O3

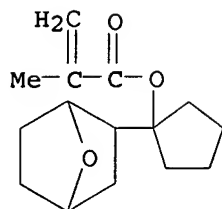


CM 2

CRN 676456-72-7

CMF C15 H22 O3

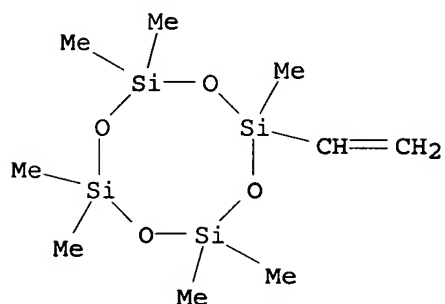




CM 3

CRN 3763-39-1

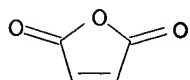
CMF C9 H24 O4 Si4



CM 4

CRN 108-31-6

CMF C4 H2 O3



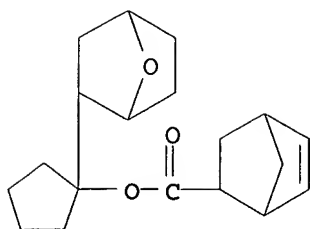
RN 843647-88-1 HCAPLUS

CM Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

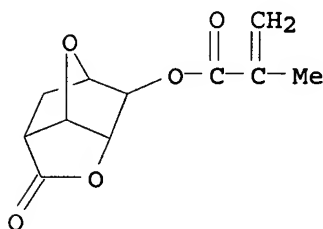
CMF C19 H26 O3



CM 2

CRN 274248-05-4

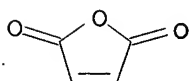
CMF C11 H12 O5



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM C08F230-08  
 ICS G03F007-039; G03F007-075; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 843647-82-5P 843647-84-7P 843647-85-8P  
 843647-86-9P 843647-87-0P 843647-88-1P  
 843647-89-2P  
 (photoresists; Si- and prescribed cyclic group-containing polymers  
 for oxygen plasma-resistant pos. photoresists)

L27 ANSWER 5 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2005:33606 HCAPLUS

DOCUMENT NUMBER: 142:103181

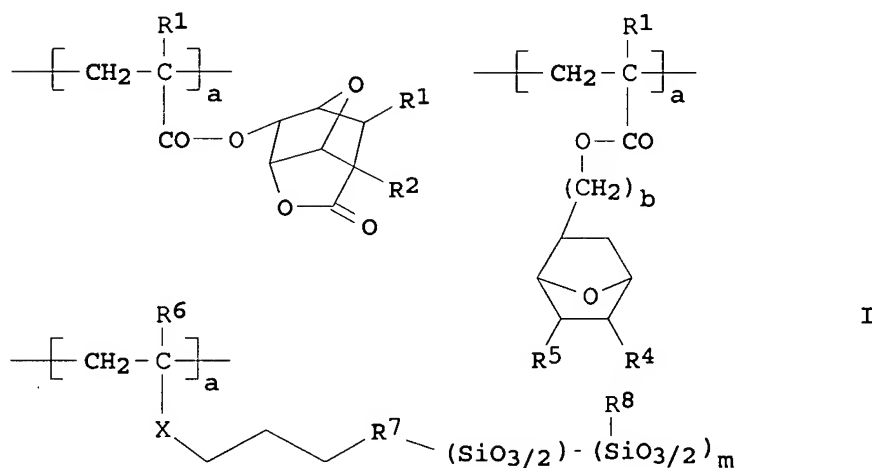
TITLE: Acrylic polymers, their chemically amplified  
 positive photoresists with high resolution and  
 sensitivity and suppressed line edge  
 roughness, and photolithography using them  
 Hatakeyama, Jun; Watanabe, Takeshi; Takeda,  
 Takanobu

INVENTOR(S):

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 58 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2005008765          | A2   | 20050113 | JP 2003-174894  | 2003<br>0619 |
| PRIORITY APPLN. INFO.: |      |          |                 | 2003<br>0619 |

GI



AB The acrylic polymers contain repeating units I [R1, R6 = H, Me, F, CF3, CN, CH2CO2R12, CH2OR13; R2 = H, Me, CN; R3 = H, ester; R4, R5 = H, ester, lactone-containing group; R8 = H, C1-10 alkyl, fluorinated alkyl; R7 = single bond, (SiR9R10R11)n; R9, R10 = C1-10 alkyl; R11 = single bond, O, C1-4 alkylene; X = ester, ether; a, b ≥ 0; c > 0; 0 < (a + b)/(a + b + c) < 0.8; 0 < c/(a + b + c) < 0.5; m = 4-40; n = 1-20; p = 0-2; R12 = C1-4 alkyl; R13 = H, C1-4 alkyl, C1-4 acyl] and other repeating units that increase alkali solubility of the polymers in the presence of acids. The photolithog. may involve etching with O plasma or halogen gases containing Cl or Br.

IT 819837-31-5P

(acrylic polymers having oxonorbornane and polyhedral oligosilsesquioxane pendants for pos. photoresists with high resolution and suppressed line edge roughness)

RN 819837-31-5 HCAPLUS

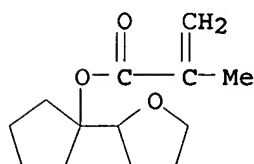
CN 2-Propenoic acid, 2-methyl-, 3-(heptacyclopentylpentacyclo[9.5.1.1.3,9.15,15.17,13]octasiloxanyl)propyl ester, polymer with

hexahydro-5-oxo-2,6-methanofuro[3,2-b]furan-3-yl  
2-methyl-2-propenoate and 1-(tetrahydro-2-furanyl)cyclopentyl  
2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 819837-30-4

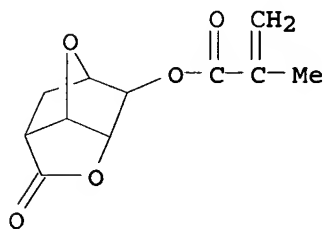
CMF C13 H20 O3



CM 2

CRN 274248-05-4

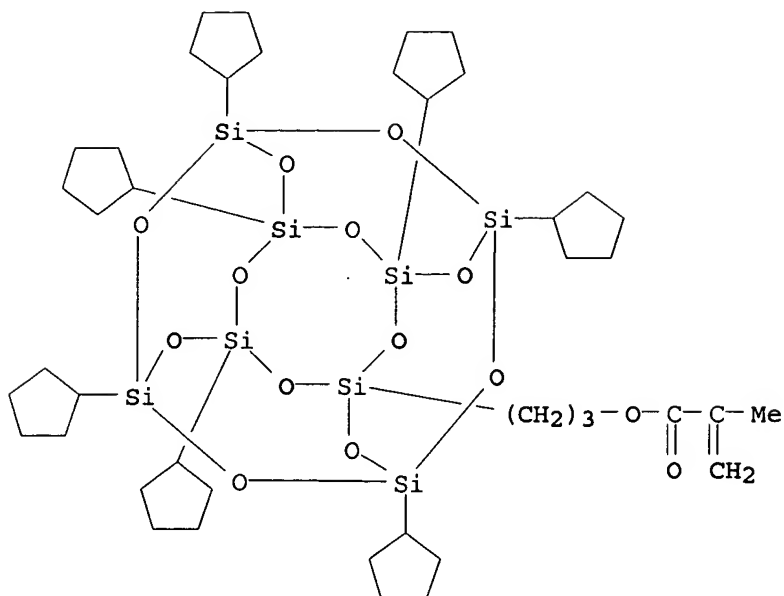
CMF C11 H12 O5



CM 3

CRN 169391-91-7

CMF C42 H74 O14 Si8



IC ICM C08F230-08  
 ICS G03F007-039; G03F007-075  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 819837-18-8P 819837-20-2P 819837-22-4P 819837-23-5P  
 819837-25-7P 819837-27-9P 819837-29-1P **819837-31-5P**  
 819837-32-6P 819837-34-8P  
 (acrylic polymers having oxonorborene and polyhedral oligosilsesquioxane pendants for pos. photoresists with high resolution and suppressed line edge roughness)

L27 ANSWER 6 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2004:1036753 HCAPLUS  
 DOCUMENT NUMBER: 142:30014  
 TITLE: Silicon-containing polymer, resist composition and patterning process  
 INVENTOR(S): Hatakeyama, Jun; Takeda, Takanobu  
 PATENT ASSIGNEE(S): Japan  
 SOURCE: U.S. Pat. Appl. Publ., 38 pp.  
 CODEN: USXXCO  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|------|
| US 2004242821 | A1   | 20041202 | US 2004-853783  |      |
| JP 2004352743 | A2   | 20041216 | JP 2003-148656  |      |

2004  
0526

2003  
0527

PRIORITY APPLN. INFO.:

JP 2003-148656

A

2003  
0527

AB Novel silicon-containing polymers are provided comprising recurring units having a POSS pendant and units which improve alkali solubility under the action of an acid. Resist compns. comprising the polymers are sensitive to high-energy radiation and have a high sensitivity and resolution at a wavelength of up to 300 nm and improved resistance to oxygen plasma etching.

IT 802917-23-3P

(silicon-containing polymer, resist composition and patterning process)

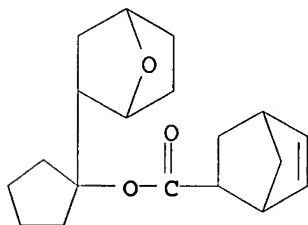
RN 802917-23-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and heptacyclopentyl[(ethenyldimethylsilyl)oxy]pentacyclo[9.5.1.13,9.15,15.17,13]octasiloxane (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

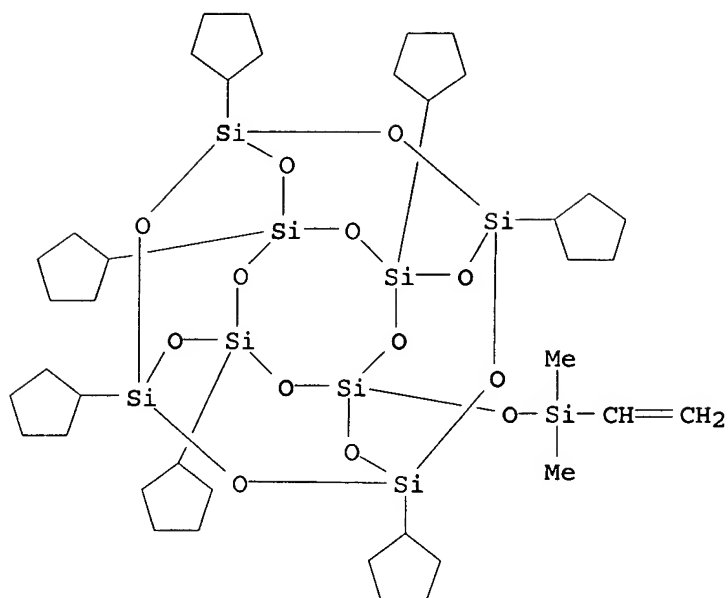
CMF C19 H26 O3



CM 2

CRN 312693-40-6

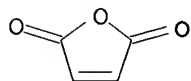
CMF C39 H72 O13 Si9



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-004  
 ICS C08F122-04; C08F222-04  
 INCL 526250000; 430270100; 430322000; 430330000; 526271000; 526279000  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 802917-18-6P 802917-19-7P 802917-20-0P 802917-21-1P  
 802917-22-2P 802917-23-3P 802917-24-4P 802917-25-5P  
 (silicon-containing polymer, resist composition and patterning process)

L27 ANSWER 7 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2004:1012045 HCAPLUS  
 DOCUMENT NUMBER: 142:13671  
 TITLE: Photosensitive resin composition  
 INVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi; Sasaki,  
 Tomoya  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Eur. Pat. Appl., 133 pp.  
 CODEN: EPXXDW  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 2  
 PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE               |
|---|------|----------|-----------------|--------------------|
| EP 1480079  | A2   | 20041124 | EP 2004-19923   | 2003<br>0606       |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |          |                 |                    |
| JP 2004012898   | A2   | 20040115 | JP 2002-167393  | 2002<br>0607       |
| JP 2004029111   | A2   | 20040129 | JP 2002-181384  | 2002<br>0621       |
| JP 2004029136   | A2   | 20040129 | JP 2002-181588  | 2002<br>0621       |
| EP 1376232  | A1   | 20040102 | EP 2003-12226   | 2003<br>0606       |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |          |                 |                    |
| PRIORITY APPLN. INFO.:  |      |          | JP 2002-167393  | A<br>2002<br>0607  |
|   |      |          | JP 2002-181384  | A<br>2002<br>0621  |
|   |      |          | JP 2002-181588  | A<br>2002<br>0621  |
|   |      |          | EP 2003-12226   | A3<br>2003<br>0606 |

AB The photosensitive resin composition of the present invention exhibits significant transmissibility at the use of an exposure light source of 160 nm or less, more specifically F2 excimer laser light, where line edge roughness and development time dependence are small and a problem of footing formation is improved. The photosensitive resin comprises a resin which decomp. by an action of acid to increase the solubility in alkali developer, in which the resin contains a specific repeat unit; a compound capable of generating an acid upon irradiation with one of an actinic ray and a radiation, in which the compound includes at least two kinds of compds. selected from the group consisting of specific compds (B1), (B2), (B3) and (B4). (B1) is a compound capable of generating aliphatic or aromatic sulfonic acid substituted with at least one fluorine atom upon irradiation with one of an actinic ray and a radiation; (B2) is a compound capable of generating aliphatic or aromatic sulfonic acid containing no fluorine atom upon irradiation with one of an actinic ray and a radiation; (B3) is a compound capable of generating aliphatic or aromatic carboxylic acid substituted with at least one fluorine atom upon irradiation with one of an actinic ray and a radiation; and (B4) is a compound capable of generating aliphatic

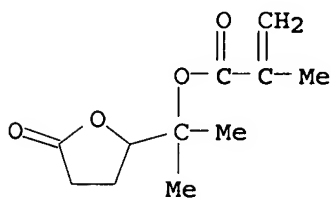


IT 798556-54-4

RN 798556-54-4 HCAPLUS

CM 1

CMF C11 H16 O4



CRN 485390-53-2

CC(F)(O)c1ccc(C=C)cc1

CRN 430437-25-5

C=Cc1ccc(O[C@H](C)(C)C23CC4CC5C2(C1C45)C3)cc1

ICS G03F007-004

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and

## Other Reprographic Processes)

Section cross-reference(s): 38

IT 143336-94-1 367522-49-4 370102-83-3 370866-15-2  
 430437-13-1 430437-14-2 430437-15-3 430437-18-6  
 430437-19-7 430437-21-1 430437-24-4 430437-27-7  
 430437-29-9 430437-33-5 430437-34-6 430437-35-7  
 430437-36-8 430437-38-0 430437-39-1 430437-40-4  
 485390-41-8 485390-43-0 485390-44-1 485390-45-2  
 485390-46-3 485390-47-4 485390-49-6 485390-52-1  
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 485390-66-7 485390-67-8 485390-68-9 485390-69-0  
 487048-93-1 500212-80-6 500212-86-2 500212-88-4  
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 798556-85-1 798556-86-2 798556-88-4 798556-90-8  
 798556-91-9 798556-92-0 798556-93-1

(photosensitive resin composition)

L27 ANSWER 8 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:261017 HCAPLUS

DOCUMENT NUMBER: 140:311986

TITLE: Ester compounds, polymers, resist compositions  
and patterning process

INVENTOR(S): Hasegawa, K.; Kinsho, T.; Watanabe, T.

PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan

SOURCE: Eur. Pat. Appl., 48 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.  | KIND | DATE           | APPLICATION NO. | DATE         |
|---|------|----------------|-----------------|--------------|
| EP 1403295  | A2   | 20040331       | EP 2003-256075  | 2003<br>0926 |
| EP 1403295  | A3   | 20040414       |                 |              |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |                |                 |              |
| JP 2004143153   | A2   | 20040520       | JP 2003-330904  | 2003<br>0924 |
| US 2004068124   | A1   | 20040408       | US 2003-671948  | 2003<br>0929 |
| PRIORITY APPLN. INFO.:  |      | JP 2002-285161 | A               | 2002<br>0930 |

OTHER SOURCE(S): MARPAT 140:311986

AB The present invention relates to novel ester compds. having  
 formula: A1C(=O)OCR1R2A2-R3 (A1 = polymerizable functional group

having a double bond; A2 = furan-diyl, tetrahydrofurandiyl or oxa-norbornane-diyl; R1,2 = monovalent hydrocarbon group, or R1 and R2 may bond together to form an aliphatic hydrocarbon ring with the carbon atom; R3 = hydrogen or a monovalent hydrocarbon group which may contain a hetero atom are polymerizable into polymers). Resist compns. comprising the polymers are sensitive to high-energy radiation, have an improved sensitivity, resolution, and etching resistance, and lend themselves to micropatterning with electron beams or deep-UV rays.

IT 676456-75-0P 676456-77-2P 676456-79-4P  
676456-80-7P 676456-81-8P

(ester compds. for polymers and photoresist compns.)

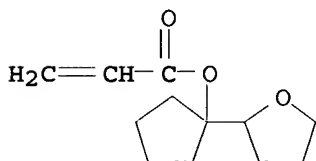
RN 676456-75-0 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, hexahydro-2-oxo-3,5-methano-2H-cyclopenta[b]furan-6-yl ester, polymer with 3-hydroxytricyclo[3.3.1.1<sup>3,7</sup>]dec-1-yl 2-methyl-2-propenoate and 1-(tetrahydro-2-furanyl)cyclopentyl 2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-68-1

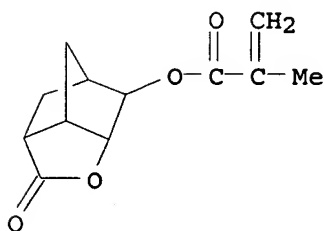
CMF C12 H18 O3



CM 2

CRN 254900-07-7

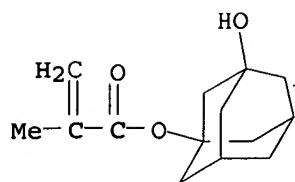
CMF C12 H14 O4



CM 3

CRN 115372-36-6

CMF C14 H20 O3



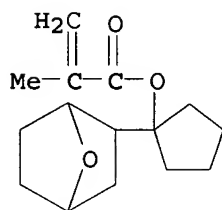
RN 676456-77-2 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl ester, polymer with 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate and tetrahydro-2-oxo-3-furanyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

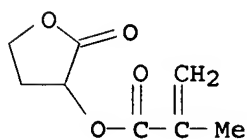
CMF C15 H22 O3



CM 2

CRN 195000-66-9

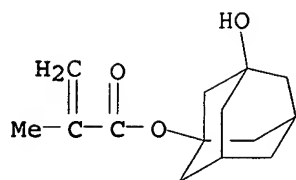
CMF C8 H10 O4



CM 3

CRN 115372-36-6

CMF C14 H20 O3



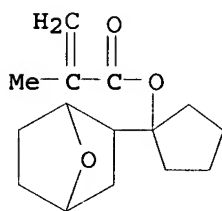
RN 676456-79-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 2-ethyltricyclo[3.3.1.13,7]dec-2-yl  
 ester, polymer with 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl  
 2-methyl-2-propenoate, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl  
 2-methyl-2-propenoate and tetrahydro-2-oxo-3-furanyl  
 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

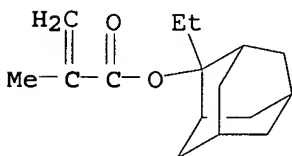
CMF C15 H22 O3



CM 2

CRN 209982-56-9

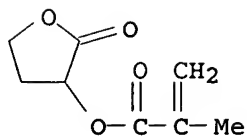
CMF C16 H24 O2



CM 3

CRN 195000-66-9

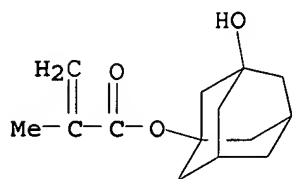
CMF C8 H10 O4



CM 4

CRN 115372-36-6

CMF C14 H20 O3



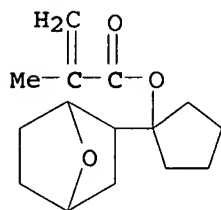
RN 676456-80-7 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, tetrahydro-2-oxo-3-furanyl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-72-7

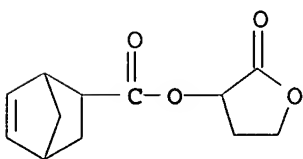
CMF C15 H22 O3



CM 2

CRN 264193-09-1

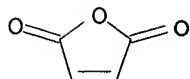
CMF C12 H14 O4



CM 3

CRN 108-31-6

CMF C4 H2 O3



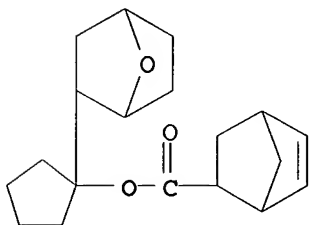
RN 676456-81-8 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-(7-oxabicyclo[2.2.1]hept-2-yl)cyclopentyl ester, polymer with 2,5-furandione and tetrahydro-2-oxo-3-furanyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 676456-74-9

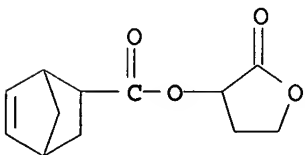
CMF C19 H26 O3



CM 2

CRN 264193-09-1

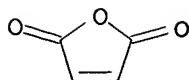
CMF C12 H14 O4



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM C08F020-30  
ICS C08F032-08; G03F007-039  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
Section cross-reference(s): 35, 38  
IT 676456-75-0P 676456-76-1P 676456-77-2P  
676456-78-3P 676456-79-4P 676456-80-7P  
676456-81-8P

(ester compds. for polymers and photoresist compns.)

L27 ANSWER 9 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:77035 HCAPLUS

DOCUMENT NUMBER: 140:136429

TITLE: Positive radiation-sensitive resist compositions with excellent sensitivity, resolution, and adhesion to substrates

INVENTOR(S): Senoo, Masahide; Tamura, Kazutaka; Nio, Hiroyuki

PATENT ASSIGNEE(S): Toray Industries, Inc., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 17 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| JP 2004029437 | A2   | 20040129 | JP 2002-186416  | 2002<br>0626 |

PRIORITY APPLN. INFO.: JP 2002-186416

2002  
0626

AB The compns., useful for patterning with electron beams or x-ray beams, contain polymers (A) bearing units becoming alkali soluble by acids, lactone units, and phenolic OH groups and photoacid generators (B).

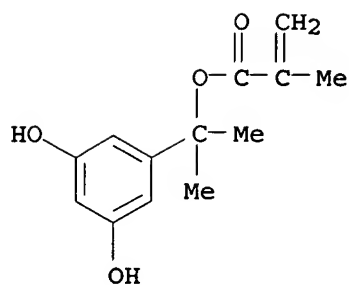
IT 649758-28-1P

(chemical amplified pos. resists with good sensitivity to electron beams or x-ray beams, resolution, and adhesion to substrates)

RN 649758-28-1 HCAPLUS

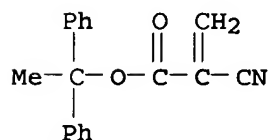
CM 1  
CRN 649758-27-0  
CMF C13 H16 O4  
CN 2-Propenoic acid, 2-cyano-, 1,1-diphenylethyl ester, polymer with 1-(3,5-dihydroxyphenyl)-1-methylethyl 2-methyl-2-propenoate and 1-methyl-1-(tetrahydro-2-oxo-3-furanyl)ethyl 2-propenoate (9CI) (CA INDEX NAME)





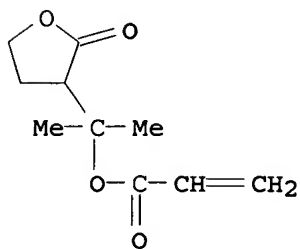
CM 2

CRN 393178-25-1  
 CMF C18 H15 N O2



CM 3

CRN 239784-43-1  
 CMF C10 H14 O4



IC ICM G03F007-039  
 ICS C08F212-14; C08F220-16; C08F220-28; C08F220-30; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 IT 610271-09-5P 649758-26-9P 649758-28-1P 649758-30-5P  
 649758-31-6P 649758-32-7P 649758-33-8P  
 (chemical amplified pos. resists with good sensitivity to electron  
 beams or x-ray beams, resolution, and adhesion to substrates)

L27 ANSWER 10 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2004:5239 HCAPLUS

DOCUMENT NUMBER: 140:67635

TITLE: Photosensitive resin composition

INVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi; Sasaki,  
Tomoya

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Eur. Pat. Appl., 136 pp.  
 CODEN: EPXXDW  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 2  
 PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE               |
|---|------|----------|-----------------|--------------------|
| EP 1376232  | A1   | 20040102 | EP 2003-12226   | 2003<br>0606       |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |          |                 |                    |
| JP 2004012898   | A2   | 20040115 | JP 2002-167393  | 2002<br>0607       |
| JP 2004029111   | A2   | 20040129 | JP 2002-181384  | 2002<br>0621       |
| JP 2004029136   | A2   | 20040129 | JP 2002-181588  | 2002<br>0621       |
| US 2004009430   | A1   | 20040115 | US 2003-455459  | 2003<br>0606       |
| EP 1480079  | A2   | 20041124 | EP 2004-19923   | 2003<br>0606       |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |          |                 |                    |
| PRIORITY APPLN. INFO.:  |      |          | JP 2002-167393  | A<br>2002<br>0607  |
|   |      |          | JP 2002-181384  | A<br>2002<br>0621  |
|   |      |          | JP 2002-181588  | A<br>2002<br>0621  |
|   |      |          | EP 2003-12226   | A3<br>2003<br>0606 |

AB The photosensitive resin composition of the present invention is an excellent photosensitive resin composition: exhibiting significant transmissibility at the use of an exposure light source of 160 nm or less, more specifically F2 excimer laser light, where line edge roughness and development time dependence are small and a problem of footing formation is improved; and comprising a resin which decomps. by an action of acid to increase the solubility in alkali developer, in which the resin contains a specific repeat unit; a compound capable of generating an acid upon irradiation with one

of an actinic ray and a radiation.

IT 629648-90-4P

(microlithog. photosensitive resin composition containing)

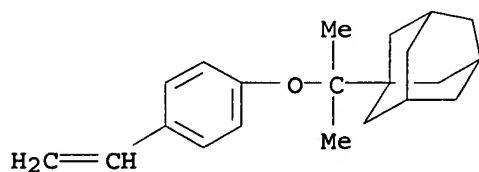
RN 629648-90-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl ester, polymer with 4-ethenyl- $\alpha$ -methyl- $\alpha$ -(trifluoromethyl)benzenemethanol and 1-[1-(4-ethenylphenoxy)-1-methylethyl]tricyclo[3.3.1.1<sup>3,7</sup>]decane (9CI) (CA INDEX NAME)

CM 1

CRN 430437-25-5

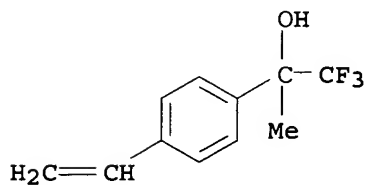
CMF C21 H28 O



CM 2

CRN 397287-76-2

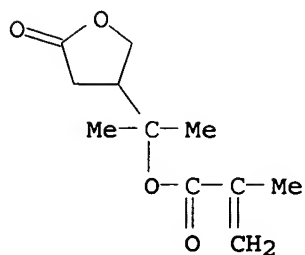
CMF C11 H11 F3 O



CM 3

CRN 280566-59-8

CMF C11 H16 O4



IC ICM G03F007-039

ICS G03F007-004

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and

## Other Reprographic Processes)

Section cross-reference(s): 35, 38, 76

IT 367522-49-4P 370102-83-3P 485390-41-8P 485390-42-9P  
 485390-43-0P 485390-45-2P 485390-46-3P 485390-47-4P  
 485390-49-6P 485390-52-1P 485390-57-6P 485390-58-7P  
 485390-62-3P 485390-65-6P 485390-66-7P 485390-68-9P  
 485390-69-0P 500212-79-3P 500212-80-6P 518027-87-7P  
 629648-90-4P 637351-23-6P 637351-25-8P 637351-26-9P  
 637351-27-0P 637351-28-1P 637351-29-2P 637351-30-5P  
 637351-31-6P 637351-32-7P 637351-33-8P 637351-35-0P  
 637351-36-1P 637351-37-2P 637351-38-3P 637351-39-4P  
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 637351-48-5P 637351-49-6P 637351-51-0P 637351-53-2P  
 637351-55-4P 637351-57-6P 637351-58-7P

(microlithog. photosensitive resin composition containing)

REFERENCE COUNT: 5 THERE ARE 5 CITED REFERENCES AVAILABLE  
 FOR THIS RECORD. ALL CITATIONS AVAILABLE  
 IN THE RE FORMAT

L27 ANSWER 11 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:945845 HCAPLUS

DOCUMENT NUMBER: 140:21261

TITLE: Photosensitive resin composition for  
photolithographyINVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi; Sasaki,  
Tomoya

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 71 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| -----         | ---- | -----    | -----           |              |
| JP 2003344994 | A2   | 20031203 | JP 2002-154391  | 2002<br>0528 |

PRIORITY APPLN. INFO.: JP 2002-154391

2002  
0528

AB The composition contains (A) a polymer with repeating unit  
 R50R51R52CC(OR40)CR53R54R55 [R50-55 = H, F, (substituted) alkyl;  
 $\geq 1$  of R50-55 is F or F-substituted alkyl; R40 = H,  
 (substituted) (cyclo)alkyl, (substituted) acyl, (substituted)  
 alkoxy carbonyl, CR41R42(OR43); R41-42 = H, (substituted)  
 (cyclo)alkyl; R43 = (substituted) (cyclo)alkyl, (substituted)  
 aralkyl, (substituted) aryl; 2 of R41-43 may bond to form a ring],  
 which decomp. by the action of acid and increases its solubility to  
 alkali developer, (B) a compound generating acid by irradiation of  
 actinic ray, and (C) a solvent having  $\geq 1$  F in a mol. The  
 composition shows good solvent solubility, coatability, improved line edge  
 roughness, and without striation, and is useful for photolithog.  
 in manufacture of large-scaled integrates, etc.

IT 629648-90-4  
 (photoresist composition containing acid-decomposable polymer, acid

generator, and F-containing solvent)

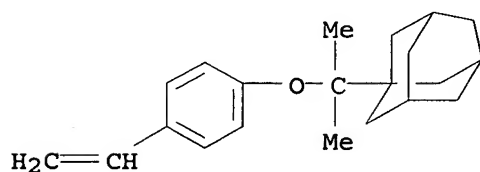
RN 629648-90-4 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl ester, polymer with 4-ethenyl- $\alpha$ -methyl- $\alpha$ -(trifluoromethyl)benzenemethanol and 1-[1-(4-ethenylphenoxy)-1-methylethyl]tricyclo[3.3.1.1<sup>3,7</sup>]decane (9CI) (CA INDEX NAME)

CM 1

CRN 430437-25-5

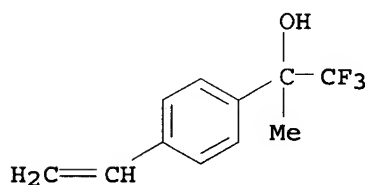
CMF C21 H28 O



CM 2

CRN 397287-76-2

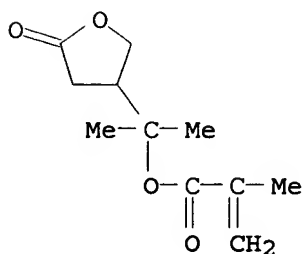
CMF C11 H11 F3 O



CM 3

CRN 280566-59-8

CMF C11 H16 O4



IC ICM G03F007-004

ICS G03F007-039; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 1511-10-0, Triphenylsulfonium trifluoroacetate 19600-49-8,  
 Triphenylsulfonium acetate 143336-94-1 153698-46-5,  
 Triphenylsulfonium pentafluorobenzenesulfonate 187082-74-2  
 241806-75-7 338445-29-7 365971-70-6 365971-71-7  
 367522-49-4 422508-63-2 444617-77-0 444617-78-1  
 485390-41-8 485390-44-1 485390-45-2 485390-46-3  
 485390-47-4 485390-49-6 485390-52-1 485390-55-4  
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 485390-65-6 500212-80-6 500212-90-8 518027-87-7  
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 629648-94-8 629648-95-9 629648-97-1 629648-99-3  
 629649-01-0 629649-02-1 629649-03-2 629649-04-3  
 (photoresist composition containing acid-decomposable polymer, acid  
 generator, and F-containing solvent)

L27 ANSWER 12 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2003:754897 HCAPLUS  
 DOCUMENT NUMBER: 139:252537  
 TITLE: Positive resist composition  
 INVENTOR(S): Fujimori, Toru  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Eur. Pat. Appl., 89 pp.  
 CODEN: EPXXDW  
 DOCUMENT TYPE: Patent  
 LANGUAGE: English  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE              |
|---|------|----------|-----------------|-------------------|
| EP 1347335  | A1   | 20030924 | EP 2003-6122    | 2003<br>0318      |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, HU, SK |      |          |                 |                   |
| JP 2003270791   | A2   | 20030925 | JP 2002-74565   | 2002<br>0318      |
| US 2003224287   | A1   | 20031204 | US 2003-388408  | 2003<br>0317      |
| PRIORITY APPLN. INFO.:  |      |          | JP 2002-74565   | A<br>2002<br>0318 |

AB A pos. photoresist composition used in fabrication of semiconductor devices comprises: (A) a compound capable of generating an acid on exposure to active light rays or a radiation; (B) a resin which is insol. or sparingly soluble in an alkali and becomes alkali-soluble by an action of an acid; and (C) an acyclic compound having at least three groups selected from a hydroxyl group and a substituted hydroxyl group.

IT 431062-22-5P  
 (pos. photoresist composition containing)

RN 431062-22-5 HCAPLUS

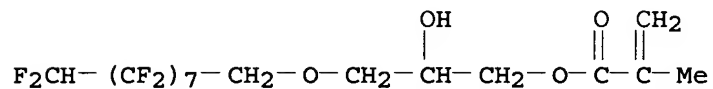
CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-

propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl  
 2-methyl-2-propenoate and 5(or 6) - [3,3,3-trifluoro-2-[(tetrahydro-  
 2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-  
 yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 431062-21-4

CMF C16 H14 F16 O4

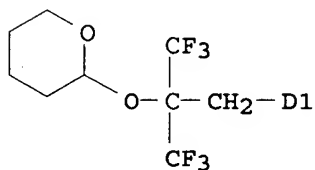
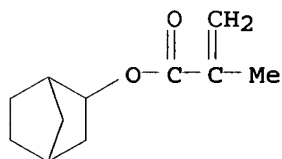


CM 2

CRN 431062-13-4

CMF C20 H26 F6 O4

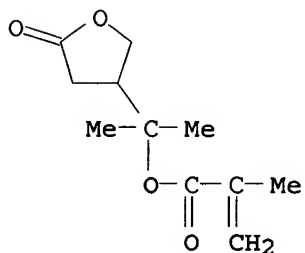
CCI IDS



CM 3

CRN 280566-59-8

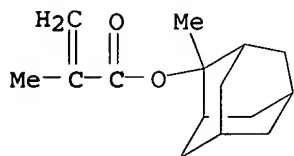
CMF C11 H16 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-039

ICS G03F007-004

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35, 38

IT 109-92-2DP, Ethyl vinyl ether, reaction product with polyhydroxystyrene 24979-70-2DP, VP15000, reaction product with alkyl vinyl ether 159296-87-4P 200808-68-0P 250378-10-0P, Butyrolactone methacrylate-2-ethyl-2-adamantyl methacrylate copolymer 262617-13-0P 288303-55-9P 325143-38-2P 364736-22-1P 391232-36-3P 398140-43-7P 398140-45-9P 398140-47-1P 398140-50-6P 398140-52-8P 398140-55-1P 398140-57-3P 398140-59-5P 398140-64-2P 398140-69-7P 398140-73-3P 398140-77-7P 398140-78-8P 398140-79-9P 398140-81-3P 398140-88-0P, tert-Butyl norbornenecarboxylate-maleic anhydride-2-methyl-2-adamantyl acrylate-norbornene lactone acrylate copolymer 398140-89-1P 398140-94-8P 398141-00-9P 398141-11-2P 398141-13-4P 398141-14-5P 405509-18-4P 430436-66-1P 430436-67-2P 430436-68-3P 430436-70-7P 430436-72-9P 430436-74-1P 430436-76-3P 430436-78-5P 430436-79-6P 430436-81-0P 430436-82-1P 430436-84-3P 430436-85-4P 430436-86-5P 430436-87-6P 430436-89-8P 430436-90-1P 430436-91-2P 430436-92-3P 430436-94-5P 430436-95-6P 430436-97-8P 430436-98-9P 430436-99-0P 430437-01-7P 430437-03-9P 430437-04-0P 430437-05-1P 430437-09-5P 430437-11-9P 430437-12-0P 430437-13-1P 430437-14-2P 430437-15-3P 430437-17-5P 430437-18-6P 430437-19-7P 430437-21-1P 430437-24-4P 431062-12-3P 431062-14-5P 431062-16-7P 431062-17-8P 431062-18-9P 431062-20-3P 431062-22-5P 462109-80-4P 471257-28-0P 503003-64-3P 597553-03-2P 597553-04-3P

(pos. photoresist composition containing)

REFERENCE COUNT: 3 THERE ARE 3 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L27 ANSWER 13 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:735196 HCAPLUS

DOCUMENT NUMBER: 139:267983

TITLE: Positive-working photoresist composition containing polymer with fluoro-aliphatic group

INVENTOR(S): Fujimori, Toru

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 88 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent



LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2003262952          | A2   | 20030919 | JP 2002-65444   | 2002<br>0311 |
| PRIORITY APPLN. INFO.: |      |          | JP 2002-65444   | 2002<br>0311 |

AB The composition contains (A) a compound generating an acid by irradiation of actinic ray, (B) a resin which decomps. by the action of an acid and whose solubility in alkaline developer increases, and (C) a polymer with fluoro-aliphatic group formed from a monomer  $\text{CH}_2:\text{CR}_1\text{COX}(\text{CH}_2)_m(\text{CF}_2\text{CF}_2)_n\text{F}$  ( $\text{R}_1 = \text{H}, \text{Me}; \text{X} = \text{O}, \text{S}, \text{NR}_2; m = 1-6; n = 2-4; \text{R}_2 = \text{H}, \text{C1-4 alkyl}$ ). Developing defect is prevented and the composition is useful for manufacture of integrated circuits, semiconductor device, and wiring substrates.

IT 431062-22-5P  
 (pos. photoresist composition containing polymer with fluoro-aliphatic group)

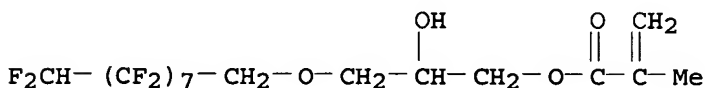
RN 431062-22-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate and 5(or 6)-[3,3,3-trifluoro-2-[(tetrahydro-2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 431062-21-4

CMF C16 H14 F16 O4

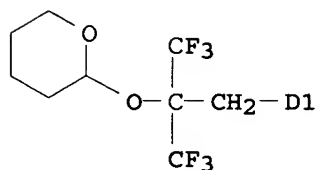
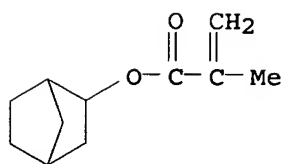


CM 2

CRN 431062-13-4

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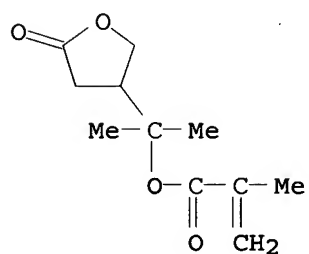
CCI IDS



CM 3

CRN 280566-59-8

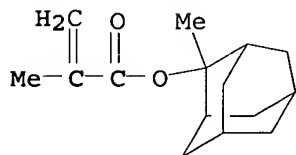
CMF C11 H16 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-004  
 ICS C08F020-22; C08F020-38; C08F020-54; C08F020-68; C08F020-70;  
 G03F007-033; G03F007-039; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38

IT 250378-10-0P, Butyrolactone methacrylate-2-ethyl-2-adamantyl  
 methacrylate copolymer 262617-13-0P 328061-11-6P  
 350992-58-4P 351197-82-5P 359635-35-1P 364736-22-1P

|              |              |              |              |
|--------------|--------------|--------------|--------------|
| 367283-78-1P | 391232-36-3P | 398140-38-0P | 398140-43-7P |
| 398140-45-9P | 398140-57-3P | 398140-64-2P | 398140-69-7P |
| 398140-79-9P | 398140-86-8P | 398140-87-9P | 398140-88-0P |
| 398140-89-1P | 398141-00-9P | 398141-11-2P | 398141-14-5P |
| 430436-66-1P | 430436-67-2P | 430436-68-3P | 430436-70-7P |
| 430436-72-9P | 430436-74-1P | 430436-76-3P | 430436-78-5P |
| 430436-79-6P | 430436-81-0P | 430436-82-1P | 430436-84-3P |
| 430436-85-4P | 430436-86-5P | 430436-87-6P | 430436-89-8P |
| 430436-90-1P | 430436-91-2P | 430436-92-3P | 430436-94-5P |
| 430436-95-6P | 430436-97-8P | 430436-98-9P | 430436-99-0P |
| 430437-01-7P | 430437-03-9P | 430437-04-0P | 430437-05-1P |
| 430437-07-3P | 430437-09-5P | 430437-11-9P | 430437-12-0P |
| 430437-13-1P | 430437-14-2P | 430437-15-3P | 430437-17-5P |
| 430437-18-6P | 430437-19-7P | 430437-21-1P | 430437-22-2P |
| 430437-24-4P | 431062-12-3P | 431062-14-5P | 431062-16-7P |
| 431062-17-8P | 431062-18-9P | 431062-20-3P | 431062-22-5P |
| 482609-97-2P | 503003-64-3P | 524699-47-6P | 532989-17-6P |
| 601490-00-0P | 601490-01-1P | 601490-02-2P | 601490-03-3P |

(pos. photoresist composition containing polymer with fluoro-aliphatic group)

L27 ANSWER 14 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:470377 HCAPLUS

DOCUMENT NUMBER: 139:44224

TITLE: Positive-working resist composition containing specific fluorine group-containing resin

INVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi; Kodama, Kunihiro; Sasaki, Tomoya

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Eur. Pat. Appl., 80 pp.

CODEN: EPXXDW

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.  | KIND | DATE     | APPLICATION NO. | DATE              |
|---|------|----------|-----------------|-------------------|
| -----   | ---- | -----    | -----           |                   |
| EP 1319981  | A2   | 20030618 | EP 2002-27667   | 2002<br>1212      |
| EP 1319981  | A3   | 20030723 |                 |                   |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ,<br>EE, SK |      |          |                 |                   |
| US 2003194650   | A1   | 20031016 | US 2002-317110  | 2002<br>1212      |
| JP 2003241386   | A2   | 20030827 | JP 2002-362629  | 2002<br>1213      |
| PRIORITY APPLN. INFO.:  |      |          | JP 2001-380104  | A<br>2001<br>1213 |
|   |      |          | JP 2001-380105  | A<br>2001<br>1213 |

AB The invention relates to a pos. resist composition comprising (A) a fluorine group-containing resin, which has a structure substituted with a fluorine atom in the main chain and/or side chain of polymer skeleton and a group that is decomposed by the action of an acid to increase solubility in an alkali developer and (B) an acid generator capable of generating an acid upon irradiation of an actinic ray or radiation, and the acid generator of (B) is a compound selected from a sulfonium salt containing no aromatic ring and a compound having a phenacylsulfonium salt structure. The composition is capable of forming a highly precise pattern using a vacuum UV ray of  $\leq 160$  nm such as F2 excimer laser beam as a light source for exposure.

IT 431062-22-5P

(fluorine group-containing resin)

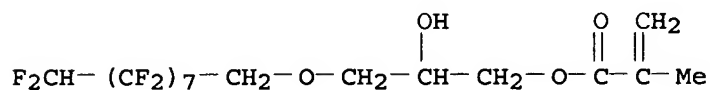
RN 431062-22-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate and 5(or 6)-[3,3,3-trifluoro-2-[(tetrahydro-2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

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CRN 431062-21-4

CMF C16 H14 F16 O4

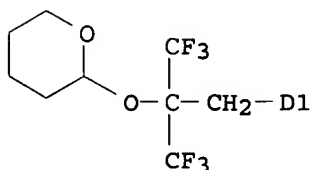
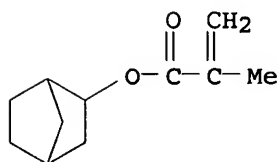


CM 2

CRN 431062-13-4

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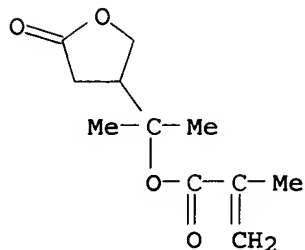
CCI IDS



CM 3

CRN 280566-59-8

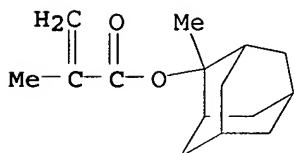
CMF C11 H16 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-004

ICS G03F007-039

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35

|    |              |              |              |              |
|----|--------------|--------------|--------------|--------------|
| IT | 262617-13-0P | 430436-66-1P | 430436-68-3P | 430436-72-9P |
|    | 430436-74-1P | 430436-76-3P | 430436-78-5P | 430436-79-6P |
|    | 430436-81-0P | 430436-84-3P | 430436-85-4P | 430436-87-6P |
|    | 430436-90-1P | 430436-92-3P | 430436-94-5P | 430436-99-0P |
|    | 430437-03-9P | 430437-07-3P | 430437-12-0P | 430437-13-1P |
|    | 430437-14-2P | 430437-15-3P | 430437-17-5P | 430437-18-6P |
|    | 430437-19-7P | 430437-21-1P | 430437-22-2P | 430437-29-9P |
|    | 430437-33-5P | 430437-35-7P | 430437-40-4P | 431062-12-3P |
|    | 431062-17-8P | 431062-22-5P | 462109-80-4P | 485390-42-9P |
|    | 540729-50-8P | 540729-51-9P | 540729-52-0P | 540729-54-2P |
|    | 540729-55-3P |              |              |              |

(fluorine group-containing resin)

L27 ANSWER 15 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:371833 HCAPLUS

DOCUMENT NUMBER: 138:376421

TITLE: Chemically amplified positive resists forming defect-free patterns by deep-UV lithography using F2 excimer lasers

INVENTOR(S): Fujimori, Toru; Kanna, Shinichi

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

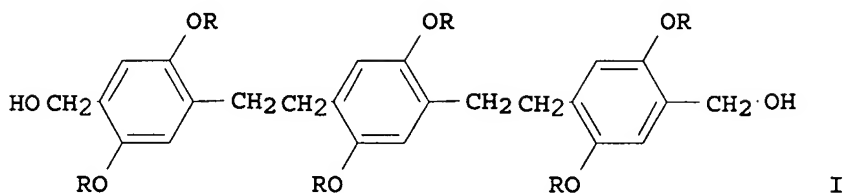
SOURCE: Jpn. Kokai Tokkyo Koho, 55 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2003140345          | A2   | 20030514 | JP 2001-338103  | 2001<br>1102 |
| PRIORITY APPLN. INFO.: |      |          |                 | 2001<br>1102 |

GI



AB The resists comprise acid-labile F-containing resins,  
 radiation-sensitive acid generators, and F-containing compds.

IT 431062-22-5  
 (chemical amplified pos. resists containing F-substituted acid-labile  
 polymers and F compds. for deep-UV lithog.)

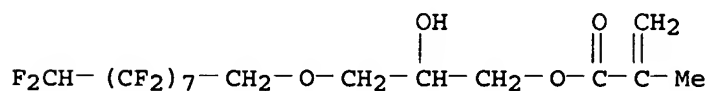
RN 431062-22-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-  
 hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with  
 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-  
 propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl  
 2-methyl-2-propenoate and 5(or 6)-[3,3,3-trifluoro-2-[(tetrahydro-  
 2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-  
 yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 431062-21-4

CMF C16 H14 F16 O4

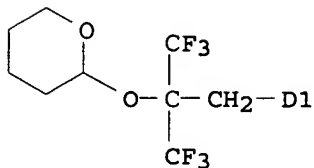
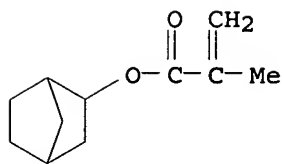


CM 2

CRN 431062-13-4

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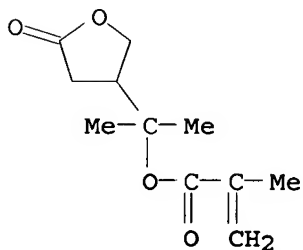
CCI IDS



CM 3

CRN 280566-59-8

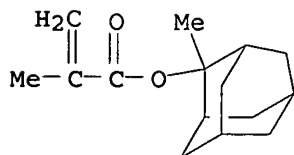
CMF C11 H16 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-039

ICS G03F007-004; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

|    |             |             |             |             |
|----|-------------|-------------|-------------|-------------|
| IT | 430436-67-2 | 430436-84-3 | 430436-85-4 | 430436-89-8 |
|    | 430436-90-1 | 431062-14-5 | 431062-16-7 | 431062-18-9 |

431062-20-3 431062-22-5

(chemical amplified pos. resists containing F-substituted acid-labile polymers and F compds. for deep-UV lithog.)

L27 ANSWER 16 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2003:369197 HCAPLUS  
 DOCUMENT NUMBER: 138:393073  
 TITLE: Positive-working photoresist composition  
 containing fluoro-substituted nitrogen  
 compound  
 INVENTOR(S): Fujimori, Toru; Kanna, Shinichi  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 53 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| JP 2003140349 | A2   | 20030514 | JP 2001-339439  | 2001<br>1105 |

PRIORITY APPLN. INFO.:

JP 2001-339439

2001  
1105

AB The composition contains (A) a polymer with F-substituted main chain or side chain and becomes soluble in alkaline developer by the decomposition caused by an acid, (B) a compound generating acid by actinic ray or radiation, and (C) a nitrogen compound containing  $\geq 1$  F atom. The composition gives clear pattern without development defect.

IT 431062-22-5P

(pos. photoresist containing F-containing alkali-soluble polymer, acid generator, and F-containing nitrogen compound)

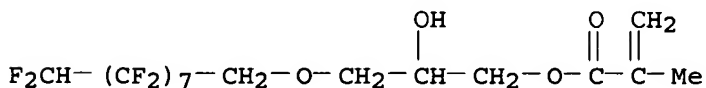
RN 431062-22-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate and 5(or 6)-[3,3,3-trifluoro-2-[(tetrahydro-2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 431062-21-4

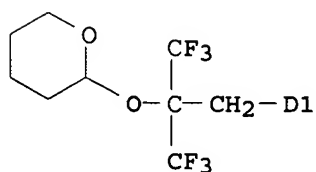
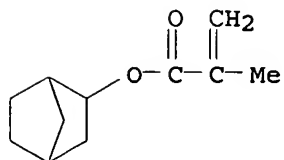
CMF C16 H14 F16 O4



CM 2

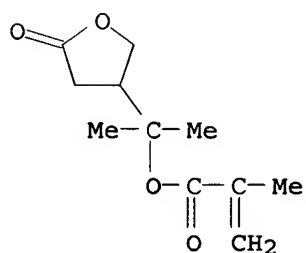


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 CCI IDS



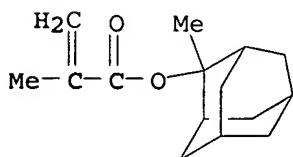
CM 3

CRN 280566-59-8  
 CMF C11 H16 O4



CM 4

CRN 177080-67-0  
 CMF C15 H22 O2



IC ICM G03F007-039  
 ICS C08F012-22; C08F014-26; C08F014-28; C08F016-26; C08F016-38;  
 C08F020-22; C08F020-28; C08F020-44; C08F032-04; G03F007-004;  
 H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and

## Other Reprographic Processes)

Section cross-reference(s): 38

|    |              |              |              |              |
|----|--------------|--------------|--------------|--------------|
| IT | 143643-34-9P | 262617-13-0P | 370866-13-0P | 370866-15-2P |
|    | 397302-29-3P | 430436-67-2P | 430436-68-3P | 430436-70-7P |
|    | 430436-72-9P | 430436-74-1P | 430436-76-3P | 430436-78-5P |
|    | 430436-79-6P | 430436-81-0P | 430436-82-1P | 430436-84-3P |
|    | 430436-85-4P | 430436-86-5P | 430436-87-6P | 430436-89-8P |
|    | 430436-90-1P | 430436-92-3P | 430436-94-5P | 430436-98-9P |
|    | 430436-99-0P | 430437-01-7P | 430437-03-9P | 430437-04-0P |
|    | 430437-05-1P | 430437-09-5P | 430437-11-9P | 430437-12-0P |
|    | 430437-13-1P | 430437-17-5P | 430437-18-6P | 430437-19-7P |
|    | 430437-21-1P | 430437-22-2P | 430437-24-4P | 430437-27-7P |
|    | 430437-29-9P | 430437-33-5P | 430437-36-8P | 430437-37-9P |
|    | 430437-39-1P | 430437-40-4P | 431062-12-3P | 431062-14-5P |
|    | 431062-16-7P | 431062-17-8P | 431062-18-9P | 431062-20-3P |
|    | 431062-22-5P | 487048-93-1P | 524952-65-6P | 524952-66-7P |
|    | 524952-68-9P | 524952-69-0P | 524952-70-3P | 524952-71-4P |
|    | 524952-72-5P | 524952-73-6P | 524952-74-7P |              |

(pos. photoresist containing F-containing alkali-soluble polymer, acid generator, and F-containing nitrogen compound)

L27 ANSWER 17 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:152372 HCAPLUS

DOCUMENT NUMBER: 138:212786

TITLE: Vacuum UV-sensitive resin composition  
containing ionic compound reactive towards  
acid

INVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 66 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

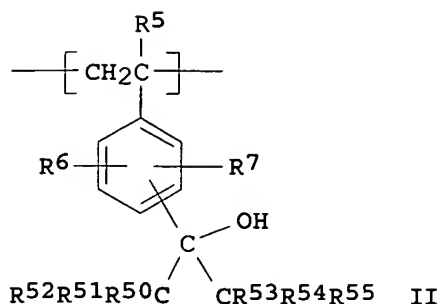
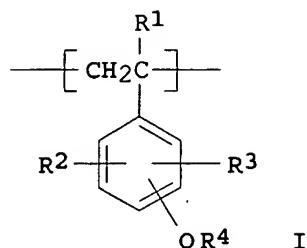
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| -----                  | ---- | -----    | -----           |              |
| JP 2003057826          | A2   | 20030228 | JP 2001-250535  | 2001<br>0821 |
| PRIORITY APPLN. INFO.: |      |          |                 | 2001<br>0821 |
|                        |      |          |                 | 2001<br>0821 |

GI



AB The title composition contains a resin which increases the solubility towards an alkali developer by an acid and has repeating unit I, II, and [CH(R17a)-C(R17)(COOR18)] (R1,5,R17, R17a = H, halo, cyano, alkyl; R2,3,6,7 = H, halo, cyano, hydroxyl, etc.; R50-55 = H, F, alkyl; R4 = -C(R11)(R12)(R13), -C(R14)(R15)(-O-R16); R11-13 = alkyl, cycloalkyl, alkenyl, etc.; R14-15 = H, alkyl; R16 = alkyl, cycloalkyl, aralkyl, aryl; R18 = -C(R18d)(R18e)(R18f), -C(R18d)(R18e)(OR18g); R18d-g = H, alkyl, aralkyl, aryl), an actinic ray- or radiation-sensitive acid generator, ionic compound B+A2- (A2= anionic part; B = cationic part), a solvent, and a surfactant, wherein the acid (AlH) generated by an acid generator and the ionic compound follow the reaction equation: AlH + B+A2--> B+A2- + A2H. The composition shows the good light transmittance towards  $\leq 160$  nm light and the decreased dependence on the exposure time and provides the resist of the good line edge roughness.

IT 500212-82-8P

(resin; Vacuum UV-sensitive resin composition containing ionic compound reactive towards acid)

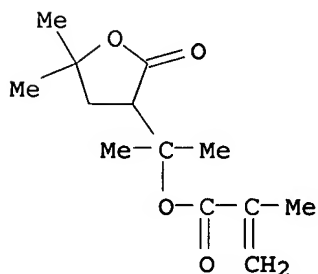
RN 500212-82-8 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5,5-dimethyl-2-oxo-3-furanyl)ethyl ester, polymer with  $\alpha$ -(difluoromethyl)-4-ethenyl- $\alpha$ -(trifluoromethyl)benzenemethanol and 1-[1-(4-ethenylphenoxy)-1-methylethyl]tricyclo[3.3.1.1<sup>3,7</sup>]decane (9CI) (CA INDEX NAME)

CM 1

CRN 500212-81-7

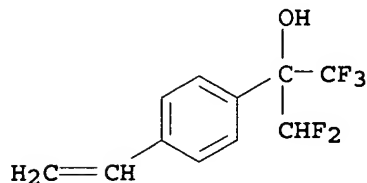
CMF C13 H20 O4



CM 2

CRN 485390-53-2

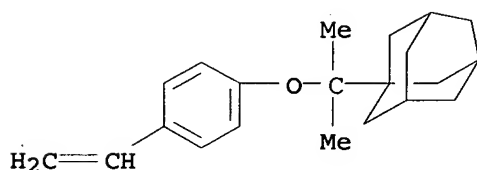
CMF C11 H9 F5 O



CM 3

CRN 430437-25-5

CMF C21 H28 O



IC ICM G03F007-039

ICS C08F212-14; G03F007-004; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35

|    |              |              |              |                     |
|----|--------------|--------------|--------------|---------------------|
| IT | 485390-41-8P | 485390-42-9P | 485390-43-0P | 485390-45-2P        |
|    | 485390-46-3P | 485390-47-4P | 485390-49-6P | 485390-52-1P        |
|    | 485390-55-4P | 485390-56-5P | 485390-57-6P | 485390-58-7P        |
|    | 485390-60-1P | 485390-62-3P | 485390-63-4P | 485390-64-5P        |
|    | 485390-65-6P | 485390-66-7P | 485390-67-8P | 485390-69-0P        |
|    | 485390-70-3P | 500212-79-3P | 500212-80-6P | <b>500212-82-8P</b> |
|    | 500212-84-0P | 500212-86-2P | 500212-87-3P | 500212-88-4P        |

(resin; Vacuum UV-sensitive resin composition containing ionic compound reactive towards acid)

L27 ANSWER 18 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:56212 HCAPLUS

DOCUMENT NUMBER: 138:115060

TITLE: Cycloalkenyl epoxy compounds, their polymers, positive photoresists containing them with high resolution and good adhesion to substrates, and photolithography using them

INVENTOR(S): Hasegawa, Koji; Kaneo, Takeshi; Watanabe, Takeshi

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 37 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

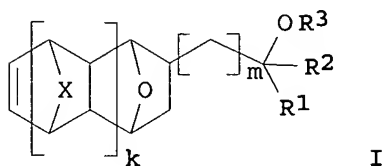
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

## PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE               |
|------------------------|--------------|---------------|--------------------------|--------------------|
| JP 2003020313          | A2           | 20030124      | JP 2001-207289           | 2001<br>0709       |
| US 2003050398          | A1           | 20030313      | US 2002-189706           | 2002<br>0703       |
| US 2005142491          | A1           | 20050630      | US 2005-57008            | 2005<br>0211       |
| PRIORITY APPLN. INFO.: |              |               | JP 2001-207289           | A<br>2001<br>0709  |
|                        |              |               | US 2002-189706           | A3<br>2002<br>0703 |

OTHER SOURCE(S): MARPAT 138:115060  
GI



AB The invention relates to epoxy compds. I (R1, R2 = H, C1-10-alkyl, etc.; R3 = C1-10-alkyl, C1-15-acyl, C1-15-alkoxycarbonyl, etc.; X = CH2, O, S; k = 0, 1; m = 0-5). The photoresists are sensitive to ArF excimer laser beams.

IT 488720-38-3P 488720-40-7P  
(cycloalkenyl epoxide polymers for ArF laser-sensitive high-resolution pos. photoresists with good adhesion to substrates)

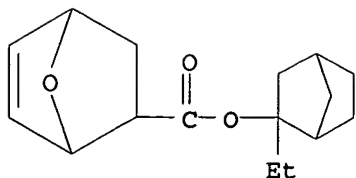
RN 488720-38-3 HCAPLUS

CN 7-Oxabicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with ( $\alpha,\alpha$ -dimethyl-7-oxabicyclo[2.2.1]hept-5-en-2-yl)methyl acetate and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 488720-34-9

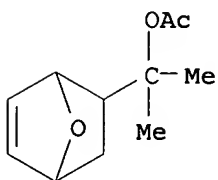
CMF C16 H22 O3



CM 2

CRN 488720-33-8

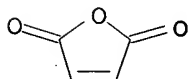
CMF C11 H16 O3



CM 3

CRN 108-31-6

CMF C4 H2 O3



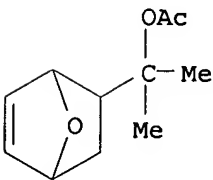
RN 488720-40-7 HCAPLUS

CN 2-Propenoic acid, 2-ethyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl ester,  
polymer with (α,α-dimethyl-7-oxabicyclo[2.2.1]hept-5-  
en-2-yl)methyl acetate and 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

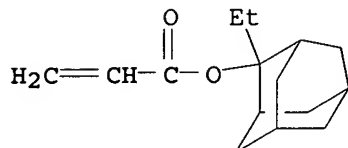
CRN 488720-33-8

CMF C11 H16 O3



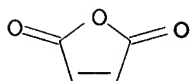
CM 2

CRN 303186-14-3  
CMF C15 H22 O2



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM C08F034-00  
ICS C08G061-12; G03F007-039  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
IT 488720-35-0P 488720-36-1P 488720-37-2P **488720-38-3P**  
488720-39-4P **488720-40-7P** 488720-41-8P 488720-43-0P  
(cycloalkenyl epoxide polymers for ArF laser-sensitive high-resolution pos. photoresists with good adhesion to substrates)

L27 ANSWER 19 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2003:35187 HCAPLUS

DOCUMENT NUMBER: 138:98199

TITLE: Positive-working vacuum UV-sensitive photoresist material composition containing specific resin

INVENTOR(S): Kanna, Shinichi; Mizutani, Kazuyoshi

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 39 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

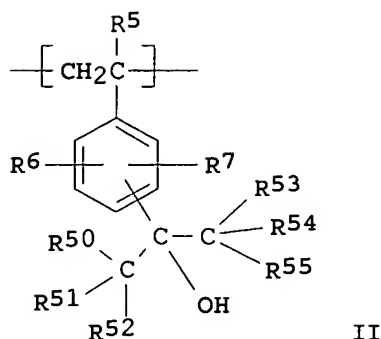
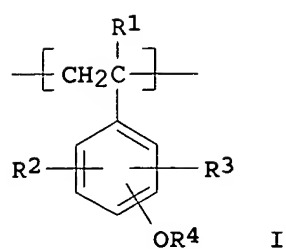
| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|------|
| -----         | ---- | -----    | -----           |      |
| JP 2003015298 | A2   | 20030115 | JP 2001-202241  |      |

2001  
0703

PRIORITY APPLN. INFO.: JP 2001-202241

2001  
0703

GI



AB The title composition contains a resin increasing solubility toward an alkali solution by an acid, a photoacid generator, and a solvent, wherein the resin contains repeating unit I, II, and  
 $[-CH(R_{17a})-C(R_{17})(COOR_{18})-]$  ( $R_{1,5,17a,17} = H, \text{ halo, cyano, alkyl}; R_{2,3,6,7} = H, \text{ halo, cyano, hydroxyl, etc.}; R_{50-55} = H, F, \text{ alkyl}; R_4 = -C(R_{11})(R_{12})(R_{13}), -C(R_{14})(R_{15})(-O-R_{16}); R_{18} = -C(R_{18d})(R_{18e})(R_{18f}), -C(R_{18d})(R_{18e})-O-(R_{18g}); R_{11-13} = \text{alkyl, cycloalkyl, alkenyl, aralkyl, aryl}; R_{14-15} = H, \text{ alkyl}; R_{16} = \text{alkyl, cycloalkyl, aralkyl, aryl}$ ). The composition provides the good transparency towards vacuum UV and provides the good solubility contrast towards developers.

IT 485390-54-3P

(resin; pos.-working vacuum UV-sensitive photoresist material composition containing specific resin)

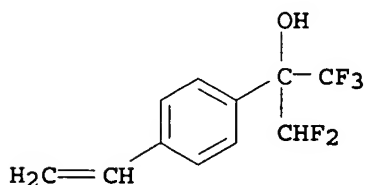
RN 485390-54-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl ester, polymer with  $\alpha$ -(difluoromethyl)-4-ethenyl- $\alpha$ -(trifluoromethyl)benzenemethanol and 1-[1-(4-ethenylphenoxy)-1-methylethyl]tricyclo[3.3.1.1<sup>3,7</sup>]decane (9CI) (CA INDEX NAME)

CM 1

CRN 485390-53-2

CMF C11 H9 F5 O

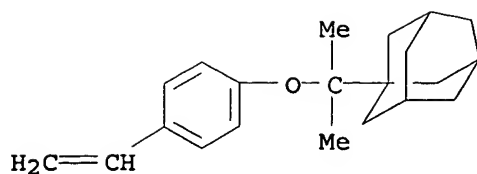


CM 2

CRN 430437-25-5

CMF C21 H28 O

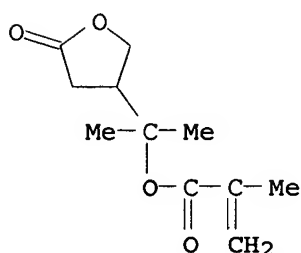




CM 3

CRN 280566-59-8

CMF C11 H16 O4



IC ICM G03F007-039

ICS C08F212-14; C08F220-18; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35

IT 485390-41-8P 485390-42-9P 485390-43-0P 485390-44-1P  
 485390-45-2P 485390-46-3P 485390-47-4P 485390-49-6P  
 485390-51-0P 485390-52-1P 485390-54-3P 485390-55-4P  
 485390-56-5P 485390-57-6P 485390-58-7P 485390-60-1P  
 485390-62-3P 485390-63-4P 485390-64-5P 485390-65-6P  
 485390-66-7P 485390-67-8P 485390-68-9P 485390-69-0P  
 485390-70-3P 485390-72-5P 485390-73-6P 485390-76-9P

(resin; pos.-working vacuum UV-sensitive photoresist material  
 composition containing specific resin)

L27 ANSWER 20 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:976089 HCAPLUS

DOCUMENT NUMBER: 138:47317

TITLE: Positive radiation-sensitive resist  
 compositions having high sensitivity and high  
 resolution and their sub-quarter-micron  
 lithography

INVENTOR(S): Nio, Hiroyuki; Tamura, Kazutaka; Senoo,  
 Masahide

PATENT ASSIGNEE(S): Toray Industries, Inc., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 14 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.

KIND

DATE

APPLICATION NO.

DATE

JP 2002372785

A2

20021226

JP 2002-103440

2002  
0405

PRIORITY APPLN. INFO.:

JP 2001-113820

A

2001  
0412

AB The resist compns., useful for patterning with electron beam, contain (a) as acid-labile alkali-developable binders, polymers containing structure units bearing lactone residues and structure units bearing aromatic rings and (b) radiation-sensitive acid generators. Thus, a resist composition comprising 3 g  $\alpha$ -methacryloyloxypantolactone-2-phenylpropyl methacrylate copolymer (reaction ratio 5.9:4) with Mw 33,000, 300 mg triphenylsulfonium triflate, and Me Cellosolve acetate was spin-coated on a HMDS-treated Si wafer, heated at 100° for 2 min to give a 0.5- $\mu$ m thick layer, subjected to patternwise exposure to electron beam, and developed with 2.38% Me4NOH to give 0.20- $\mu$ m width patterns (exposure 2.2  $\mu$ C/cm<sup>2</sup>).

IT 478866-28-3P

(pos. electron-beam resist compns. and their sub-quarter-micron lithog.)

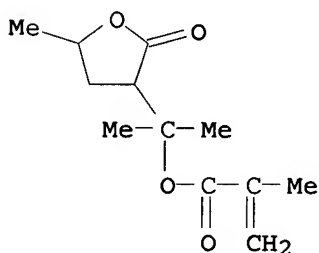
RN 478866-28-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-phenylethyl ester, polymer with 1-methyl-1-(tetrahydro-5-methyl-2-oxo-3-furanyl)ethyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 478866-27-2

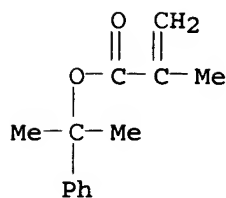
CMF C12 H18 O4



CM 2

CRN 54554-17-5

CMF C13 H16 O2



IC ICM G03F007-039  
 ICS C08F020-10; C08F020-42; C08F212-04; C08F214-00; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
 IT 478866-24-9P 478866-25-0P,  $\alpha$ -Methacryloyloxy- $\gamma$ -butyrolactone-p-tetrahydropyranyloxystyrene copolymer  
 478866-26-1P 478866-28-3P 478866-29-4P 478866-30-7P  
 478866-31-8P 478866-32-9P, 1,1-Diphenylethyl methacrylate- $\beta$ -methacryloyloxymevalolactone copolymer  
 478866-33-0P, 1,1-Diphenylethyl acrylate- $\alpha$ -methacryloyloxy- $\gamma$ -butyrolactone copolymer 478866-34-1P, 1,1-Diphenylethyl methacrylate- $\alpha$ -methacryloyloxy- $\gamma$ -butyrolactone copolymer  
 (pos. electron-beam resist compns. and their sub-quarter-micron lithog.)

L27 ANSWER 21 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2002:769998 HCAPLUS  
 DOCUMENT NUMBER: 137:302221  
 TITLE: Deep-UV positive-working photoresist composition showing improved contact hole resolution and sidelobe suppression  
 INVENTOR(S): Sato, Kenichiro  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 77 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE      |
|---------------|------|----------|-----------------|-----------|
| JP 2002296782 | A2   | 20021009 | JP 2001-101521  | 2001 0330 |

PRIORITY APPLN. INFO.: JP 2001-101521  
 2001 0330

AB The title pos.-working photoresist composition comprises (A) an acid-decomposable resin comprised of an aliphatic cyclic hydrocarbon structural repeating unit and a crosslinking structural repeating unit -OC(R1)(R2)O- [R1, R2 = H, C1-4-alkyl], and (B) a photoacid generator. The photoresist composition is especially suitable for the photolithog. with the 193 nm ArF excimer laser.

IT 469880-24-8P  
 (deep-UV pos.-working photoresist composition showing improved contact hole resolution and side-lobe suppression)

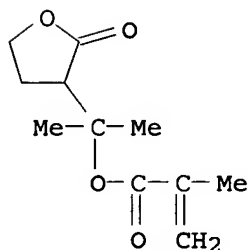
RN 469880-24-8 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, hexahydro-2-oxo-3,5-methano-2H-cyclopenta[b]furan-6-yl ester, polymer with ethylidenebis(oxy-2,1-ethanediyl) di-2-propenoate, 1-methyl-1-(tetrahydro-2-oxo-3-furanyl)ethyl 2-methyl-2-propenoate and 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate (9CI)  
(CA INDEX NAME)

CM 1

CRN 469880-23-7

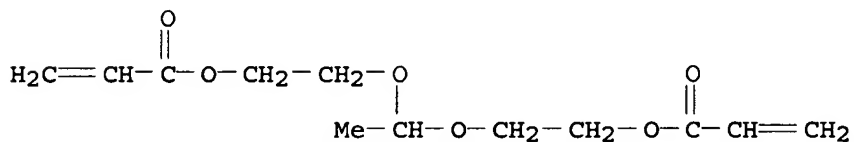
CMF C11 H16 O4



CM 2

CRN 403498-97-5

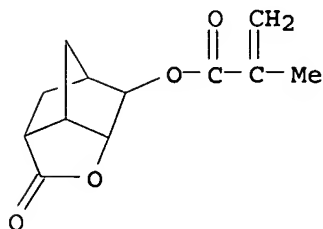
CMF C12 H18 O6



CM 3

CRN 254900-07-7

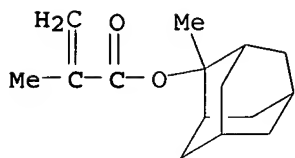
CMF C12 H14 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2

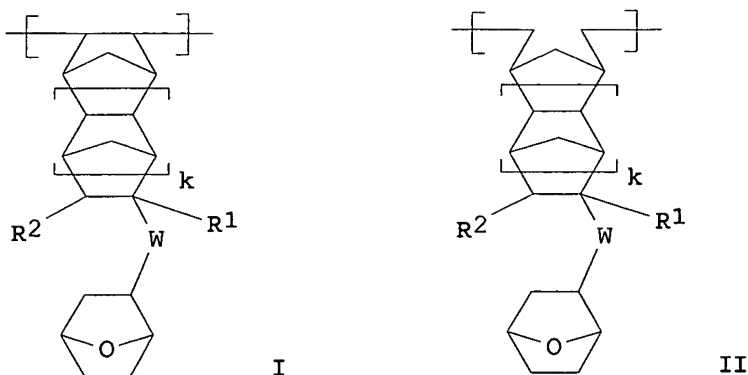


IC ICM G03F007-039  
ICS C08K005-00; C08L101-12; H01L021-027  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
Section cross-reference(s): 38, 76  
IT 469880-22-6P 469880-24-8P 469880-26-0P 469880-27-1P  
469880-29-3P 469880-31-7P 469880-32-8P 469880-34-0P  
469880-35-1P 469880-36-2P 469880-38-4P 469880-40-8P  
469880-41-9P 469880-42-0P 469880-43-1P 469880-45-3P  
469880-47-5P 469880-49-7P 469880-50-0P 469880-51-1P  
469880-53-3P  
(deep-UV pos.-working photoresist composition showing improved contact hole resolution and side-lobe suppression)

L27 ANSWER 22 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
ACCESSION NUMBER: 2002:716915 HCAPLUS  
DOCUMENT NUMBER: 137:270511  
TITLE: Polymers, resist materials, and pattern formation method  
INVENTOR(S): Nishi, Tsunehiro; Hasegawa, Koji; Nakashima, Mutsuo  
PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan  
SOURCE: U.S. Pat. Appl. Publ., 37 pp.  
CODEN: USXXCO  
DOCUMENT TYPE: Patent  
LANGUAGE: English  
FAMILY ACC. NUM. COUNT: 1  
PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO.  | DATE        |
|------------------------|------|----------|------------------|-------------|
| US 2002132182          | A1   | 20020919 | US 2002-50478    | 2002 0116   |
| US 6677101             | B2   | 20040113 |                  |             |
| TW 550275              | B    | 20030901 | TW 2002-91100626 | 2002 0116   |
| JP 2002303985          | A2   | 20021018 | JP 2002-8244     | 2002 0117   |
| PRIORITY APPLN. INFO.: |      |          | JP 2001-8613     | A 2001 0117 |

GI



AB The present invention provides (1) a polymer which has excellent reactivity, rigidity and adhesion to the substrate, and undergoes a low degree of swelling during development, (2) a resist material which uses this polymer as the base resin and hence exhibits much higher resolving power and etching resistance than conventional resist materials, and (3) a pattern formation method using this resist material. Specifically, the present invention provides a novel polymer containing repeating units represented by I, II ( $R_1 = H, Me, CH_2CO_2R_3$ ;  $R_2 = H, Me, CO_2R_3$ ;  $R_3 = C_1-15$  alkyl;  $W = C_2-20$  divalent hydrocarbon radical, which may have  $\geq 1$  ester linkage in its structure and may further be substituted by one or more other atomic group containing a heteroatom;  $k = 0,1$ ) and having a weight-average mol. weight of 1,000-500,000, a resist material using the polymer as a base resin, and a pattern formation method using the resist material.

IT 461671-55-6P

(polymers, photoresist materials, and pattern formation method)

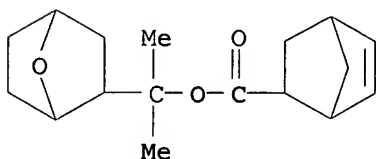
RN 461671-55-6 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with 2,5-furandione and 1-methyl-1-(7-oxabicyclo[2.2.1]hept-2-yl)ethyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME)

CM 1

CRN 461671-54-5

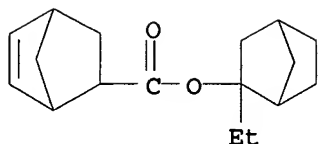
CMF C17 H24 O3



CM 2

CRN 330596-01-5

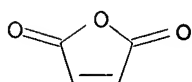
CMF C17 H24 O2



CM 3

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-039

ICS G03F007-38; G03F007-40

INCL 430270100

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35, 38

IT 461671-53-4P 461671-55-6P 461671-57-8P 461671-59-0P

461671-60-3P 461671-61-4P 461671-62-5P 461671-63-6P

461671-64-7P 461671-65-8P 461671-66-9P 461671-68-1P

(polymers, photoresist materials, and pattern formation method)

REFERENCE COUNT: 10 THERE ARE 10 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L27 ANSWER 23 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:575607 HCAPLUS

DOCUMENT NUMBER: 137:132115

TITLE: Polymer, resist composition and patterning process

INVENTOR(S): Nishi, Tsunehiro; Nakashima, Mutsuo; Kobayashi, Tomohiro

PATENT ASSIGNEE(S): Shin-Etsu Chemical Co., Ltd., Japan

SOURCE: U.S. Pat. Appl. Publ., 35 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE         |
|---------------|------|----------|-----------------|--------------|
| -----         | ---  | -----    | -----           |              |
| US 2002102493 | A1   | 20020801 | US 2001-221     | 2001<br>1204 |
| US 6670094    | B2   | 20031230 |                 |              |
| JP 2002234913 | A2   | 20020823 | JP 2001-363803  | 2001<br>1129 |

TW 527523

B

20030411

TW 2001-90129860

2001

1203

PRIORITY APPLN. INFO.:

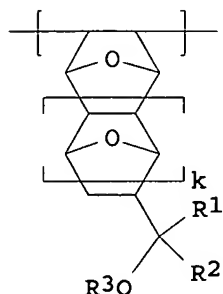
JP 2000-368672

A

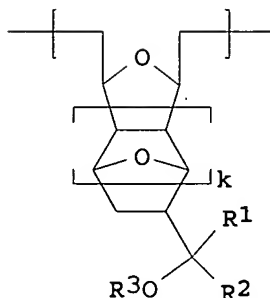
2000

1204

GI



I



II

AB The present invention relates to a polymer comprising recurring units of I, II ( $R_{1,2}$  = H, C1-15 alkyl,  $R_{1,2}$  taken together, may form a ring;  $R_3$  = H, C1-15 alkyl, acyl or alkylsulfonyl or C2-15 alkoxy carbonyl or alkoxyalkyl which may have halogen substituents; not all  $R_{1-3}$  are hydrogen;  $k$  = 0 or 1) and having a Mw of 1,000-500,000.. The present invention relates to a photoresist composition comprising the polymer as a base resin which is sensitive to high-energy radiation, has excellent sensitivity, resolution, etching resistance, and minimized swell and lends itself to micropatterning with electron beams or deep-UV.

IT 444045-74-3P

(polymer photoresist composition for patterning process)

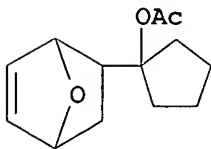
RN 444045-74-3 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-ethylbicyclo[2.2.1]hept-2-yl ester, polymer with 2,5-furandione and 1-(7-oxabicyclo[2.2.1]hept-5-en-2-yl)cyclopentyl acetate (9CI)  
(CA INDEX NAME)

CM 1

CRN 444045-73-2

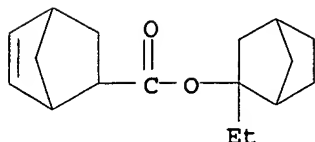
CMF C13 H18 O3



CM 2

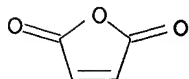


CRN 330596-01-5  
CMF C17 H24 O2



CM 3

CRN 108-31-6  
CMF C4 H2 O3



IC ICM G03F007-038  
ICS G03F007-38; G03F007-40; G03F007-30  
INCL 430270100  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)  
Section cross-reference(s): 35, 38  
IT 444045-74-3P 444045-76-5P 444045-78-7P 444105-77-5P  
444105-79-7P 444105-81-1P 444105-83-3P 444105-85-5P  
(polymer photoresist composition for patterning process)

L27 ANSWER 24 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
ACCESSION NUMBER: 2002:407174 HCAPLUS  
DOCUMENT NUMBER: 136:409030  
TITLE: Radiation-sensitive chemically amplified positive resists and lithography using the same  
INVENTOR(S): Nio, Hiroyuki; Tamura, Kazutaka; Senoo, Masahide  
PATENT ASSIGNEE(S): Toray Industries, Inc., Japan  
SOURCE: Jpn. Kokai Tokkyo Koho, 10 pp.  
CODEN: JKXXAF  
DOCUMENT TYPE: Patent  
LANGUAGE: Japanese  
FAMILY ACC. NUM. COUNT: 1  
PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2002156760          | A2   | 20020531 | JP 2000-352488  | 2000<br>1120 |
| PRIORITY APPLN. INFO.: |      |          | JP 2000-352488  | 2000<br>1120 |

AB The resists, showing good sensitivity and high pattern resolution,

contain (a) compds. or acrylate polymers (Markush given) having carboxyls which are protected with  $\geq 3$ -aromatic-ring-bearing acid-leaving protective groups and (b) radiation-sensitive acid generators.

IT 431943-52-1

(chemical amplified pos. resists containing polymers bearing acid-leaving bulky protective groups for electron beam lithog.)

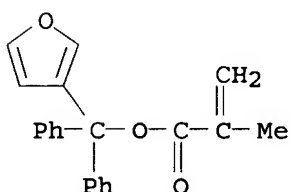
RN 431943-52-1 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-furanyldiphenylmethyl ester, homopolymer (9CI) (CA INDEX NAME)

CM 1

CRN 431943-51-0

CMF C21 H18 O3



IC ICM G03F007-039

ICS C08K005-00; C08L033-04; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38, 76

IT 383908-19-8 383908-20-1 383908-22-3 431943-52-1

(chemical amplified pos. resists containing polymers bearing acid-leaving bulky protective groups for electron beam lithog.)

L27 ANSWER 25 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2002:392162 HCAPLUS

DOCUMENT NUMBER: 136:409022

TITLE: Positive resist composition

INVENTOR(S): Aoai, Toshiaki; Yasunami, Shoichiro; Mizutani, Kazuyoshi; Kanna, Shinichi

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: U.S. Pat. Appl. Publ., 56 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent

LANGUAGE: English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO.  | DATE         |
|---------------|------|----------|------------------|--------------|
| US 2002061464 | A1   | 20020523 | US 2001-961281   | 2001<br>0925 |
| US 6852467    | B2   | 20050208 |                  |              |
| JP 2002333715 | A2   | 20021122 | JP 2001-202298   | 2001<br>0703 |
| TW 528931     | B    | 20030421 | TW 2001-90123599 |              |

|                        |                |   |              |
|------------------------|----------------|---|--------------|
| PRIORITY APPLN. INFO.: | JP 2000-292537 | A | 2001<br>0925 |
|                        |                |   | 2000<br>0926 |
|                        | JP 2000-379284 | A | 2000<br>1213 |
|                        | JP 2001-62158  | A | 2001<br>0306 |
|                        | JP 2001-202298 | A | 2001<br>0703 |

AB The present invention relates to a pos. resist composition comprising:  
 (A) a fluorine group-containing resin having at least one fluorine atom on at least one of the main chain and the side chain of the polymer skeleton; and having a group capable of decomposing under the action of an acid to increase the solubility in an alkali developer;  
 (B) a compound capable of generating an acid upon irradiation with one of actinic ray and radiation; and (C) a surfactant containing at least one of a silicon atom and a fluorine atom. The present invention provides a pos. photoresist composition suitable for use in the microlithog. process in the production of VLSI or high-capacity microchip, or in other photo-fabrication processes. The invention pos. photoresist composition is capable of forming a highly definite pattern using a vacuum UV ray of < 160 nm.

IT 431062-22-5P

(fluorine group-containing resin for pos. resist composition)

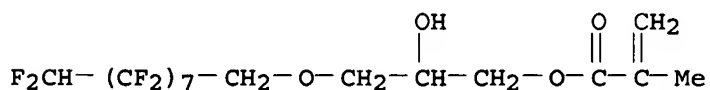
RN 431062-22-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-[(2,2,3,3,4,4,5,5,6,6,7,7,8,8,9,9-hexadecafluorononyl)oxy]-2-hydroxypropyl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-methyl-2-propenoate, 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate and 5(or 6)-[3,3,3-trifluoro-2-[(tetrahydro-2H-pyran-2-yl)oxy]-2-(trifluoromethyl)propyl]bicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 431062-21-4

CMF C16 H14 F16 O4

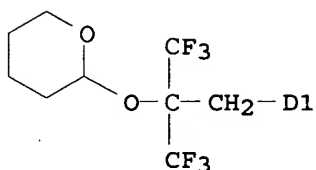
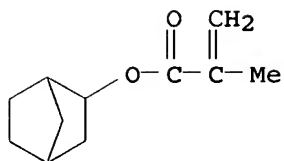


CM 2

CRN 431062-13-4

CMF C20 H26 F6 O4

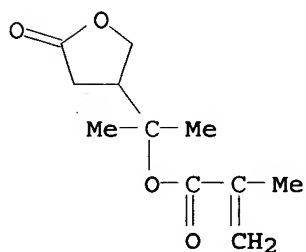
CCI IDS



CM 3

CRN 280566-59-8

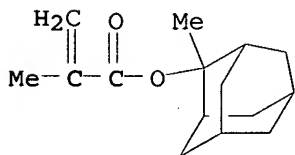
CMF C11 H16 O4



CM 4

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-004

INCL 430270100

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 35, 38, 76

|    |              |              |              |              |
|----|--------------|--------------|--------------|--------------|
| IT | 262617-13-0P | 430436-66-1P | 430436-67-2P | 430436-68-3P |
|    | 430436-70-7P | 430436-72-9P | 430436-74-1P | 430436-76-3P |
|    | 430436-78-5P | 430436-79-6P | 430436-81-0P | 430436-82-1P |
|    | 430436-84-3P | 430436-85-4P | 430436-86-5P | 430436-87-6P |

|              |              |              |              |
|--------------|--------------|--------------|--------------|
| 430436-89-8P | 430436-90-1P | 430436-91-2P | 430436-92-3P |
| 430436-94-5P | 430436-95-6P | 430436-97-8P | 430436-98-9P |
| 430436-99-0P | 430437-01-7P | 430437-03-9P | 430437-04-0P |
| 430437-05-1P | 430437-07-3P | 430437-09-5P | 430437-11-9P |
| 430437-12-0P | 430437-13-1P | 430437-14-2P | 430437-15-3P |
| 430437-17-5P | 430437-18-6P | 430437-19-7P | 430437-21-1P |
| 430437-22-2P | 430437-24-4P | 430437-26-6P | 430437-27-7P |
| 430437-29-9P | 430437-30-2P | 430437-32-4P | 430437-33-5P |
| 430437-34-6P | 430437-35-7P | 430437-36-8P | 430437-37-9P |
| 430437-38-0P | 430437-39-1P | 430437-40-4P | 430437-42-6P |
| 430437-44-8P | 430437-46-0P | 431062-12-3P | 431062-14-5P |
| 431062-16-7P | 431062-17-8P | 431062-18-9P | 431062-20-3P |
| 431062-22-5P | 431062-24-7P | 431062-25-8P |              |

(fluorine group-containing resin for pos. resist composition)

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE  
FOR THIS RECORD. ALL CITATIONS AVAILABLE  
IN THE RE FORMAT

L27 ANSWER 26 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:935894 HCAPLUS

DOCUMENT NUMBER: 136:77253

TITLE: Positive type radiation-sensitive composition  
and process for producing pattern with the  
same

INVENTOR(S): Niwa, Hiroyuki; Tamura, Kazutaka; Senoo,  
Masahide

PATENT ASSIGNEE(S): Toray Industries, Inc., Japan

SOURCE: PCT Int. Appl., 57 pp.

CODEN: PIXXD2

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.   | KIND | DATE     | APPLICATION NO. | DATE         |
|--|------|----------|-----------------|--------------|
| -----  | ---- | -----    | -----           | -----        |
| WO 2001098833  | A1   | 20011227 | WO 2001-JP315   | 2001<br>0119 |
| W: KR, SG, US  |      |          |                 |              |
| RW: AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU,<br>MC, NL, PT, SE, TR    |      |          |                 |              |
| JP 2002006497  | A2   | 20020109 | JP 2000-192298  | 2000<br>0627 |
| EP 1229390   | A1   | 20020807 | EP 2001-901436  | 2001<br>0119 |
| R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE,<br>MC, PT, IE, FI, CY, TR |      |          |                 |              |
| JP 2002082439  | A2   | 20020322 | JP 2001-176871  | 2001<br>0612 |
| US 2003003392  | A1   | 20030102 | US 2002-69136   | 2002<br>0222 |
| US 6919157   | B2   | 20050719 |                 |              |
| PRIORITY APPLN. INFO.:   |      |          | JP 2000-187335  | A<br>2000    |

0622

JP 2000-192298

A

2000  
0627

WO 2001-JP315

W

2001  
0119

AB The invention relates to a pos. type radiation-sensitive composition comprising (A) a compound in which an alkali-soluble group comprising a carboxyl group or phenolic hydroxyl group has been protected by an acid-eliminable group (a) which is any of the following (a1) to (a3), and (B) an acid generator which generates an acid upon irradiation with a radiation; and a method of forming a resist pattern using the composition (a1) The acid-eliminable group (a) is -CR<sub>3</sub>, provided that at least two of the R's are aromatic rings. (The alkali-soluble group is a carboxyl group.). (a2) The acid-eliminable group (a) is -CR<sub>3</sub>, provided that at least one of the R's is an aromatic ring having an electron-donating group. (a3) The acid-eliminable group (a) has an alkali-soluble group (a') or has an alkali-soluble group (a'') protected by an acid-eliminable group.

IT 383908-16-5

(pos. type radiation-sensitive composition and process for producing pattern with the same)

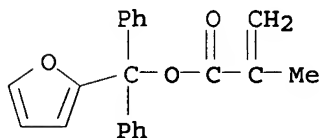
RN 383908-16-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 2-furanyldiphenylmethyl ester, polymer with 4-(1-methylethenyl)phenol (9CI) (CA INDEX NAME)

CM 1

CRN 383908-15-4

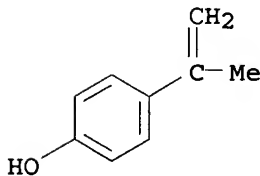
CMF C21 H18 O3



CM 2

CRN 4286-23-1

CMF C9 H10 O



IC ICM G03F007-039

ICS C08F020-12; C08F020-26; C08F012-24; H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38, 76

IT 383908-05-2 383908-11-0 383908-14-3 **383908-16-5**  
 383908-19-8 383908-20-1 383908-22-3 383908-23-4  
 383908-25-6 383908-27-8 383908-29-0 383908-31-4  
 383908-33-6 383908-35-8 383908-37-0 383908-39-2  
 383908-41-6 383908-43-8 383908-45-0 383908-48-3  
 383908-50-7 383908-52-9 383908-54-1 383908-56-3  
 383908-57-4 383908-59-6 383908-61-0 383908-83-6  
 383908-84-7

(pos. type radiation-sensitive composition and process for producing pattern with the same)

REFERENCE COUNT: 11 THERE ARE 11 CITED REFERENCES AVAILABLE  
 FOR THIS RECORD. ALL CITATIONS AVAILABLE  
 IN THE RE FORMAT

L27 ANSWER 27 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:635653 HCAPLUS

DOCUMENT NUMBER: 135:218724

TITLE: Positive-working photoresist composition  
 containing allylsilane-based resin

INVENTOR(S): Sato, Kenichiro

PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 63 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

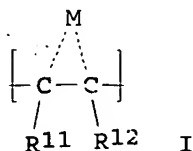
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE              |
|------------------------|--------------|---------------|--------------------------|-------------------|
| JP 2001235865          | A2           | 20010831      | JP 2000-46129            | 2000<br>0223      |
| TW 513621              | B            | 20021211      | TW 2001-90102179         | 2001<br>0202      |
| US 2001041303          | A1           | 20011115      | US 2001-789823           | 2001<br>0222      |
| US 6528229             | B2           | 20030304      |                          |                   |
| PRIORITY APPLN. INFO.: |              |               | JP 2000-46129            | A<br>2000<br>0223 |

GI



AB The photoresist composition comprises (A) a resin having repeating unit  $\text{CH}_2\text{CH}(\text{CH}_2)_n\text{SiR}_1\text{R}_2\text{R}_3$  ( $\text{R}_1\text{-R}_3$  = alkyl, haloalkyl, halo, alkoxy, trialkylsilyl, or trialkylsilyloxy;  $n$  = 0 or 1) and I (M = bond for linking 2 C atoms and forming an alicyclic structure which may have a substituent;  $\text{R}_{11}$  and  $\text{R}_{12}$  = H, cyano, halo, or (substituted) alkyl) and (B) a compound for generating an acid by irradiation of actinic ray or radiation. The composition provides resist pattern having minimized line width variation by SEM observation in semiconductor device fabrication.

IT 357400-47-6

(pos.-working photoresist composition containing allylsilane-based acid-decomposable resin)

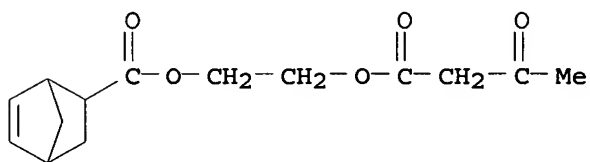
RN 357400-47-6 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 2-(1,3-dioxobutoxy)ethyl ester, polymer with 2,5-furandione, 1,1,1,3,3,3-hexamethyl-2-(2-propenyl)-2-(trimethylsilyl)trisilane and 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl bicyclo[2.2.1]hept-5-ene-2-carboxylate (9CI) (CA INDEX NAME).

CM 1

CRN 357400-46-5

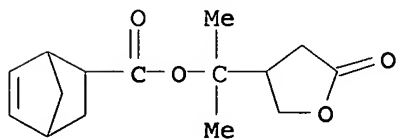
CMF C14 H18 O5



CM 2

CRN 357400-45-4

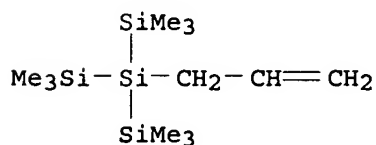
CMF C15 H20 O4



CM 3

CRN 136649-77-9

CMF C12 H32 Si4

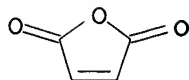




CM 4

CRN 108-31-6

CMF C4 H2 O3



IC ICM G03F007-039  
 ICS C08F222-00; C08F222-06; C08F230-08; C08F232-08; C08K005-00;  
 C08L035-00; C08L035-02; C08L043-04; C08L045-00; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 76  
 IT 357400-36-3 357400-38-5 357400-39-6 357400-40-9  
 357400-41-0 357400-42-1 357400-44-3 357400-47-6  
 (pos.-working photoresist composition containing allylsilane-based  
 acid-decomposable resin)

L27 ANSWER 28 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 2001:421123 HCAPLUS

DOCUMENT NUMBER: 135:38890

TITLE: Polymer having silacycloalkane group,  
 photoresist material using the polymer, and  
 patterning of the photoresist

INVENTOR(S): Hatakeyama, Jun; Kaneo, Takeshi; Nakajima,  
 Atsuo; Hasegawa, Koji

PATENT ASSIGNEE(S): Shin-Etsu Chemical Industry Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 33 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

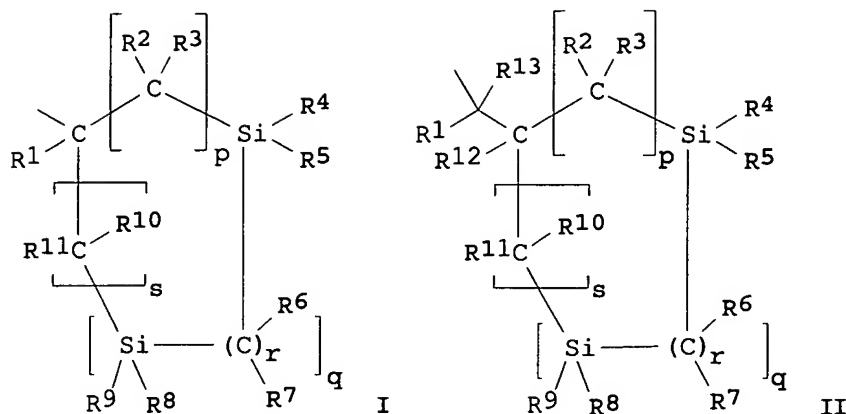
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE              |
|------------------------|--------------|---------------|--------------------------|-------------------|
| JP 2001158808          | A2           | 20010612      | JP 1999-342380           | 1999<br>1201      |
| US 2001003772          | A1           | 20010614      | US 2000-726592           | 2000<br>1201      |
| US 6492089             | B2           | 20021210      |                          |                   |
| TW 554246              | B            | 20030921      | TW 2000-89125640         | 2000<br>1201      |
| PRIORITY APPLN. INFO.: |              |               | JP 1999-342380           | A<br>1999<br>1201 |

GI



AB The polymer involves the cyclic Si-containing group I or II (R1-R3, R6, R7, R10-R13 = H, C1-20 linear, branched, or cyclic alkyl; R4, R5, R8, R9 = H, C1-20 linear, branched, or cyclic alkyl, fluorinated C1-20 alkyl, C6-20 aryl; p, q, r, s = 0-10;  $1 \leq p + q + s \leq 20$ ). The chemical-amplified pos.-working photoresist contains the polymer, an acid-generating agent, and organic solvent optionally associated with a dissoln. inhibitor substituted with acid-unstable group. The photoresist material is applied on a substrate, baked, irradiated with radiation through a photomask, optionally baked, developed by an aqueous alkali, and subjected to O plasma etching to form a precise pattern with perpendicular profile, which is suitable for ultralarge scale integrated circuit, etc.

IT 344328-37-6P

(chemical amplified pos.-working photoresist containing polymer substituted with cyclic silicon-containing group)

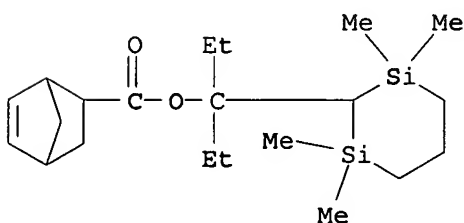
RN 344328-37-6 HCAPLUS

CN Bicyclo[2.2.1]hept-5-ene-2-carboxylic acid, 1-ethyl-1-(1,1,3,3-tetramethyl-1,3-disilacyclohex-2-yl)propyl ester, polymer with 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

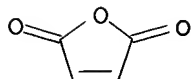
CRN 344328-36-5

CMF C21 H38 O2 Si2



CM 2

CRN 108-31-6  
CMF C4 H2 O3



IC ICM C08F030-08  
ICS G03F007-039; G03F007-075  
CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
Other Reprographic Processes)  
Section cross-reference(s): 38, 76  
IT 344327-81-7P 344327-83-9P 344327-85-1P 344327-87-3P  
344327-89-5P 344327-91-9P 344327-93-1P 344327-95-3P  
344327-97-5P 344327-99-7P 344328-01-4P 344328-03-6P  
344328-05-8P 344328-07-0P 344328-09-2P 344328-11-6P  
344328-13-8P 344328-15-0P 344328-17-2P 344328-19-4P  
344328-21-8P 344328-23-0P 344328-25-2P 344328-27-4P  
344328-29-6P 344328-31-0P 344328-33-2P 344328-35-4P  
344328-37-6P  
(chemical amplified pos.-working photoresist containing polymer  
substituted with cyclic silicon-containing group)

L27 ANSWER 29 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
ACCESSION NUMBER: 2001:98663 HCAPLUS  
DOCUMENT NUMBER: 134:170820  
TITLE: Positive-working silicone-containing  
photosensitive compositions  
INVENTOR(S): Yasunami, Shoichiro  
PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
SOURCE: Jpn. Kokai Tokkyo Koho, 19 pp.  
CODEN: JKXXAF  
DOCUMENT TYPE: Patent  
LANGUAGE: Japanese  
FAMILY ACC. NUM. COUNT: 1  
PATENT INFORMATION:

| PATENT NO.    | KIND | DATE     | APPLICATION NO. | DATE |
|---------------|------|----------|-----------------|------|
| -----         | ---- | -----    | -----           |      |
| -----         |      |          |                 |      |
| JP 2001033974 | A2   | 20010209 | JP 1999-202179  |      |

1999  
0715

PRIORITY APPLN. INFO.: JP 1999-202179

1999  
0715

GI

\* STRUCTURE DIAGRAM TOO LARGE FOR DISPLAY - AVAILABLE VIA OFFLINE PRINT  
\*

AB The compns. contain (a) alkaline-soluble and water-insol. polymer  
comprising of I and/or II (X = COR, CH(OH)R, carboxyl; R = H,  
(un)substituted hydrocarbon; R1-5 = OH, (un)substituted

(cyclo)alkyl, alkoxy, alkenyl, aralkyl, Ph; Y = alkyl, alkoxy, siloxyl, R0 = H, halogen, (un)substituted aliphatic or aromatic hydrocarbon; l, m, n, q = 0, pos. number; p = pos. number), (b) compds. generating acid on irradiation of active ray or radiant ray, (c) polymers containing acid-decomposable groups and showing increase of solubility to alkaline developer on reaction with acid, and (d) Si-containing nonpolymeric compound containing acid-decomposable groups and showing increase of solubility to alkaline developer on reaction with acid. Far UV photoresists with high sensitivity and resolution are obtained.

IT 280566-60-1

(pos.-working silicon-containing photoresists for micropattern formation in semiconductor device fabrication)

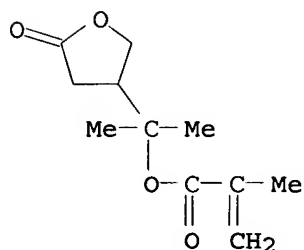
RN 280566-60-1 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl ester, polymer with 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 280566-59-8

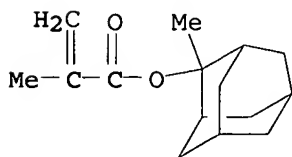
CMF C11 H16 O4



CM 2

CRN 177080-67-0

CMF C15 H22 O2



IC ICM G03F007-075

ICS C08L083-06; G03F007-039; G03F007-36

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 51350-55-1D, Phenylsilsesquioxane, acetylated 157374-41-9D, Phenylsilsesquioxane, acetylated 177080-68-1 196709-91-8, 4-Hydroxystyrene-4 (1-tert-butoxyethoxy)styrene copolymer

199432-82-1 216308-45-1 279244-37-0 280566-60-1

288620-13-3 289706-85-0 325143-37-1 325143-38-2

325143-39-3 325143-40-6 325143-41-7

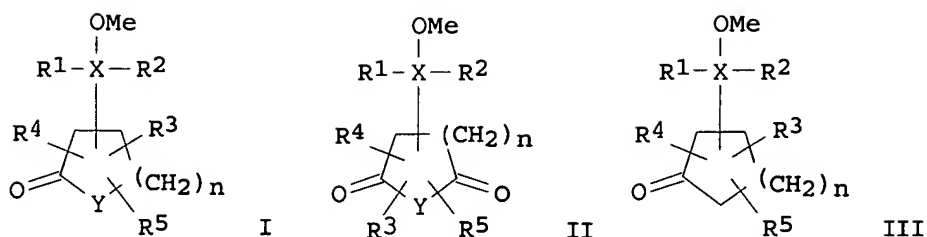
(pos.-working silicon-containing photoresists for micropattern formation in semiconductor device fabrication)

L27 ANSWER 30 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2000:686614 HCAPLUS  
 DOCUMENT NUMBER: 133:274251  
 TITLE: Positively-working photoresist composition for far-ultraviolet ray photolithography  
 INVENTOR(S): Kodama, Kunihiko; Sato, Kenichiro; Aogo, Toshiaki  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 62 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 2  
 PATENT INFORMATION:

| PATENT NO.<br>-----    | KIND<br>---- | DATE<br>----- | APPLICATION NO.<br>----- | DATE               |
|------------------------|--------------|---------------|--------------------------|--------------------|
| JP 2000267287          | A2           | 20000929      | JP 1999-186809           | 1999<br>0630       |
| KR 2000011988          | A            | 20000225      | KR 1999-30510            | 1999<br>0727       |
| US 6291130             | B1           | 20010918      | US 1999-361568           | 1999<br>0727       |
| US 6517991             | B1           | 20030211      | US 2000-606681           | 2000<br>0630       |
| US 2003044718          | A1           | 20030306      | US 2002-176067           | 2002<br>0621       |
| US 2004161697          | A2           | 20040819      |                          |                    |
| US 6818377             | B2           | 20041116      |                          |                    |
| PRIORITY APPLN. INFO.: |              |               | JP 1998-263392           | A<br>1998<br>0917  |
|                        |              |               | JP 1999-6662             | A<br>1999<br>0113  |
|                        |              |               | JP 1998-211137           | A<br>1998<br>0727  |
|                        |              |               | JP 1999-186809           | A<br>1999<br>0630  |
|                        |              |               | US 1999-361568           | A3<br>1999<br>0727 |
|                        |              |               | US 2000-606681           | A3<br>2000         |

0630

GI



AB The composition contains a compound discharging acids under active ray or radiation irradiation and a polymer whose solubility in alkaline developer is enhanced because of decomposition of the polymer by the resulting acids. The polymer involves carboxyl-protecting alc. units I, II, and/or III [R1, R2 = H, (substituted) linear, branched, or cyclic alkyl; R1 and R2 may form single or polycyclic group which may contain O, S, N, ketone, ester, imide, or amide group; R3-R5 = H, (substituted) linear, branched, cyclic alkyl, alkoxy; 2 of R3-R5 may form single or polycyclic group as above; X = single bond, divalent group; Y = O, S, NH, N(OH), NR; R = alkyl; n = 1-3]. The far-UV-sensitive photoresist composition is suitable for semiconductor device fabrication, etc.

IT 280566-60-1P 297156-25-3P 297156-27-5P  
 297156-28-6P 297156-30-0P 297156-33-3P  
 297156-35-5P 297156-39-9P

(far UV-sensitive photoresist composition containing protected carboxy-substituted polymer)

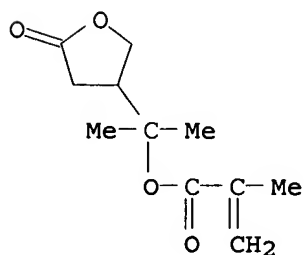
RN 280566-60-1 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl ester, polymer with 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

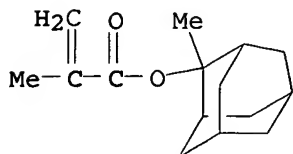
CRN 280566-59-8

CMF C11 H16 O4



CM 2

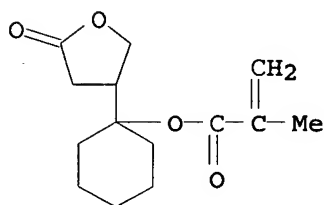
CRN 177080-67-0  
CMF C15 H22 O2



RN 297156-25-3 HCAPLUS  
CN 2-Propenoic acid, 2-methyl-, polymer with 1-(tetrahydro-5-oxo-3-furanyl)cyclohexyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

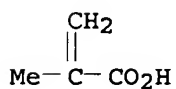
CM 1

CRN 297156-24-2  
CMF C14 H20 O4



CM 2

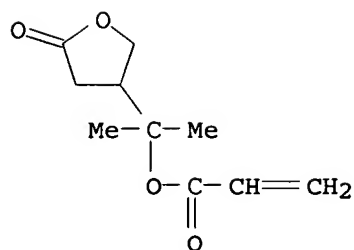
CRN 79-41-4  
CMF C4 H6 O2



RN 297156-27-5 HCAPLUS  
CN 2-Propenoic acid, 2-methyl-, 2-butyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl ester, polymer with 1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl 2-propenoate (9CI) (CA INDEX NAME)

CM 1

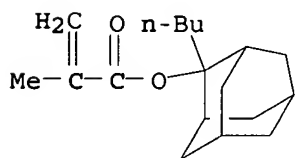
CRN 297156-26-4  
CMF C10 H14 O4



CM 2

CRN 209982-54-7

CMF C18 H28 O2



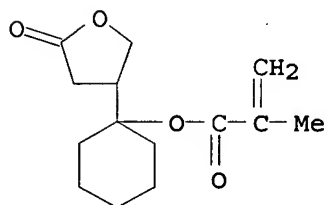
RN 297156-28-6 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-(tetrahydro-5-oxo-3-furanyl)cyclohexyl ester, polymer with (3R,3aS,6R,7R,8aS)-octahydro-3,6,8,8-tetramethyl-1H-3a,7-methanoazulen-6-yl 2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 297156-24-2

CMF C14 H20 O4



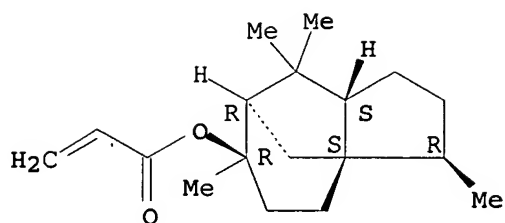
CM 2

CRN 132603-00-0

CMF C18 H28 O2

Absolute stereochemistry.





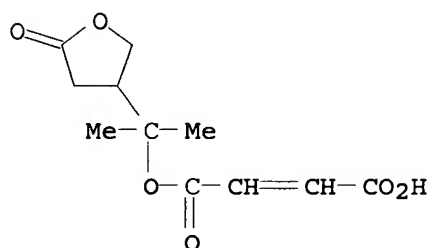
RN 297156-30-0 HCAPLUS

CN 2-Butenedioic acid, mono[1-methyl-1-(tetrahydro-5-oxo-3-furanyl)ethyl] ester, polymer with 1,7,7-trimethylbicyclo[2.2.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 297156-29-7

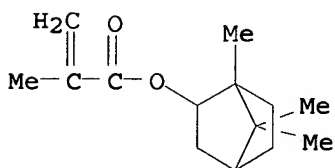
CMF C11 H14 O6



CM 2

CRN 16868-12-5

CMF C14 H22 O2



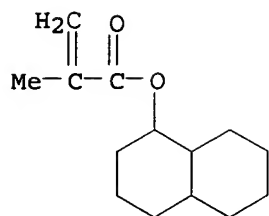
RN 297156-33-3 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, decahydro-1-naphthalenyl ester, polymer with 1-methyl-1-(tetrahydro-2,5-dioxo-3-furanyl)ethyl 2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 297156-32-2

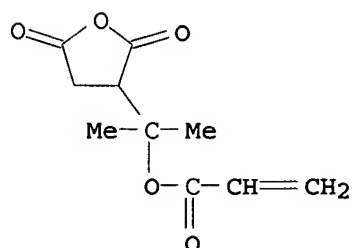
CMF C14 H22 O2



CM 2

CRN 297156-31-1

CMF C10 H12 O5



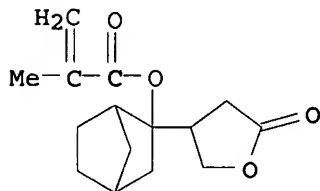
RN 297156-35-5 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1,1-dimethylethyl ester, polymer with  
 2-(tetrahydro-5-oxo-3-furanyl)bicyclo[2.2.1]hept-2-yl  
 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 297156-34-4

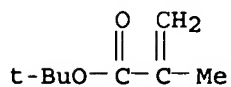
CMF C15 H20 O4



CM 2

CRN 585-07-9

CMF C8 H14 O2

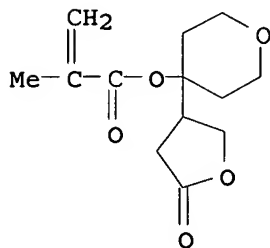


RN 297156-39-9 HCAPLUS  
 CN 2-Propenoic acid, 2-methyl-, polymer with 1,1-dimethylethyl  
 2-methyl-2-propenoate and tetrahydro-4-(tetrahydro-5-oxo-3-  
 furanyl)-2H-pyran-4-yl 2-methyl-2-propenoate (9CI) (CA INDEX  
 NAME)

CM 1

CRN 297156-38-8

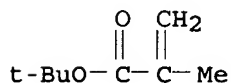
CMF C13 H18 O5



CM 2

CRN 585-07-9

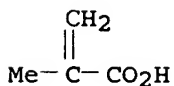
CMF C8 H14 O2



CM 3

CRN 79-41-4

CMF C4 H6 O2



IC ICM G03F007-039

ICS H01L021-027; C08F020-26

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)

Section cross-reference(s): 76

IT 280566-60-1P 288303-55-9P 297156-25-3P

297156-27-5P 297156-28-6P 297156-30-0P

297156-33-3P 297156-35-5P 297156-37-7P

297156-39-9P 297156-40-2P 297156-42-4P 297156-44-6P

297156-46-8P 297156-48-0P 297156-51-5P 297156-52-6P

297156-53-7P 297156-55-9P 297156-57-1P 297156-58-2P

297156-59-3P

(far UV-sensitive photoresist composition containing protected

carboxy-substituted polymer)

L27 ANSWER 31 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 2000:474297 HCAPLUS  
 DOCUMENT NUMBER: 133:96798  
 TITLE: Pattern formation using positive-working photoresist  
 INVENTOR(S): Sato, Kenichiro; Nakao, Hajime; Kawabe, Yasumasa  
 PATENT ASSIGNEE(S): Fuji Photo Film Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 32 pp.  
 CODEN: JKXXAF  
 DOCUMENT TYPE: Patent  
 LANGUAGE: Japanese  
 FAMILY ACC. NUM. COUNT: 1  
 PATENT INFORMATION:

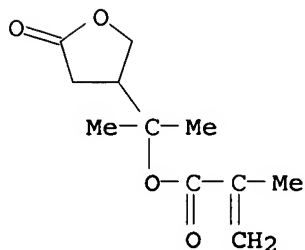
| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE         |
|------------------------|------|----------|-----------------|--------------|
| JP 2000194135          | A2   | 20000714 | JP 1998-371210  | 1998<br>1225 |
| PRIORITY APPLN. INFO.: |      |          | JP 1998-371210  | 1998<br>1225 |

GI

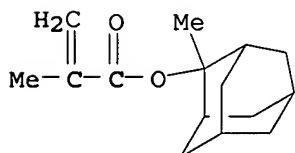


AB The title process comprises the steps of (i) coating, on a substrate, a pos.-working photoresist composition for far UV ray exposure, containing (a) a compound which generates an acid by irradiation with activating ray or radiation and (b) a resin which contains alkali-soluble groups protected with  $\geq 1$  of the groups having alicyclic hydrocarbon structures I, CR12R13R14, CH(OR15)R16, CR19R21CR17:CR18R20, CR22R25CHR23COR24, and II (R11 = Me, Et, Pr, iso-Pr, Bu, iso-Bu, sec-Bu; Z = atoms required to form an alicyclic hydrocarbon group along with the C atom; R12-16 = C1-4 straight-chain or branched alkyl or alicyclic hydrocarbon,  $\geq 1$  of R12-14 and either R15 or R16 are alicyclic hydrocarbons; R17-21 = H, C1-4 straight-chain or branched alkyl or alicyclic hydrocarbon,  $\geq 1$  of R17-21 is an alicyclic hydrocarbon, either R19 or R21 is a C1-4 straight-chain or branched alkyl or alicyclic hydrocarbon; R22-25 = C1-4 straight-chain or branched alkyl or alicyclic hydrocarbon,  $\geq 1$  of R22-25 is an alicyclic hydrocarbon) and is cleaved by the action of acid to increase the solubility to alkali, (ii) patternwise exposing the coating to activating ray or radiation, and (iii) developing the exposed coating with an aqueous organic alkali solution in the presence of a surfactant. High resolution resist patterns showing improved coarse-dense dependence are formed by using far UV rays, especially, ArF excimer laser beams.

IT 280566-60-1P  
 (photoresist composition containing acid generator and polymer with  
 alicyclic protective group)  
 RN 280566-60-1 HCAPLUS  
 CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-5-oxo-3-  
 furanyl)ethyl ester, polymer with 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-  
 2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)  
 CM 1  
 CRN 280566-59-8  
 CMF C11 H16 O4



CM 2  
 CRN 177080-67-0  
 CMF C15 H22 O2



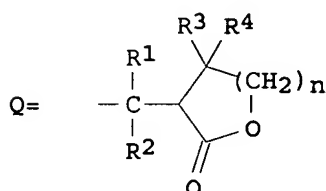
IC ICM G03F007-039  
 ICS G03F007-32; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 177080-68-1P 181531-13-5P 195000-67-0P 195000-69-2P  
 258341-99-0P 280566-51-0P 280566-53-2P 280566-55-4P  
 280566-56-5P 280566-60-1P  
 (photoresist composition containing acid generator and polymer with  
 alicyclic protective group)

L27 ANSWER 32 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN  
 ACCESSION NUMBER: 1999:519010 HCAPLUS  
 DOCUMENT NUMBER: 131:191866  
 TITLE: Radiation-sensitive resin composition for  
 chemically amplified photoresist  
 INVENTOR(S): Suwa, Mitsufumi; Iwasawa, Haruo; Yamamoto,  
 Masafumi; Kajita, Toru  
 PATENT ASSIGNEE(S): JSR Co., Ltd., Japan  
 SOURCE: Jpn. Kokai Tokkyo Koho, 23 pp.

DOCUMENT TYPE: CODEN: JKXXAF  
 LANGUAGE: Patent  
 FAMILY ACC. NUM. COUNT: Japanese  
 PATENT INFORMATION: 1

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE                          |
|------------------------|------|----------|-----------------|-------------------------------|
| JP 11223950            | A2   | 19990817 | JP 1998-37944   | 1998<br>0205                  |
| PRIORITY APPLN. INFO.: |      |          |                 | JP 1998-37944<br>1998<br>0205 |

GI



AB The composition comprises (A) an alkali insol. or slightly alkali soluble resin having a lactone ring-containing group Q (R1-4 = H, C1-6 linear or branched alkyl, 5- to 8-membered cyclic alkyl; R1 and R2 or R3 and R4 may form 5- to 8-membered cyclic alkyl; n = 1-4) which releases by acids, and when the group itself and/or the lactone ring releases, the resin becomes alkali soluble and (B) a radiation-sensitive acid generator. The composition has high transparency and resolution to radiation, and is especially useful for manufacturing semiconductor devices.

IT 239784-46-4P 239784-47-5P 239784-48-6P  
 239784-81-7P

(radiation-sensitive composition containing resin having acid-releasable group with lactone ring for chemical amplified photoresist)

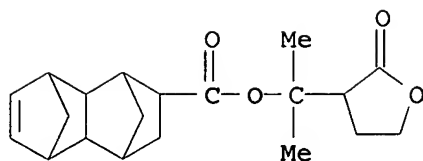
RN 239784-46-4 HCAPLUS

CN 1,4:5,8-Dimethanonaphthalene-2-carboxylic acid,  
 1,2,3,4,4a,5,8,8a-octahydro-, 1-methyl-1-(tetrahydro-2-oxo-3-furanyl)ethyl ester, polymer with 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 239784-42-0

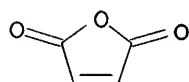
CMF C20 H26 O4



CM 2

CRN 108-31-6

CMF C4 H2 O3



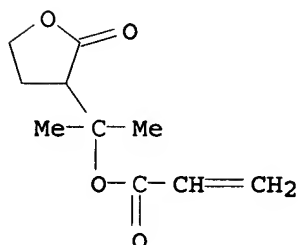
RN 239784-47-5 HCAPLUS

CN 2-Propenoic acid, 1-methyl-1-(tetrahydro-2-oxo-3-furanyl)ethyl  
 ester, polymer with tricyclo[3.3.1.1.3]dec-1-yl 2-propenoate  
 (9CI) (CA INDEX NAME)

CM 1

CRN 239784-43-1

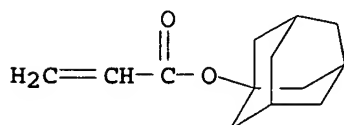
CMF C10 H14 O4



CM 2

CRN 121601-93-2

CMF C13 H18 O2



RN 239784-48-6 HCAPLUS

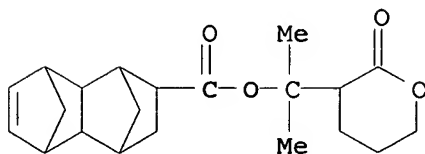
CN 1,4:5,8-Dimethanonaphthalene-2-carboxylic acid,  
 1,2,3,4,4a,5,8,8a-octahydro-, 1-methyl-1-(tetrahydro-2-oxo-2H-

pyran-3-yl)ethyl ester, polymer with 2,5-furandione (9CI) (CA INDEX NAME)

CM 1

CRN 239784-44-2

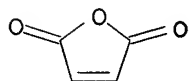
CMF C21 H28 O4



CM 2

CRN 108-31-6

CMF C4 H2 O3



RN 239784-81-7 HCAPLUS

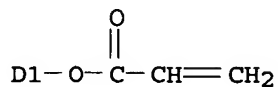
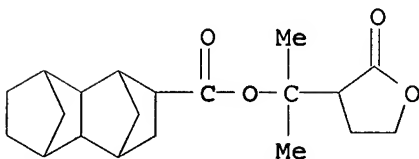
CN 1,4:5,8-Dimethanonaphthalene-2-carboxylic acid, decahydro-6(or 7)-[(1-oxo-2-propenyl)oxy]-, 1-methyl-1-(tetrahydro-2-oxo-3-furanyl)ethyl ester, polymer with tricyclo[3.3.1.3.1]dec-1-yl 2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 239784-79-3

CMF C23 H30 O6

CCI IDS

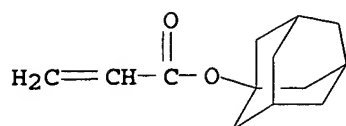


CM 2

CRN 121601-93-2



CMF C13 H18 O2



IC ICM G03F007-039

ICS H01L021-027

CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

Section cross-reference(s): 38

IT 239784-46-4P 239784-47-5P 239784-48-6P

239784-49-7P 239784-81-7P 239784-82-8P

(radiation-sensitive composition containing resin having acid-releasable group with lactone ring for chemical amplified photoresist)

L27 ANSWER 33 OF 33 HCAPLUS COPYRIGHT 2005 ACS on STN

ACCESSION NUMBER: 1999:56806 HCAPLUS

DOCUMENT NUMBER: 130:160673

TITLE: Positive-working photoresist with high transparency to ArF excimer laser and high resolution

INVENTOR(S): Haneda, Hideo; Sato, Kazushi; Komano, Hiroshi

PATENT ASSIGNEE(S): Tokyo Ohka Kogyo Co., Ltd., Japan

SOURCE: Jpn. Kokai Tokkyo Koho, 11 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent

LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

| PATENT NO.             | KIND | DATE     | APPLICATION NO. | DATE               |
|------------------------|------|----------|-----------------|--------------------|
| JP 11015162            | A2   | 19990122 | JP 1997-171947  | 1997<br>0627       |
| US 6087063             | A    | 20000711 | US 1998-102622  | 1998<br>0623       |
| US 6225476             | B1   | 20010501 | US 2000-542952  | 2000<br>0404       |
| JP 2004231971          | A2   | 20040819 | JP 2004-100511  | 2004<br>0330       |
| PRIORITY APPLN. INFO.: |      |          | JP 1997-171947  | A<br>1997<br>0627  |
|                        |      |          | US 1998-102622  | A3<br>1998<br>0623 |

AB The photoresist comprises (A) an acrylic resin  
 [CH<sub>2</sub>CHR<sub>1</sub>(CO<sub>2</sub>CR<sub>2</sub>R<sub>3</sub>R<sub>4</sub>)] (R<sub>1</sub> = H, Me; R<sub>2</sub>-3 = lower alkyl; R<sub>4</sub> =

residue of a lactone, a ketone, or an ester) whose alkali solubility is changed by acids and (B) an acid generator releasing acids by radiation. The photoresist shows good affinity to alkalis and is suited for paddle development.

IT 220196-44-1P 220196-45-2P 220196-52-1P

(pos. photoresist containing lactone-, ketone-, or ester-branched acrylic resin and showing good transparency to excimer laser)

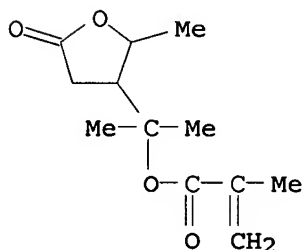
RN 220196-44-1 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-2-methyl-5-oxo-3-furanyl)ethyl ester, polymer with tetrahydro-4,4-dimethyl-2-oxo-3-furanyl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 220196-43-0

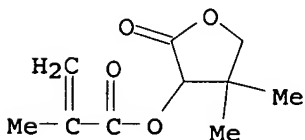
CMF C12 H18 O4



CM 2

CRN 156938-13-5

CMF C10 H14 O4



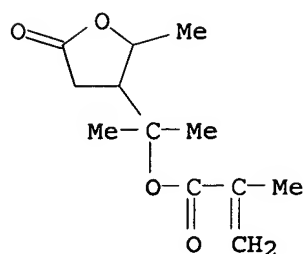
RN 220196-45-2 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-2-methyl-5-oxo-3-furanyl)ethyl ester, polymer with 2-methyltricyclo[3.3.1.1<sup>3,7</sup>]dec-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 220196-43-0

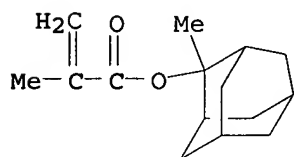
CMF C12 H18 O4



CM 2

CRN 177080-67-0

CMF C15 H22 O2



RN 220196-52-1 HCAPLUS

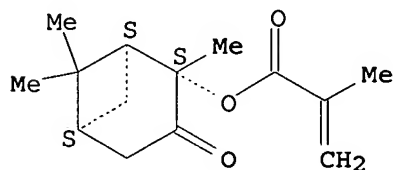
CN 2-Propenoic acid, 2-methyl-, 1-methyl-1-(tetrahydro-2-methyl-5-oxo-3-furanyl)ethyl ester, polymer with rel-(1R,2R,5R)-2,6,6-trimethyl-3-oxobicyclo[3.1.1]hept-2-yl 2-methyl-2-propenoate (9CI) (CA INDEX NAME)

CM 1

CRN 220196-50-9

CMF C14 H20 O3

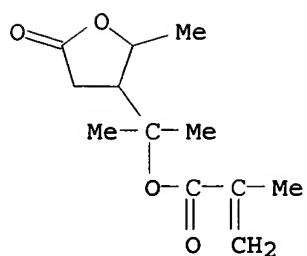
Relative stereochemistry.



CM 2

CRN 220196-43-0

CMF C12 H18 O4



IC ICM G03F007-039  
 ICS G03F007-004; G03F007-033; H01L021-027  
 CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and  
 Other Reprographic Processes)  
 Section cross-reference(s): 38  
 IT 220196-41-8P 220196-42-9P **220196-44-1P**  
 220196-45-2P 220196-48-5P 220196-49-6P 220196-51-0P  
 220196-52-1P  
 (pos. photoresist containing lactone-, ketone-, or ester-branched  
 acrylic resin and showing good transparency to excimer laser)